

GMAO Satellite Data Assimilation

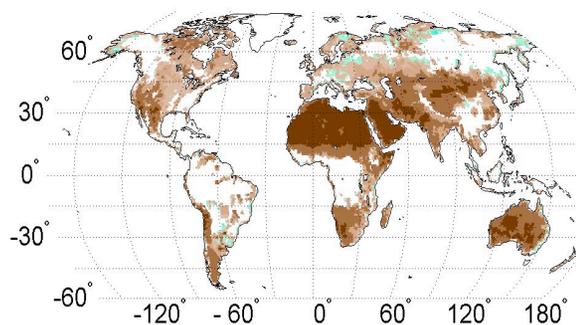
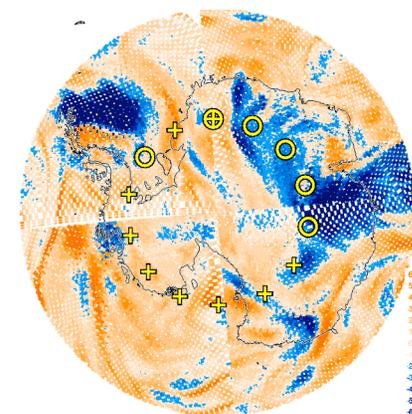
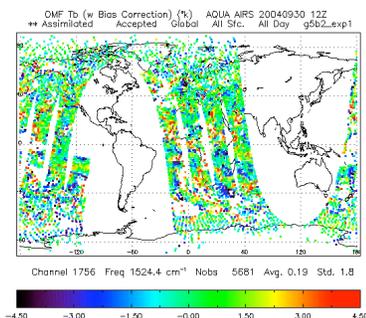
Michele Rienecker

Max Suarez, Ron Gelaro, Ricardo Todling, Emily Liu

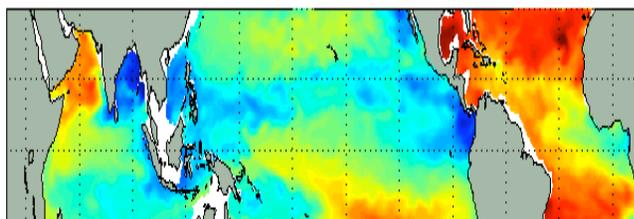
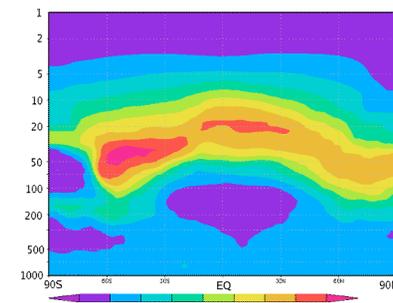
Julio Bacmeister, Larry Takacs

Yanqiu Zhu, Ivanka Stajner, Meta Sienkiewicz

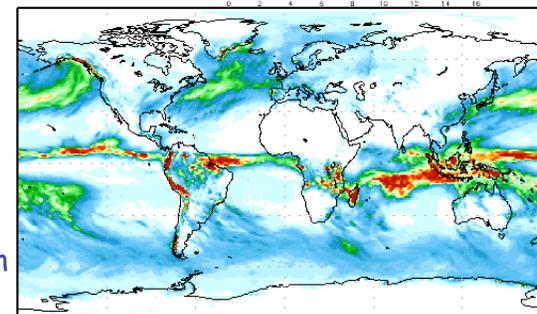
Rolf Reichle, Christian Keppenne



Global Modeling and Assimilation Office (GMAO)
NASA/Goddard Space Flight Center



JCSDA Workshop
Application of Remotely Sensed Observations in
Data Assimilation
August 1, 2007



Global Modeling & Assimilation Office

<http://gmao.gsfc.nasa.gov>

- *GEOS-5 Atmospheric Assimilation:*
 - NCEP's GSI
 - AIRS
 - Data impacts - Adjoint tools
 - MLS Ozone
 - 4dVAR
- *Land Surface:* EnKF
- *Ocean:* EnKF

GEOS-5 Atmospheric Data Assimilation System

Ricardo Todling, Max Suarez, Larry Takacs, Emily Liu

❖ AGCM

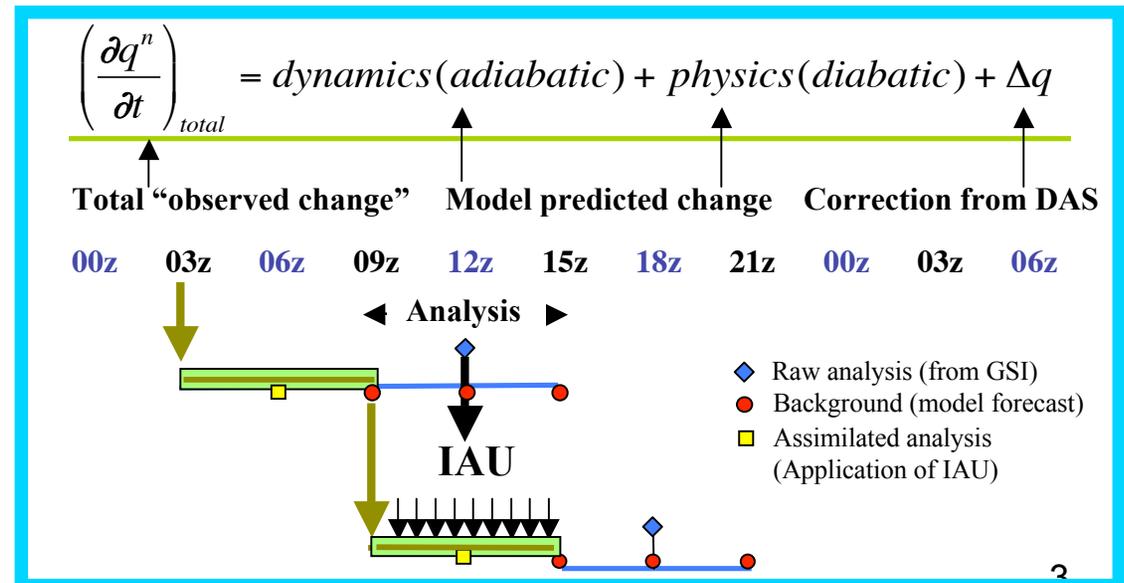
- ❖ Finite-volume dynamic core
- ❖ Bacmeister moist physics
- ❖ Integrated under the Earth System Modeling Framework (ESMF)
- ❖ Catchment land surface model
- ❖ Prescribed aerosols
- ❖ Interactive ozone

❖ Analysis

- ❖ **Grid Point Statistical Interpolation (GSI)**
- ❖ Direct assimilation of satellite radiance data
- ❖ JCSDA Community Radiative Transfer Model (CRTM) for most current instruments in space
- ❖ GLATOVS for TOVS (HIRS2, MSU, SSU) on board of TIROS-N, NOAA-06, ..., NOAA-12
- ❖ Variational bias correction for radiances

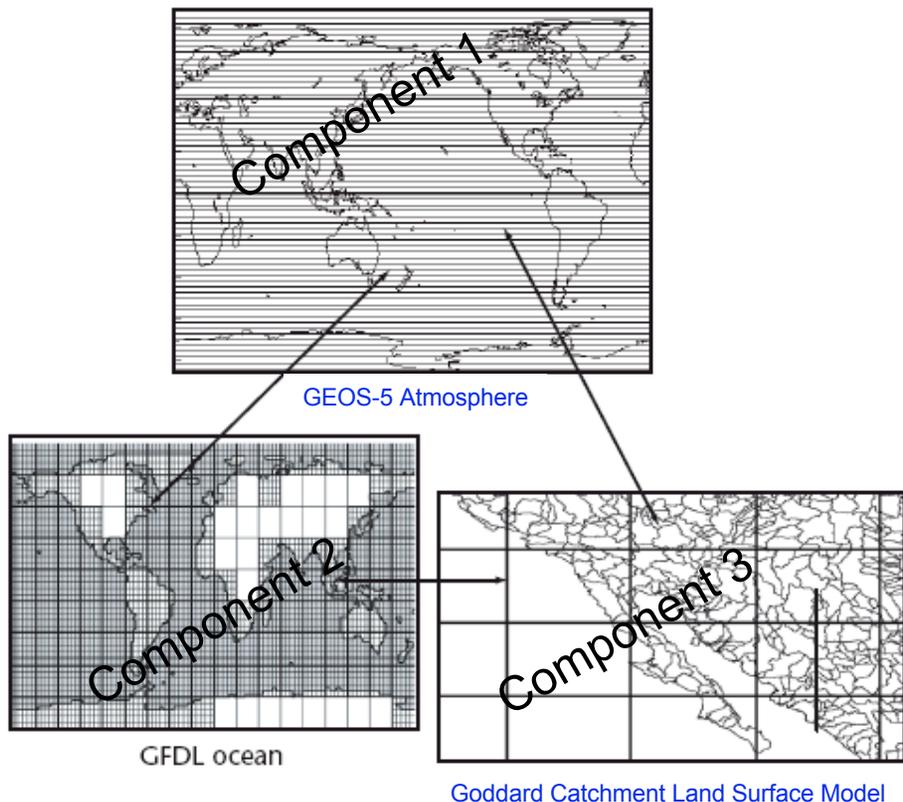
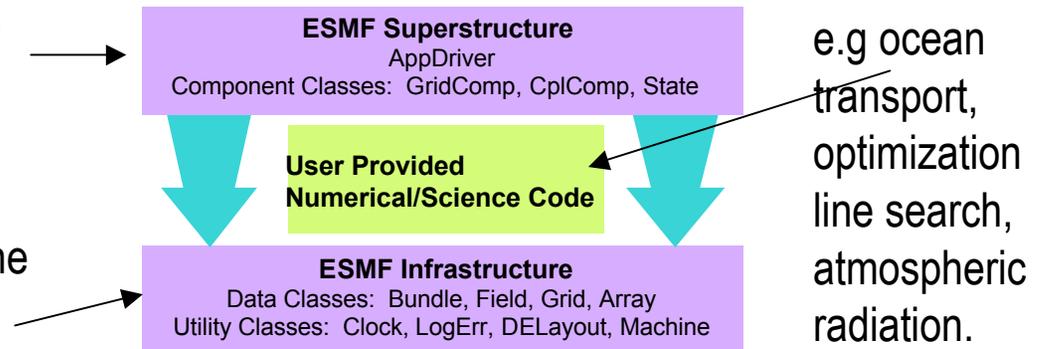
❖ Assimilation

- ❖ Apply Incremental Analysis Increments (IAU) to reduce shock of data insertion
- ❖ IAU gradually forces the model integration throughout the 6 hour period



What is ESMF?

- ESMF provides a software library for turning model codes into coupled **components** with standard interfaces and standard drivers.
- ESMF provides library of **common infrastructure** that components use for routine services such as data communications, regridding, time management and message



Example: three model codes operating as coupled components – ESMF provides a parallel, scalable standard software platform to facilitate this coupling, including

- a **programming model** for coding drivers that steer individual component computations
- **data structures** for passing information between components

This software is the core of the ESMF component model.

ESMF Component Model

Provides machinery for coding a hierarchy of interacting components

- coupler components, e.g. *ocm2atm_coupler* and
- gridded components, e.g. *atm_phys_comp*

Machinery includes

- general-purpose mechanisms to code “wirings” between components (*ESMF_State*, *ESMF_regrid()*)
- general purpose mechanisms to create components and to control their lifecycle (*setServices*, *Init()*, *Run()*, *Final()* ...)

1 *climate_comp*

2 *atm2ocn_coupler*

3 *ocn_comp*

4 *atm_comp*

_comp gridded components

5 *phys2dyn_coupler*

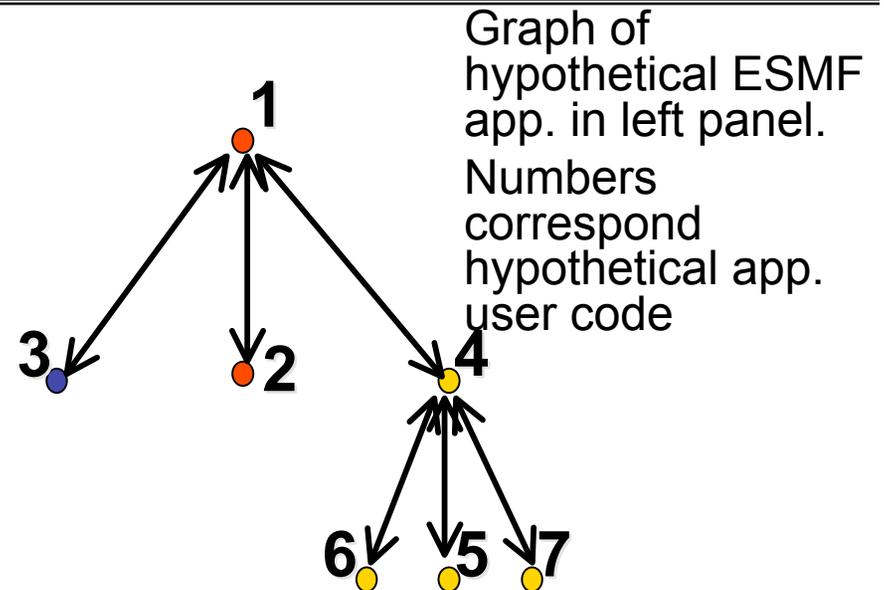
_coupler coupler components

6 *atm_phys_comp*

7 *atm_dyn_comp*

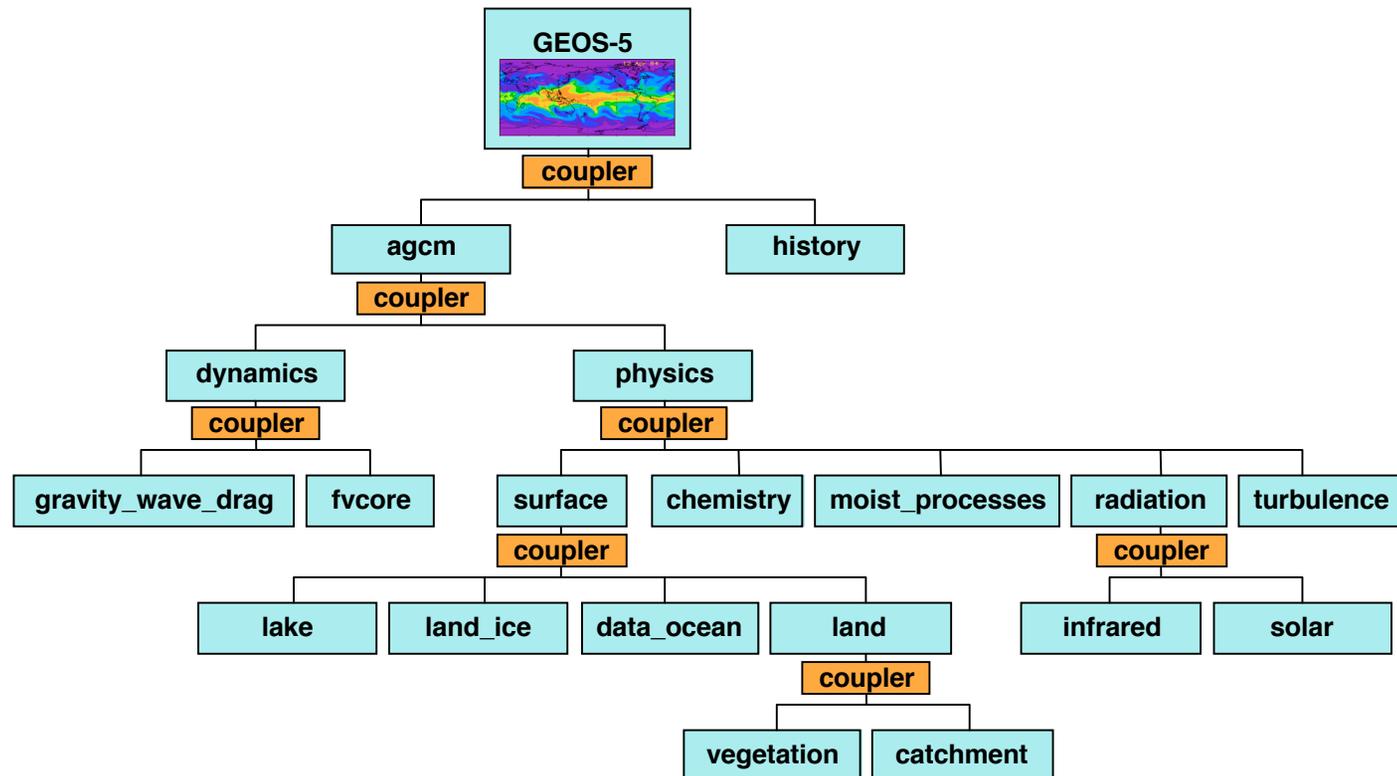


Hypothetical application on parallel machine



Components are nodes . Flow of data between components is shown by edges.

ESMF component graph for GEOS-5 AGCM

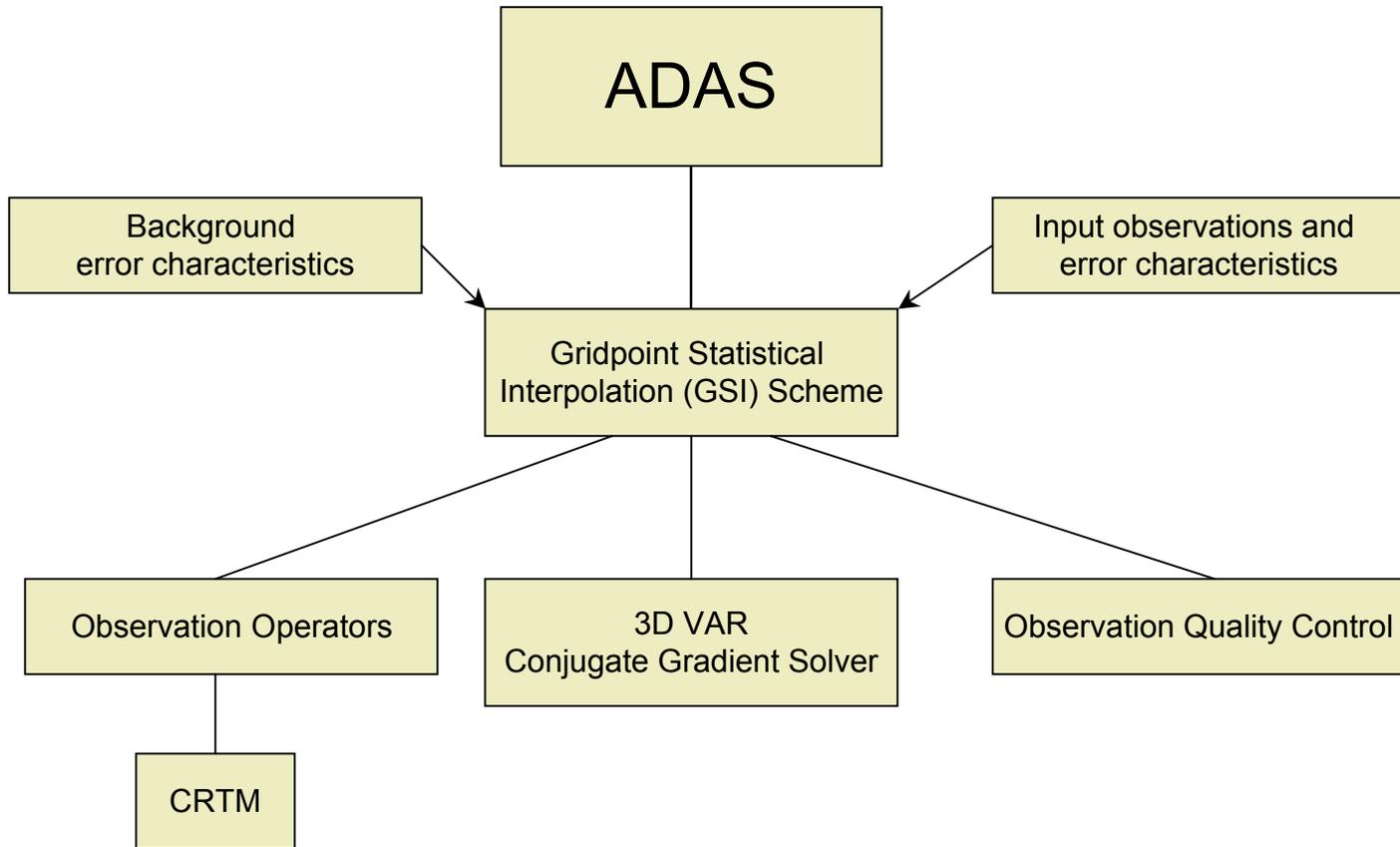


- Boxes are user-written ESMF components
- Every component has a standard ESMF interface `Init()`, `Run()`, `Finalize()`. These drive the components.
- Data in and out of components are packaged in `ESMF_state` types
- New components can be added to the **hierarchical** system
- Coupling tools include parallel regridding and redistribution methods

Max Suarez, Atanas Tryanov

More on ESMF:

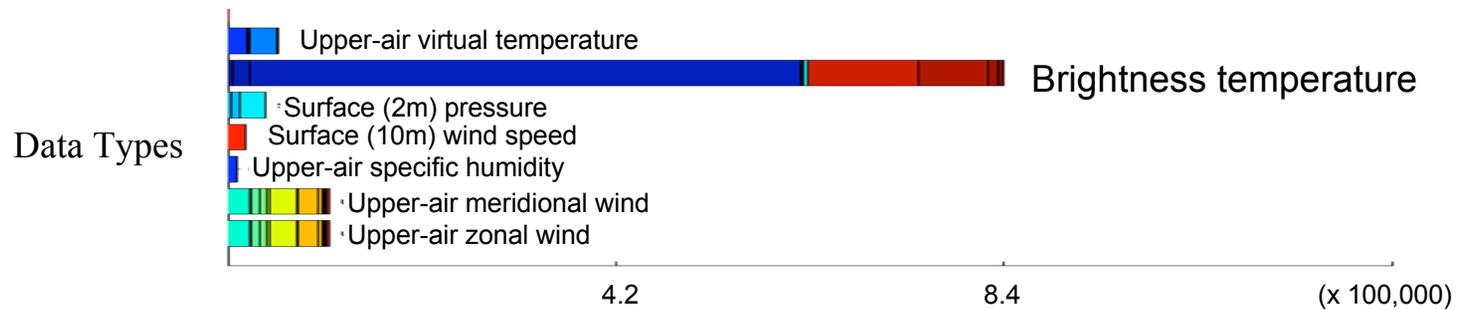
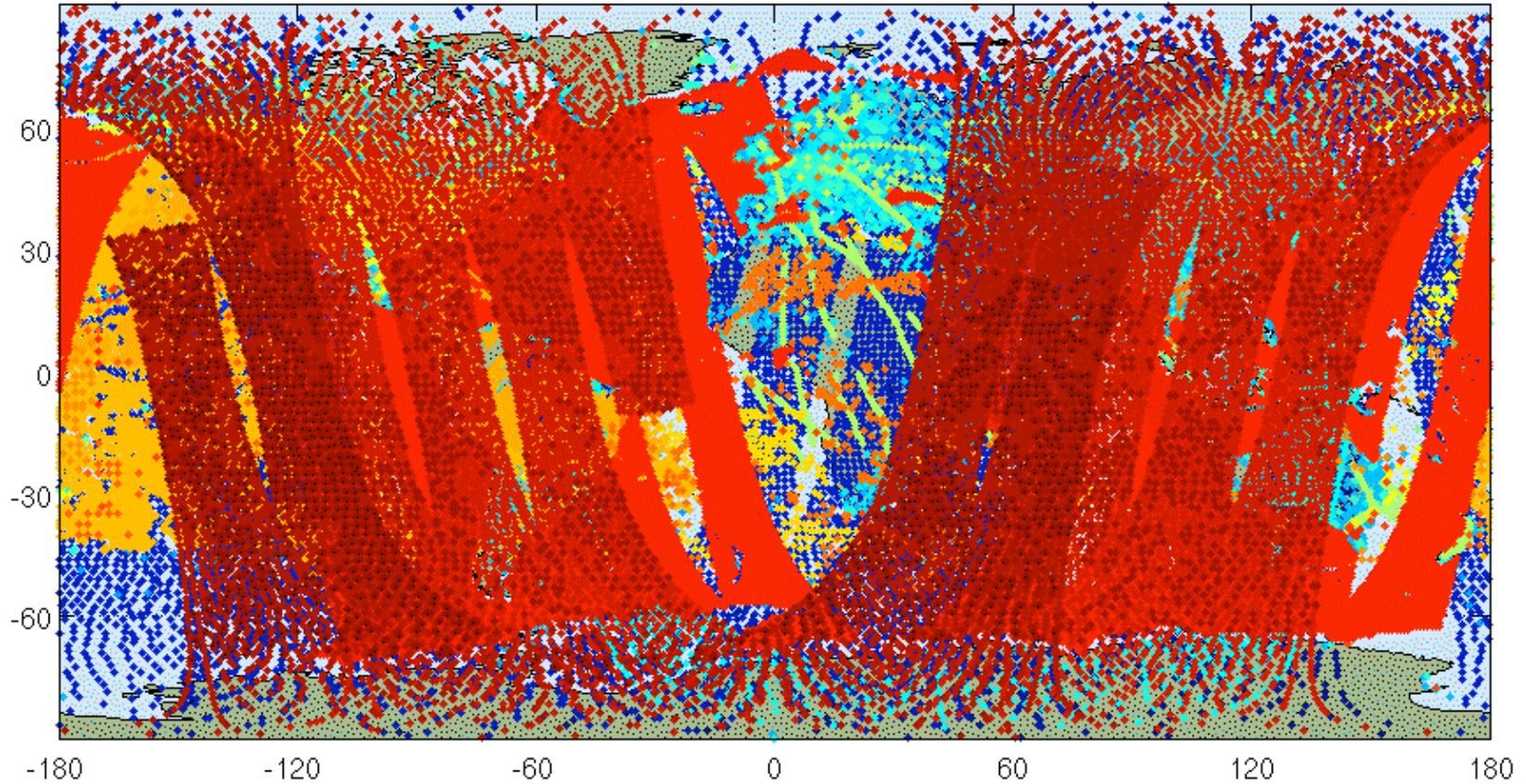
- <http://www.esmf.ucar.edu>
- Hill, C., C. DeLuca, V. Balaji, M. Suarez, and A. da Silva, 2004: Architecture of the Earth System Modeling Framework. *Computing in Science and Engineering*, **6**, 18-28.
- Collins, N., G. Theurich, C. DeLuca, M. Suarez, A. Trayanov, V. Balaji, P. Li, W. Yang, C. Hill, and A. da Silva, 2005: Design and implementation of components in the Earth System Modeling Framework. *Int. J. High Perf. Comput. Appl.*, **19**, 341-350, DOI: 10.1177/1094342005056120.



The observing system...today

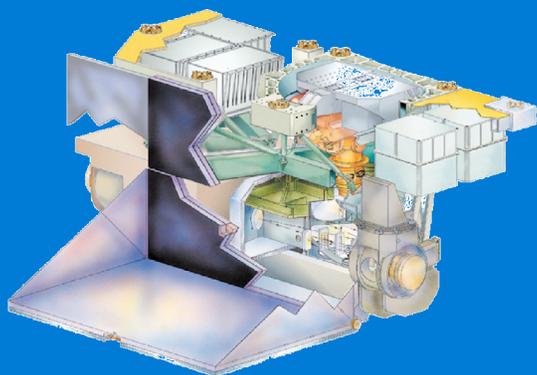
GMAO fvGSI 16-Jan-2003 00UTC

Used: 1,178,200 observations



EOS/Aqua: AIRS and MODIS - launched May 2002

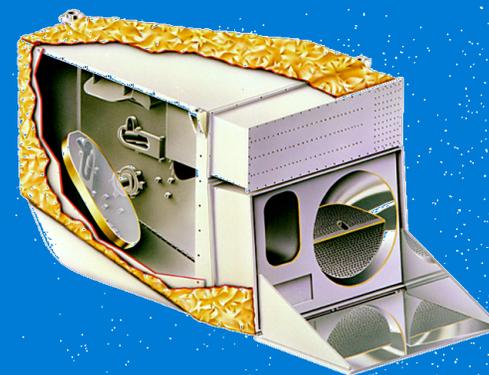
AIRS High Spectral



Atmospheric InfraRed Sounder

- 13.5 km IR IFOV
- 3.7-15.4 μm IR
- 2378 IR Channels

MODIS High Spatial



MODerate resolution Imaging Spectroradiometer

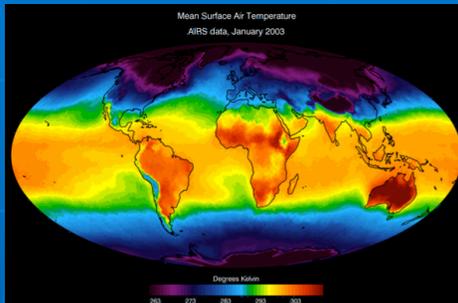
- 1 km IR IFOV
- 0.25-0.5 km VNIR/SW
- 0.4-14.2 μm IR
- 20 RSB, 16 IR Channels



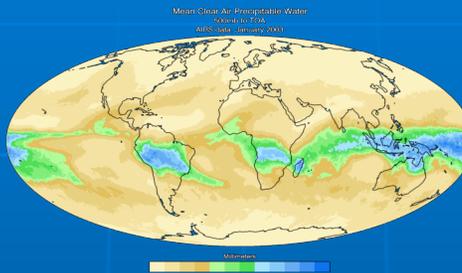


AIRS Products Support Climate Studies

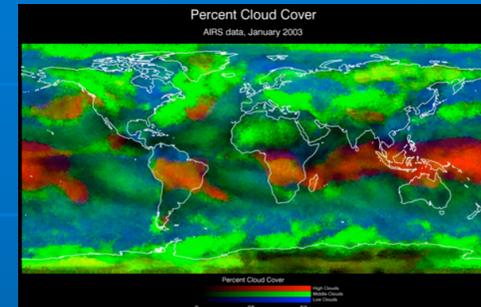
Atmospheric Temperature



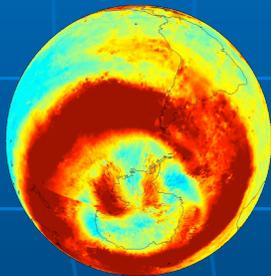
Atmospheric Water Vapor



Cloud Properties

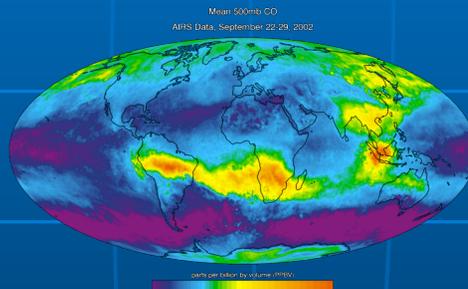


Ozone

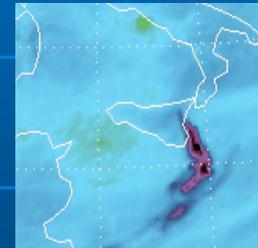


2005.08.11

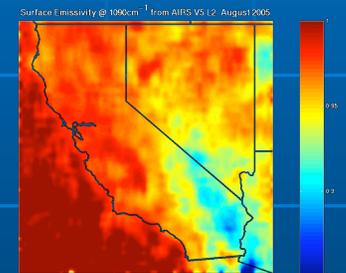
CO



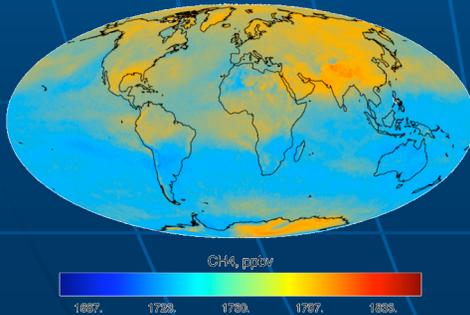
SO2



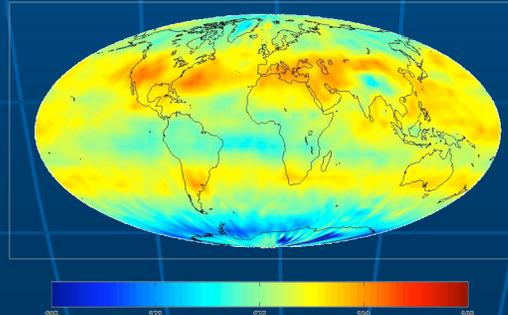
Emissivity



Methane

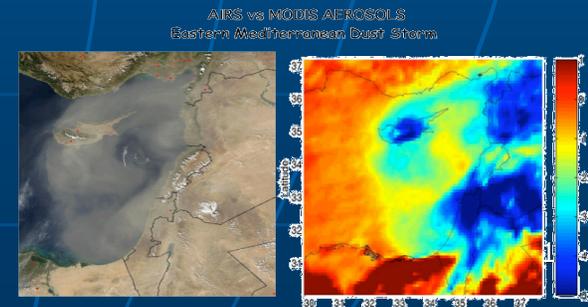


CO2



SO2

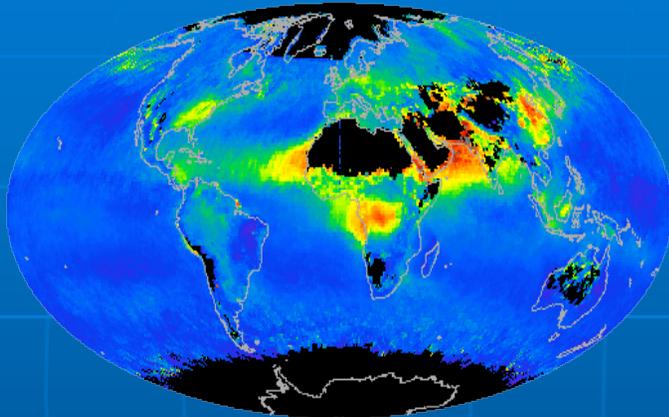
Dust



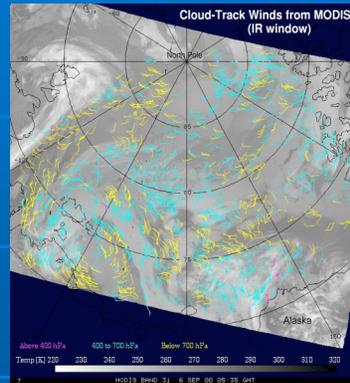


Example MODIS Products

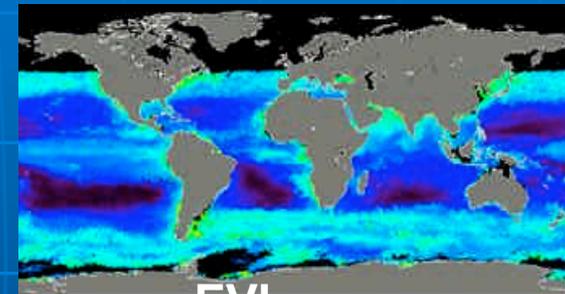
Aerosols



Polar Winds



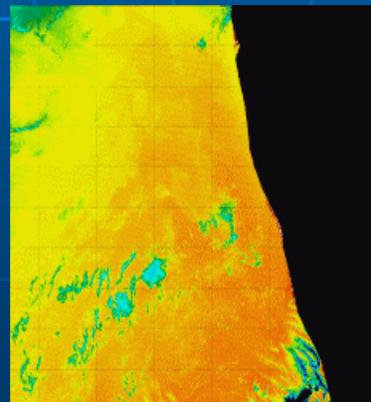
Optional Ocean Chlorophyll



Fires



SST



EVI



EOS/Aura: MLS, OMI - launched July 2004

OMI



Ozone Monitoring Instrument

- 3 km, binned to 13 x 24 km IFOV
- 350-500 nm visible
- UV-1, 270 to 314 nm, UV-2 306 to 380 nm
- Total Ozone
- Distinguishes aerosol types (smoke, dust, sulphates)
- The Netherlands and Finland

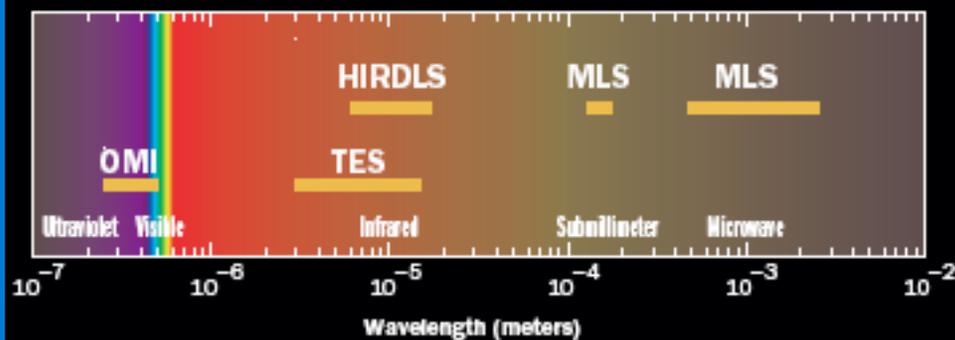
MLS



Microwave Limb Sounder

- 1.5 km vert x 3km cross-trk x 300 km along-trk MW IFOV
- sub-mm to mm wavelengths
- Stratospheric temperature and upper tropospheric water vapor & ice & constituents

Electromagnetic Spectrum



AIRS assimilation

Emily Liu

Assimilation of AIRS in GEOS-5



Configurations

- ❖ GEOS-5 Model with IAU
- ❖ Other satellite radiance data used within GEOS-5 includes SSMI, MSU, HIRS-2, HIRS-3, AMSU-A, and AMSU-B, and MHS

Two Impact Experiments

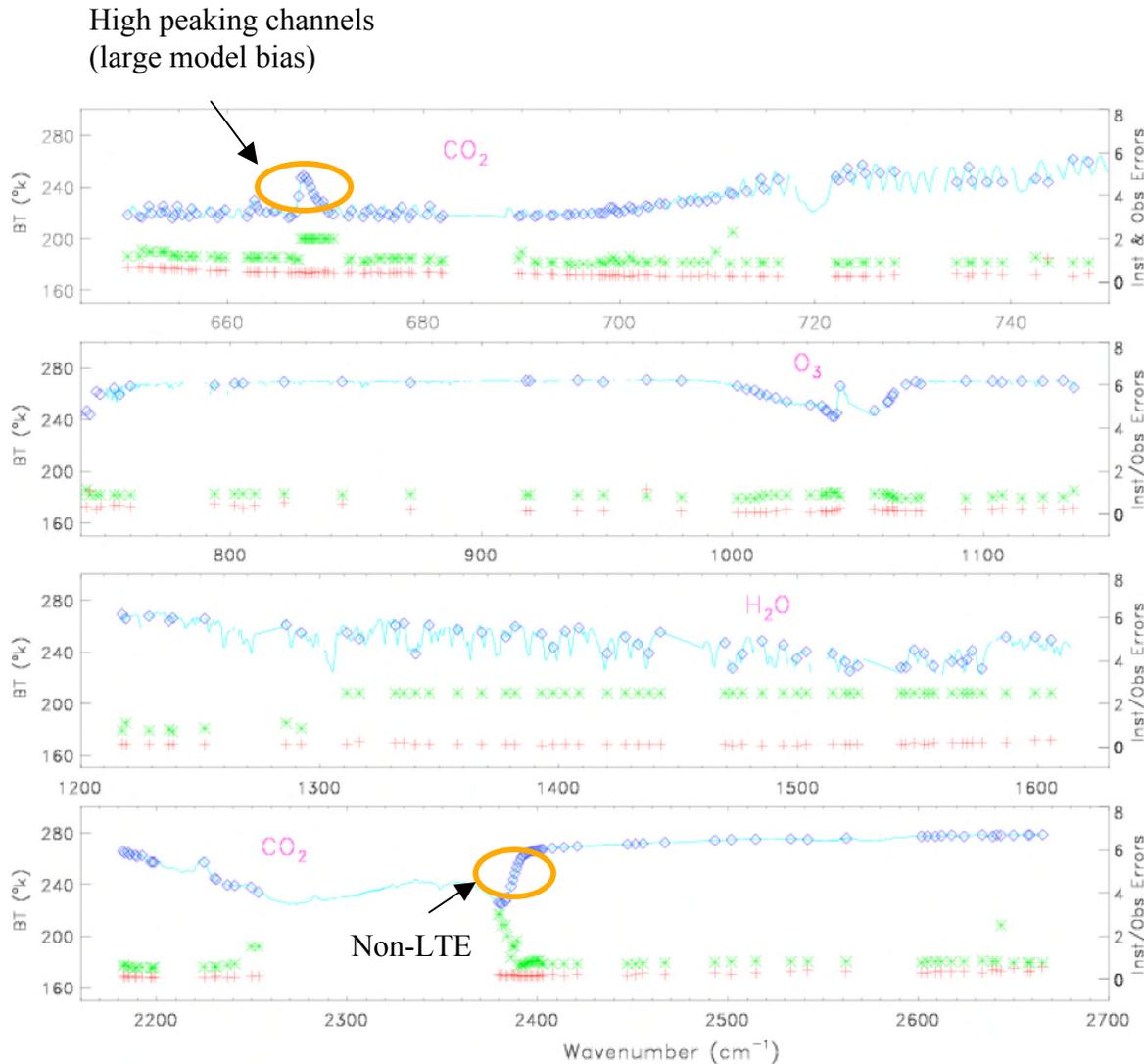
❖ Trial #1

- ❖ GEOS-5 Model resolution $1/2^\circ \times 2/3^\circ \times 72$ Levels
- ❖ Period – 2006 January and February
- ❖ Control - baseline no AIRS
- ❖ Focus - control with AIRS
 - ❖ Full spatial resolution AIRS data set
 - ❖ 251 AIRS channels

❖ Trial #2

- ❖ GEOS-5 resolution - $1^\circ \times 1.25^\circ \times 72$ Levels
- ❖ Period - 2003 January
- ❖ Control – baseline with AIRS
 - ❖ Thinned AIRS data set
 - ❖ 152 AIRS channels
- ❖ Focus – control with AIRS moisture channel turned off
 - ❖ 108 AIRS channels

Channel Errors and Selection



◇ 281 channels + instrument errors * observation errors

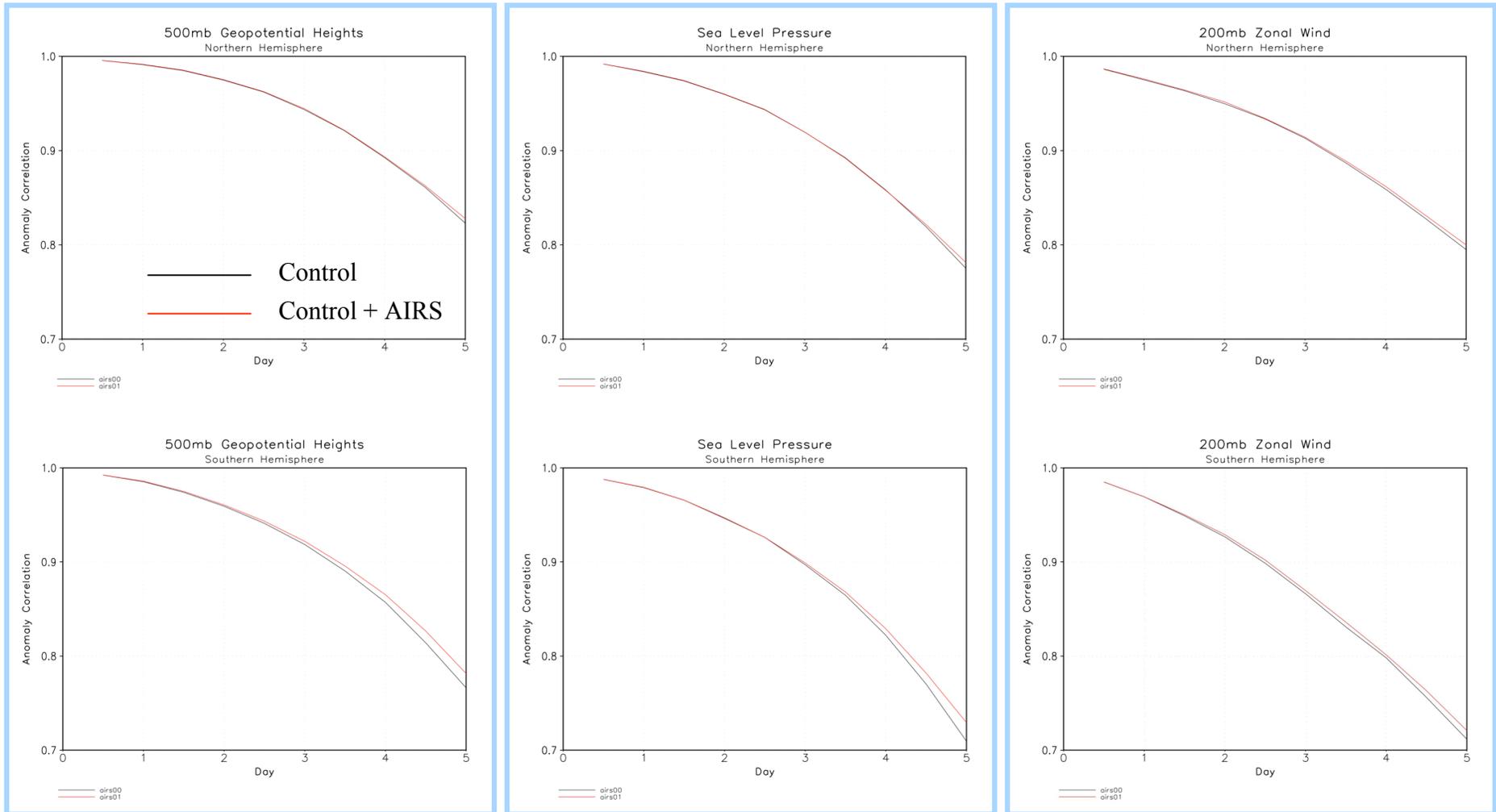
- ❖ 251 out of 281 channels were used in the assimilation
 - ❖ channel 73-86 removed due to channels peaking in Mesosphere (large background biases)
 - ❖ channel 1937-2109 removed due to non-LTE effect
 - ❖ Channel 2357 removed due to large noise
- ❖ Shortwave channels:
 - ❖ wavenumber $> 2000 \text{ cm}^{-1}$ down weighted
 - ❖ Wavenumber $> 2400 \text{ cm}^{-1}$ used only at night
- ❖ NCEP observation errors used
 - ❖ Larger error assignment for water vapor channels

The Impact of AIRS --- Trial #1

500mb Geopotential Height

Sea Level Pressure

200mb Zonal Wind

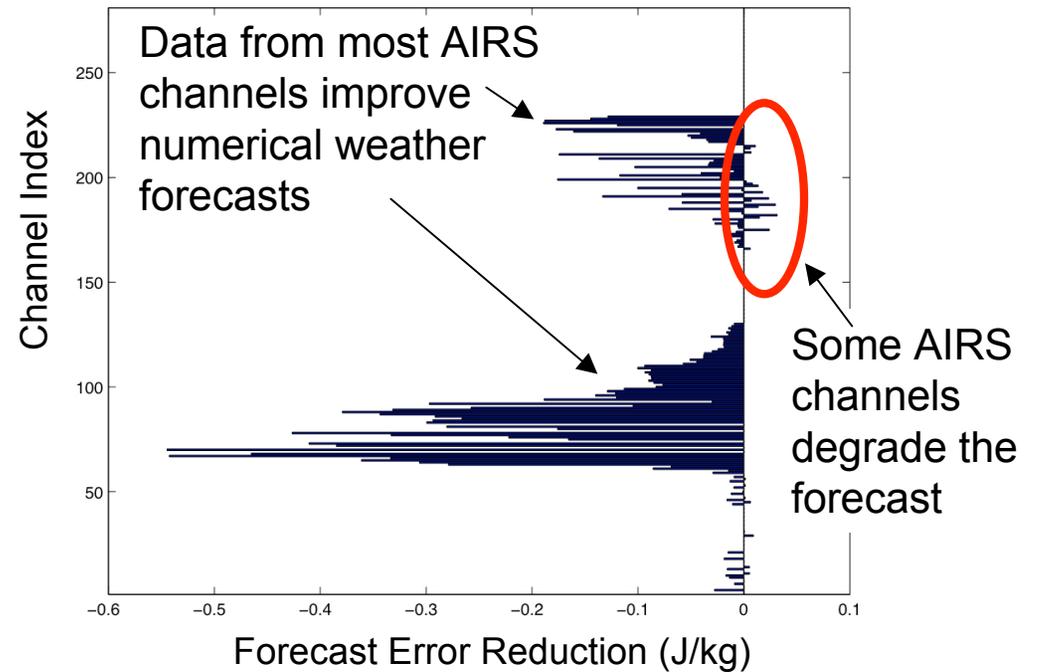
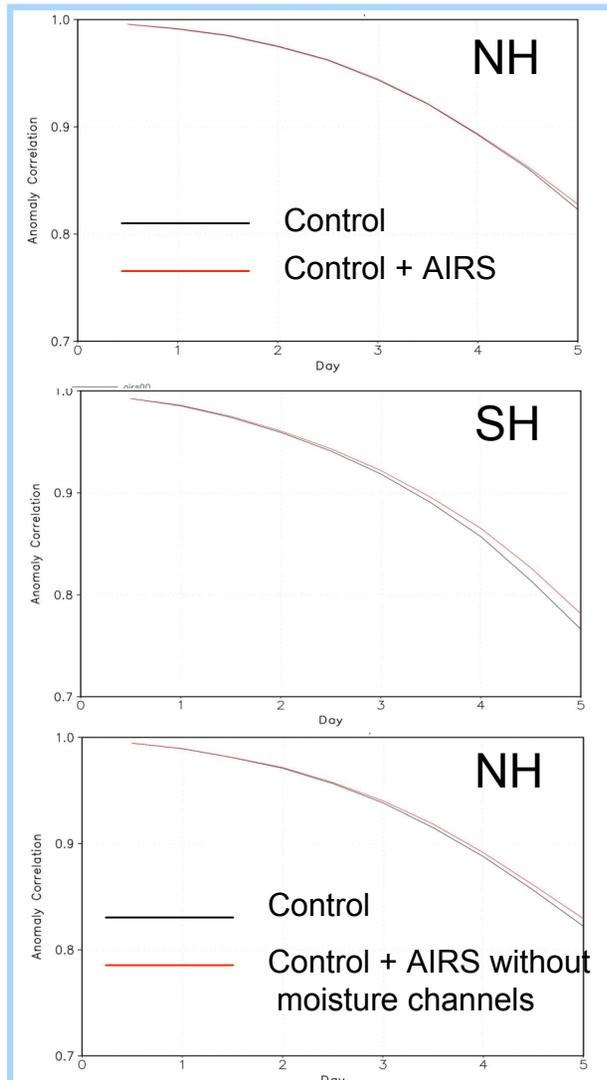


- ❖ Forecast skills were calculated based on 59 cases
- ❖ Slightly positive impact in North Hemisphere; clear positive impact in South Hemisphere

GEOS-5 used to Evaluate Impact of AIRS in NWP

Emily Liu, Ron Gelaro, Yanqiu Zhu

Forecast Skill vs. Time



AIRS brings slightly positive impact on forecast skill in Northern Hemisphere; clear positive impact in Southern Hemisphere. But forecast skills are increased when moisture channels from AIRS are not included

Next: Cloud-Cleared AIRS Radiances

- **Background**

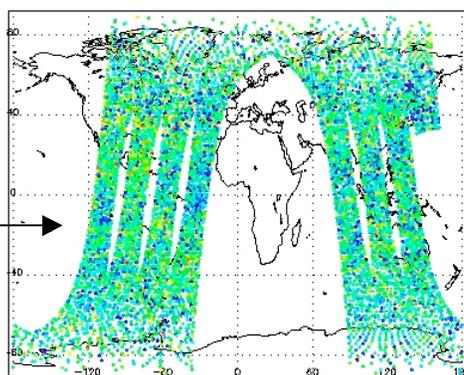
- The presence of clouds has drastically limited the ability to use AIRS data so far. Currently, only clear AIRS channels are used in most of the data assimilation systems.
- The direct use of cloudy AIRS data is currently prohibited by the immense computational burden in accurate infrared cloudy radiative transfer calculations
- Cloud-cleared AIRS radiances can provide sounding data beneath the clouds and may potentially be beneficial in numerical weather forecasting especially in the troposphere.

Assimilated Data Coverage

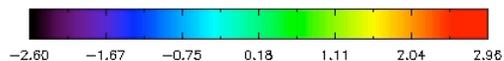
Simulated (w Bias Correction) - Observed Tb (°K) AQUA AIRS 20060219 06Z
** Assimilated Accepted Global All Sfc. All Day ges ahrs01

Simulated (w Bias Correction) - Observed Tb (°K) AQUA AIRS 20060219 06Z
** Assimilated Accepted Global All Sfc. All Day ges ahrs01

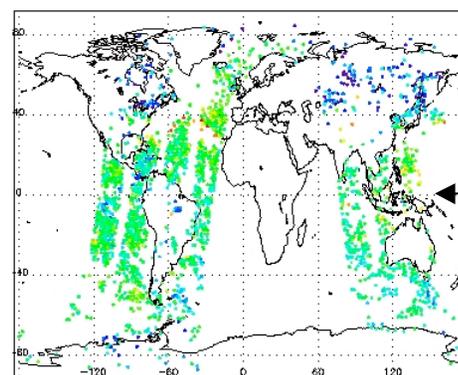
Channel 028
Peaking above
clouds



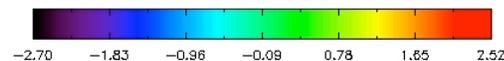
Channel 028 Freq 656.1 cm⁻¹ Nobs 7286 Avg. 0.029 Std. 0.80



Channel 787
Peaking below
clouds

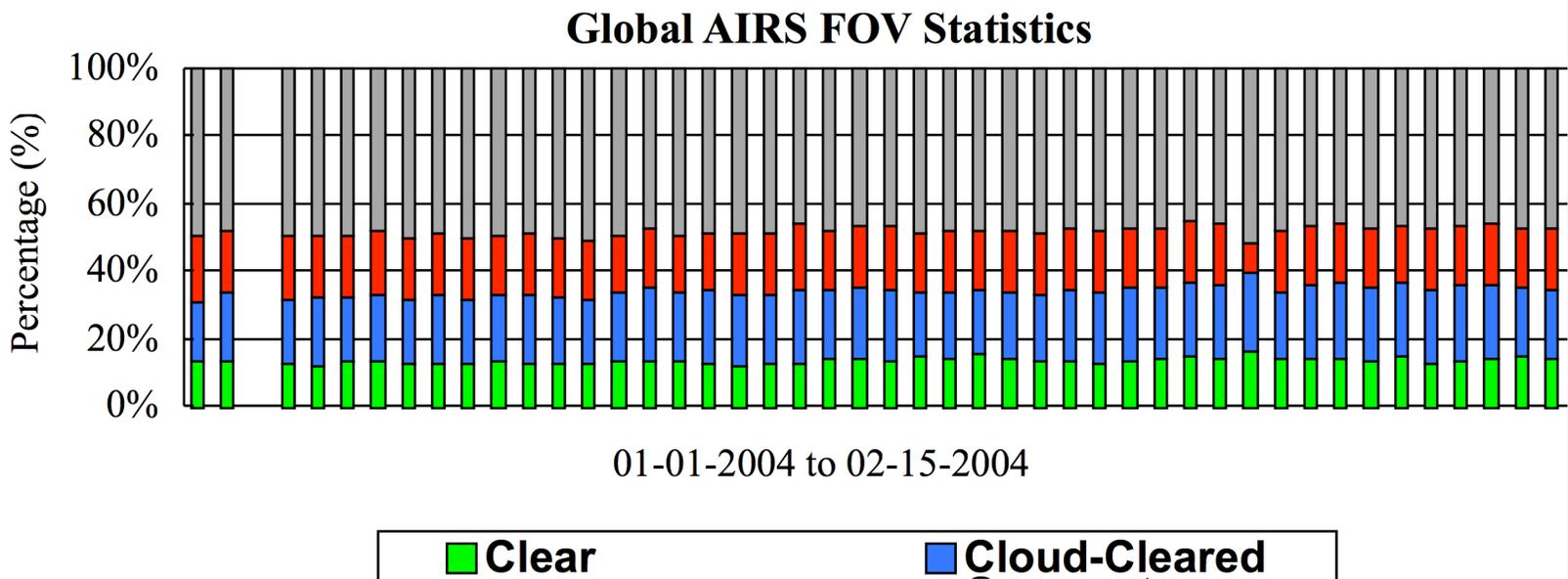


Channel 787 Freq 917.3 cm⁻¹ Nobs 1997 Avg. -0.098 Std. 0.68



- **AIRS/MODIS Synergistic Cloud-Clearing Approach**

- Cloud-clearing is a procedure that removes cloud radiative effects through comparison of partly cloudy adjacent pixels
- Optimal cloud clearing procedures to retrieve clear column radiances for all AIRS Channels can be obtained by combining collocated MODIS IR clear radiance observations and the AIRS cloudy radiance measurements
- The collocated MODIS pixels along with their cloud mask, cloud phase mask, and cloud height information can help to determine the cloud properties within the AIRS footprints
- No background information is needed
- Results indicate that approximately 13% of the AIRS footprints are clear, and 21% of the AIRS footprints can be cloud cleared successfully



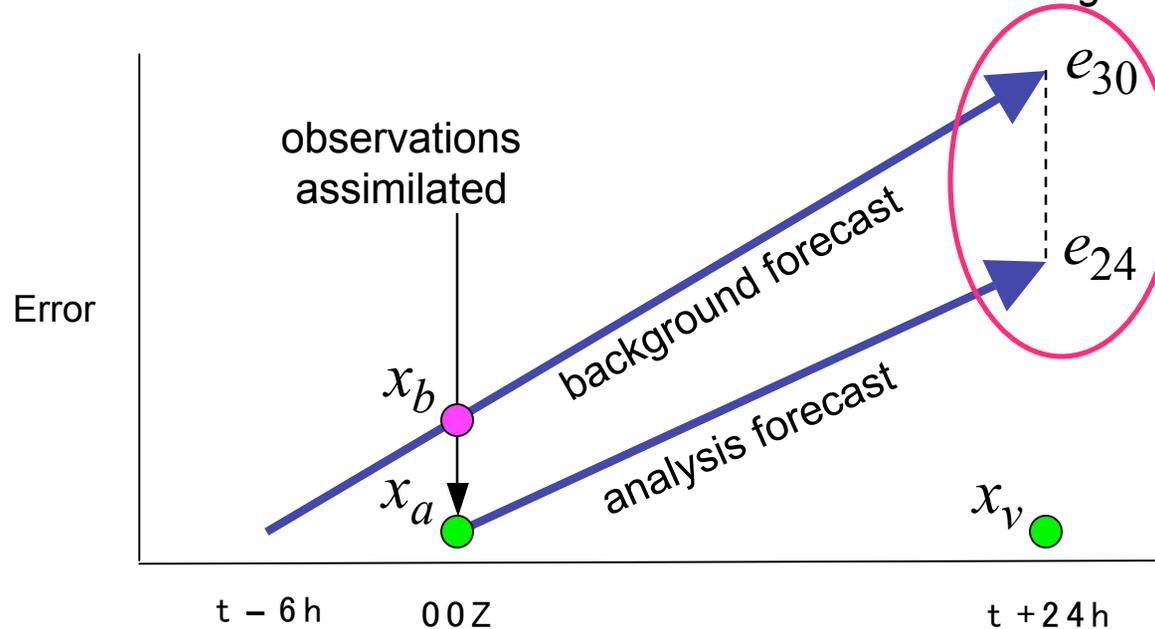
AIRS impacts on forecasts evaluated using adjoint sensitivity tools

Ron Gelaro, Yanqiu Zhu, Emily Liu

Using Adjoint to Assess Observation Impact on Forecast Error

Ron Gelaro and Yanqiu Zhu

...following Langland and Baker 2004



- The difference $e_{24} - e_{30} = \Delta e_{24}^{30}$ is due entirely to the assimilation of observations at 00Z \Rightarrow *measures the impact of the observations*

- $\Delta e_{24}^{30} < 0$ indicates that the error of the forecast started from x_a is less than that started from $x_b \Rightarrow$ *the observations are beneficial*

- Δe_{24}^{30} can be estimated as a sum of *contributions from individual observations* using information from the model and analysis adjoints together

Data Assimilation-Forecast System

Atmospheric forecast model:

$$\mathbf{x}^f = \mathbf{m}(\mathbf{x}_0)$$

Atmospheric analysis (best estimate of \mathbf{x}_0) :

$$x_a = x_b + K[y - h(x_b)]$$

where:

$$\mathbf{x}_a - \mathbf{x}_b = \delta\mathbf{x}_0 \quad (\text{correction vector})$$
$$\mathbf{y} - \mathbf{h}(\mathbf{x}_b) = \delta\mathbf{y} \quad (\text{innovation vector } \sim 10^6)$$

Note that for any vector \mathbf{g} in **state space** there is a corresponding vector $\tilde{\mathbf{g}}$ in **observation space** such that:

$$\tilde{\mathbf{g}} = \mathbf{K}^T \mathbf{g}$$

Estimating Observation Impact

Forecast error measure (global dry energy):

$$e = (\mathbf{x}_0^f - \mathbf{x}_v)^T \mathbf{C} (\mathbf{x}_0^f - \mathbf{x}_v)$$

Change in e due to change in \mathbf{x}_0 :

$$\delta e = \delta \mathbf{x}_0 \left(\frac{\partial e}{\partial \mathbf{x}_0} + \frac{1}{2} \frac{\partial^2 e}{\partial \mathbf{x}_0^2} \delta \mathbf{x}_0 + \frac{1}{6} \frac{\partial^3 e}{\partial \mathbf{x}_0^3} \delta \mathbf{x}_0^2 + \dots \right) = (\delta \mathbf{x}_0)^T \mathbf{g}$$

Transformation to observation-space:

$$(\delta \mathbf{x}_0)^T \mathbf{g} = (\delta \mathbf{y})^T \tilde{\mathbf{g}}$$

3rd order approximation of δe in **observation space**:

$$\delta e \approx (\delta \mathbf{y})^T \mathbf{K}^T [\mathbf{M}_b^T \mathbf{C} (\mathbf{x}_b^f - \mathbf{x}_v) + \mathbf{M}_a^T \mathbf{C} (\mathbf{x}_a^f - \mathbf{x}_v)] = (\delta \mathbf{y})^T \tilde{\mathbf{g}}_3$$

assimilation adjoint



model adjoint



...summed
observation
impact

GEOS-5 Observation Impact Experiments

Analysis System

- 3DVAR Gridpoint Statistical Interpolation (GSI, Wu et al. 2002)
- 0.5° resolution, 72 levels
- Conventional observations + radiances, AIRS
- 2 outer loops x 100 iterations
- **Adjoint**: Exact line-by-line (Zhu and Gelaro 2007)

Forecast Model

- GEOS-5: FV-core + full physics, ESMF structure
- 1.25° resolution, 72 levels
- **Adjoint**: FV-core + simple dry physics (Giering et al. 2006)

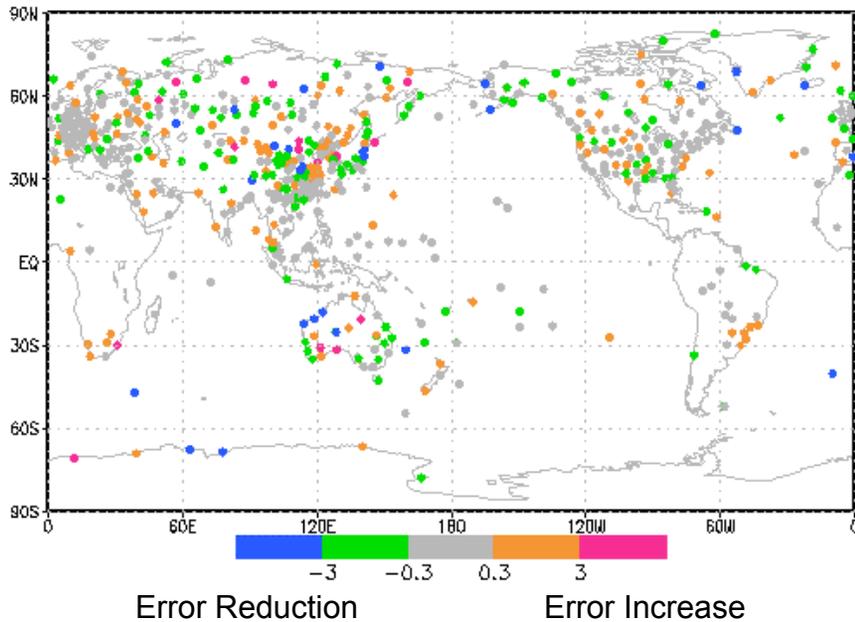
Experimentation

- 6h data assimilation cycle, 15 June — 31 July 2005
- **24h forecasts** from 00z to assess **observation impact**, July 2005

Observation Impact on GEOS-5 24h Forecast Error

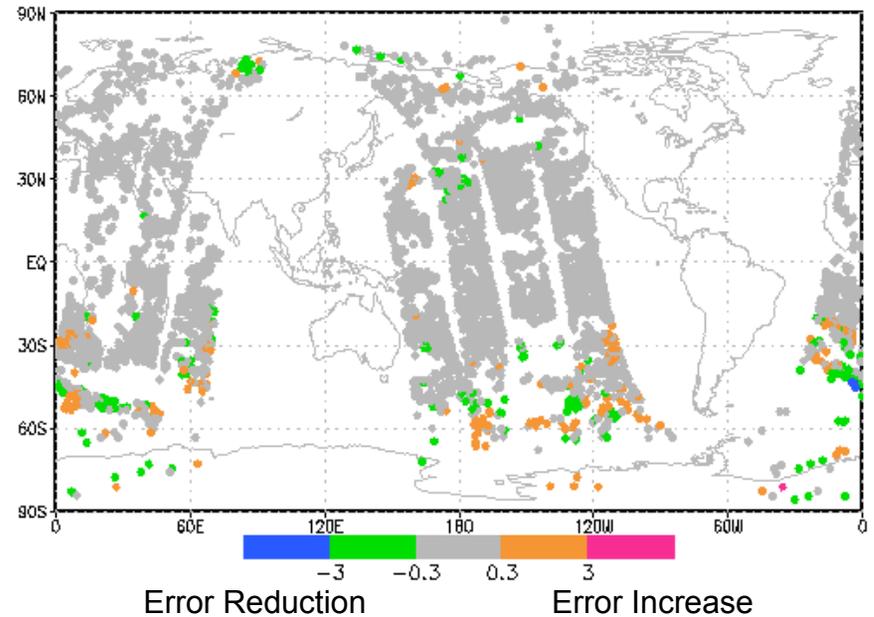
Impact of 500mb RAOB Temps

10 July 2005 00z



Impact of AIRS Ch.221 Radiances

10 July 2005 00z

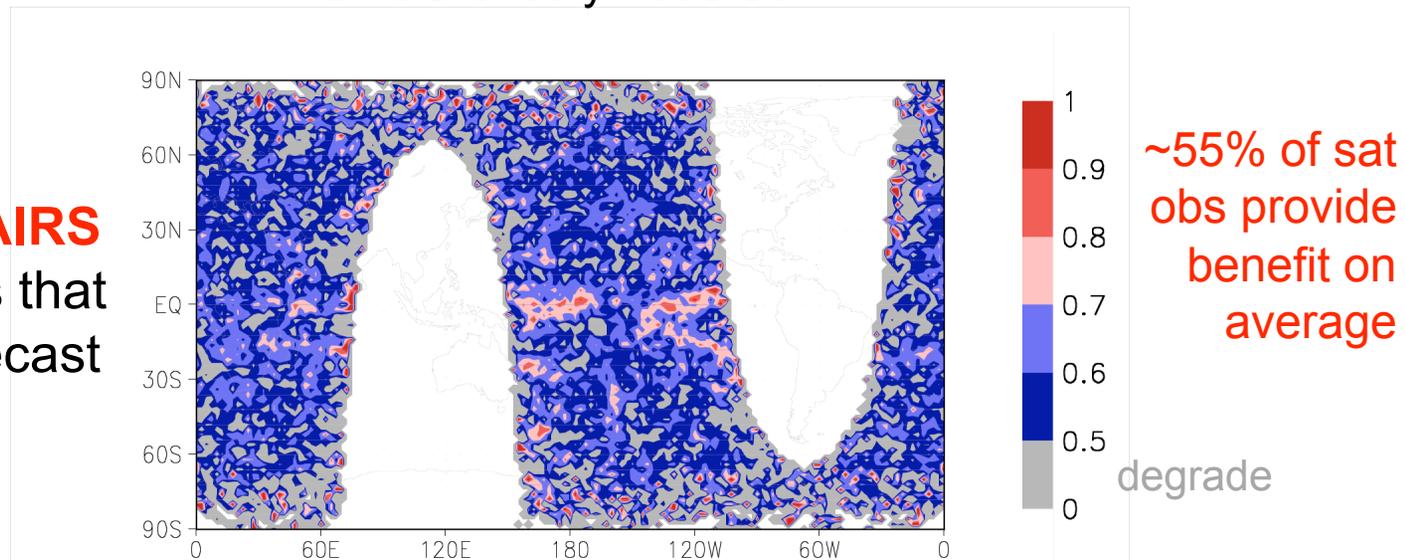


- ● Observations that **reduced** the 24h forecast error: $\delta e < 0$
- ● Observations that **increased** the 24h forecast error: $\delta e > 0$
- ● Observations that had small impact on 24h forecast error

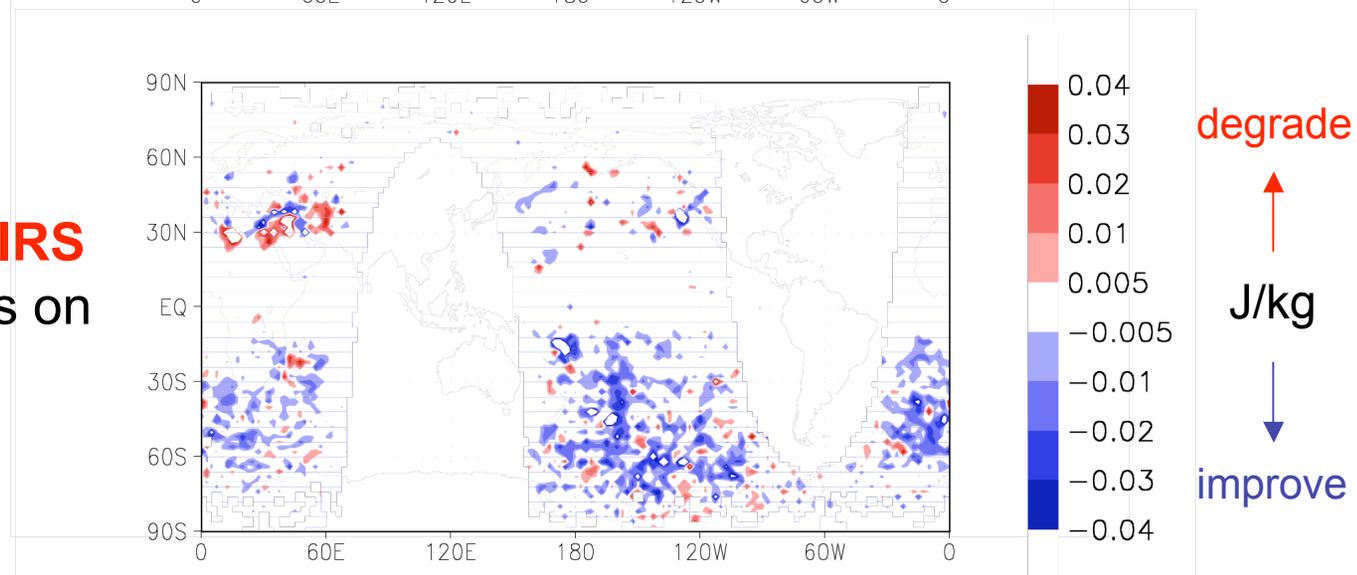
...more details of observation impact

GEOS-5 July 2005 00z

Fraction of **AIRS** observations that improve forecast

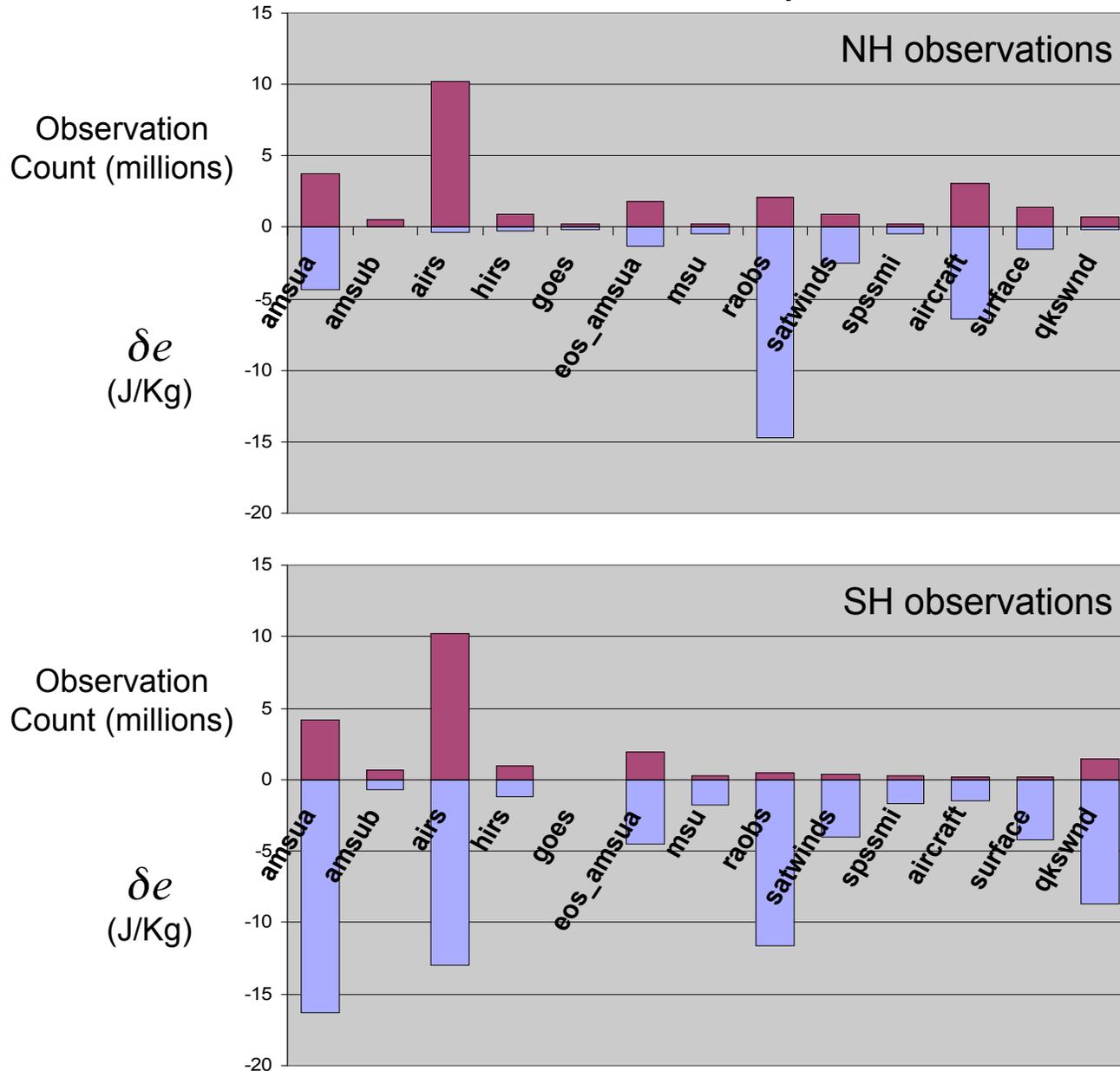


Impact of **AIRS** observations on forecast



Impacts of various observing systems **Totals**

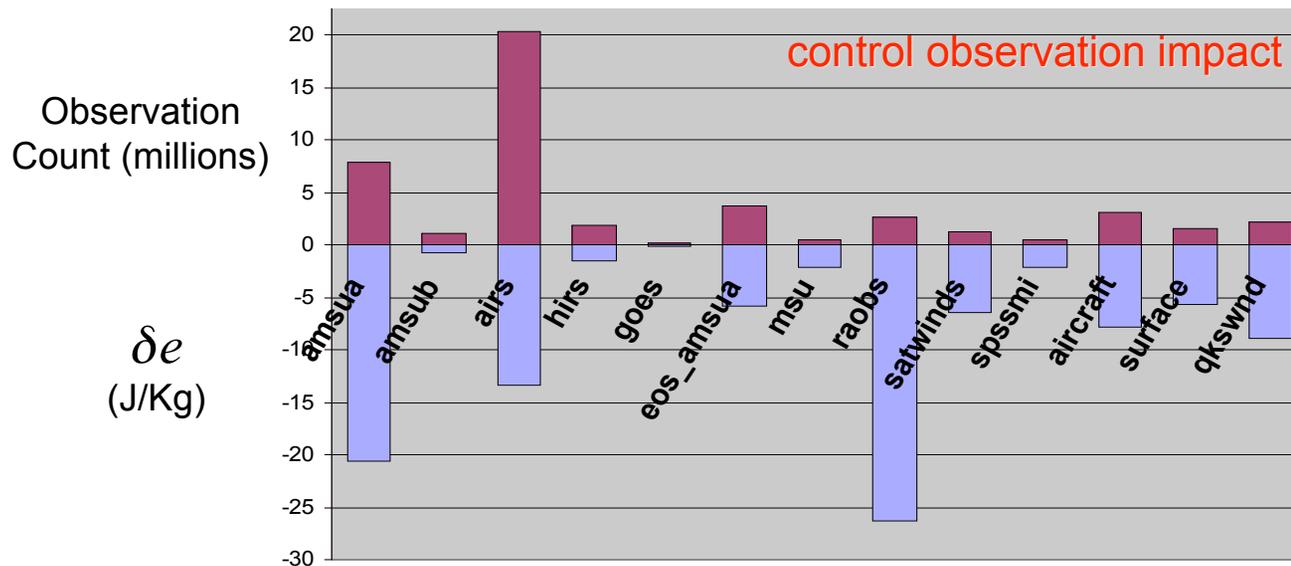
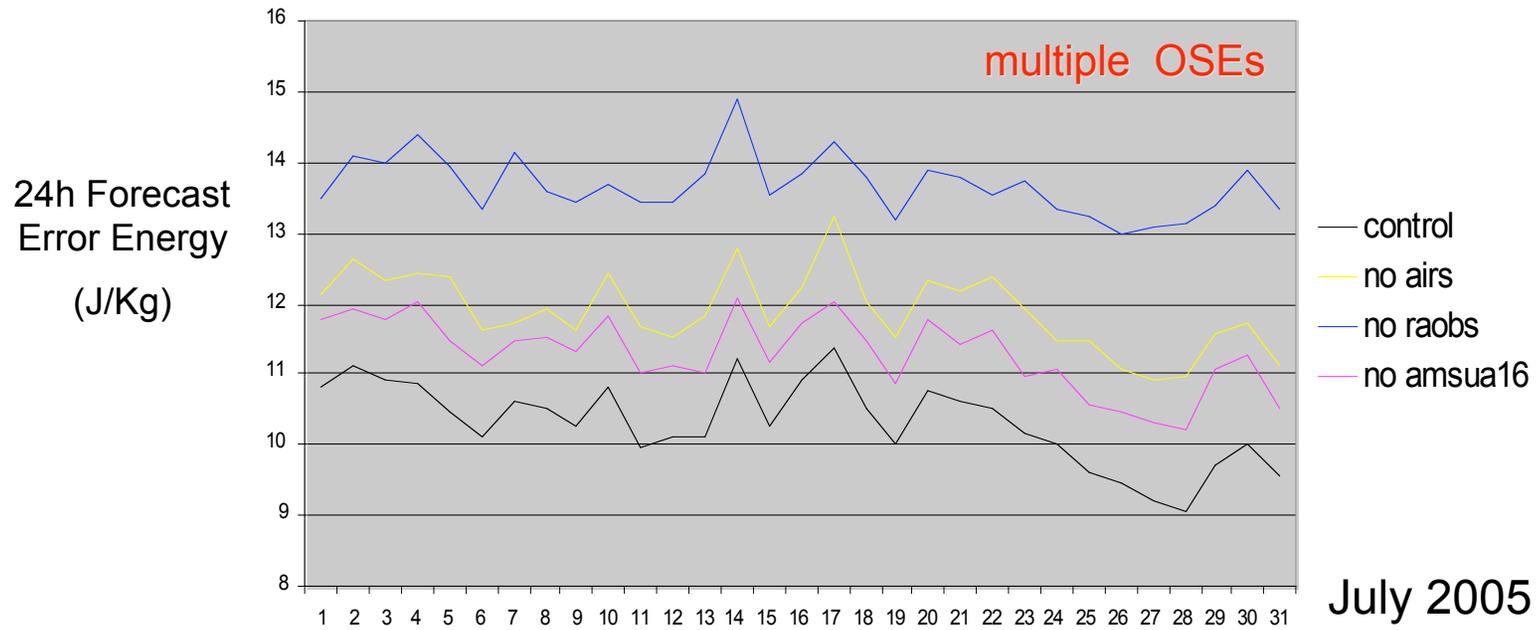
GEOS-5 July 2005



...all observing systems provide total monthly benefit

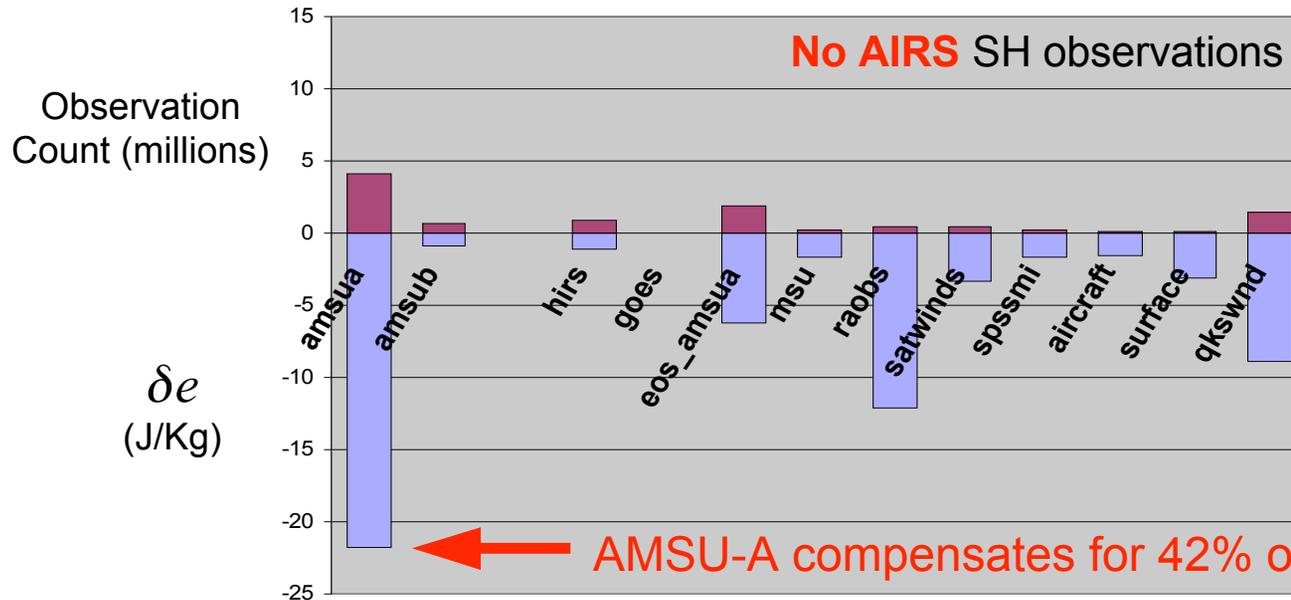
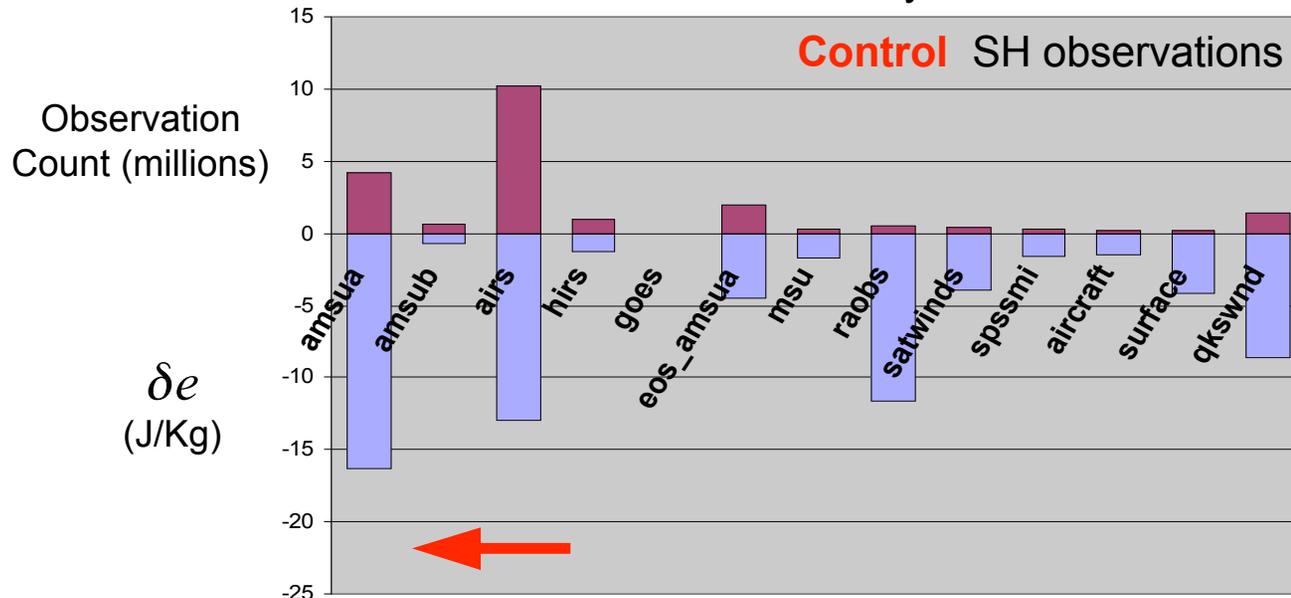
GEOS-5 Observation Impact: Comparison with OSEs

Ron Gelaro and Yanqiu Zhu



Adjoint system as complement to OSEs

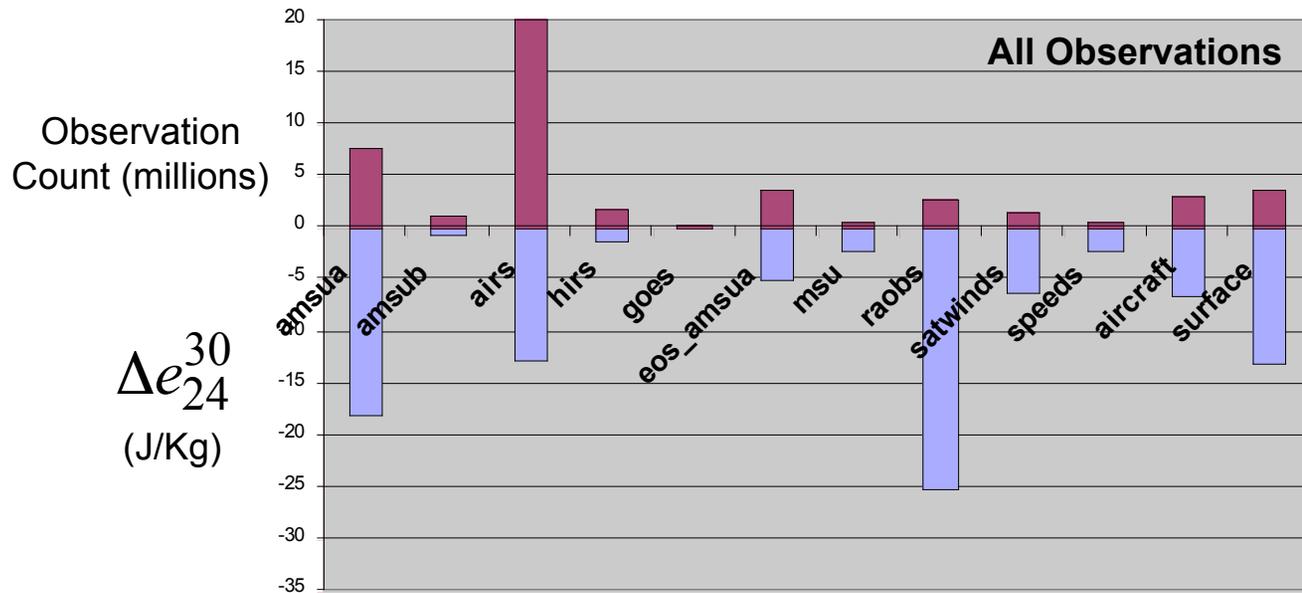
GEOS-5 July 2005



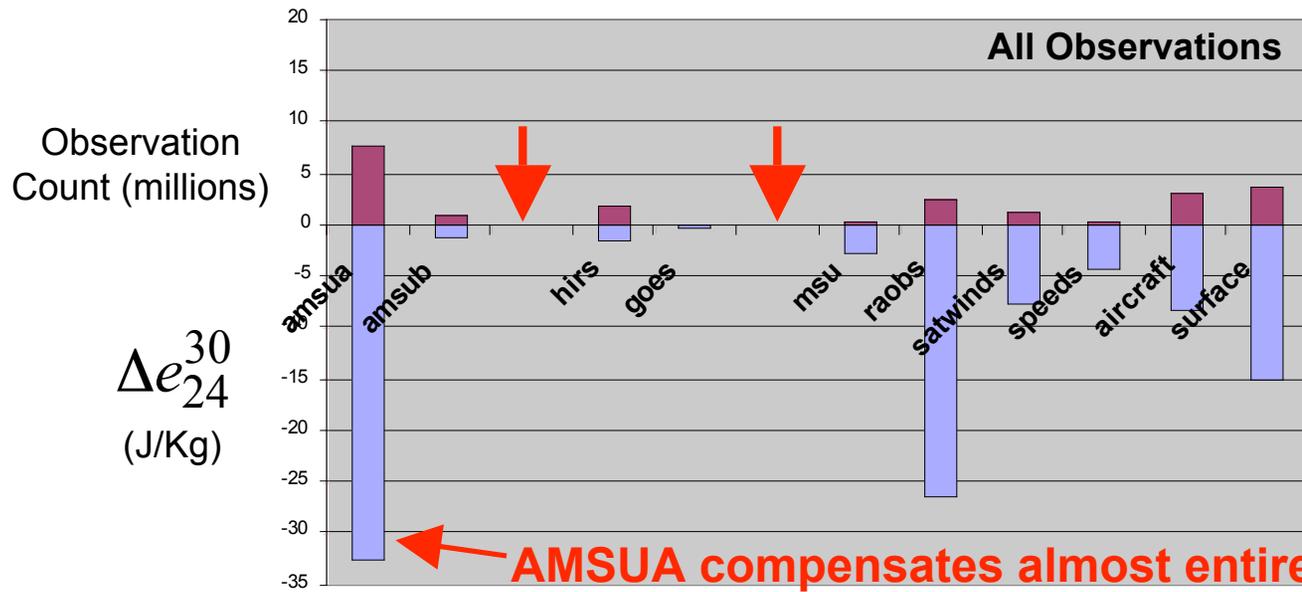
AMSU-A compensates for 42% of AIRS impact

GEOS5 Observation Impact: July 2005 00z

Totals for AQUA data denial experiments



Control All Data

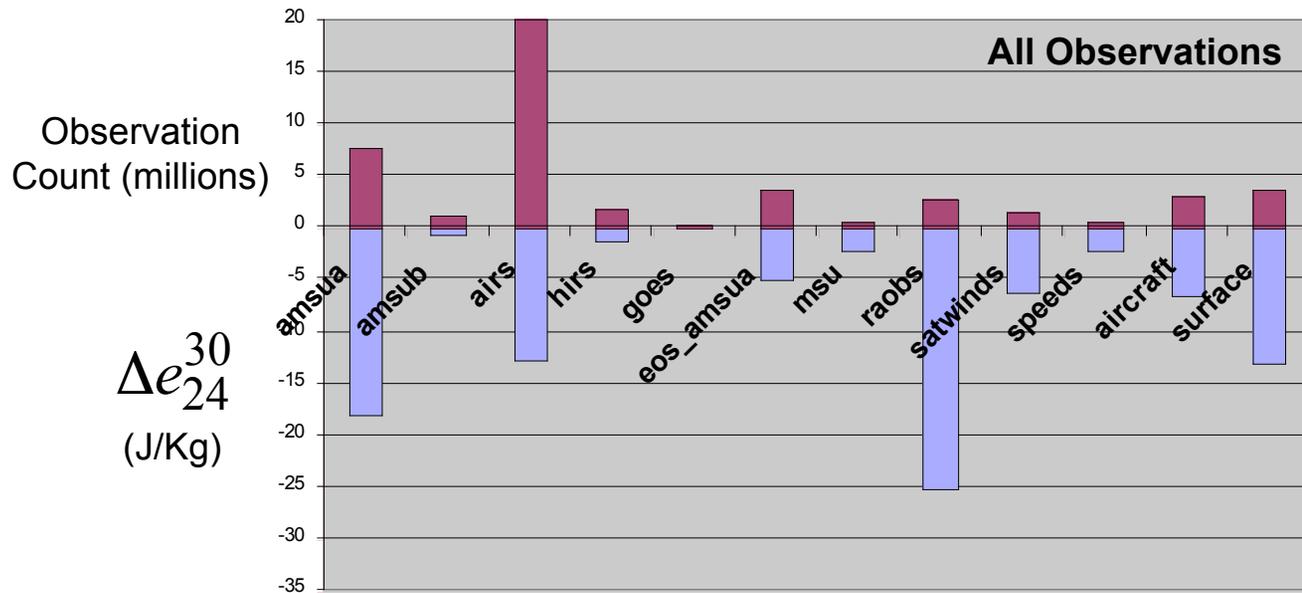


Without AQUA
AIRS+AMSUA

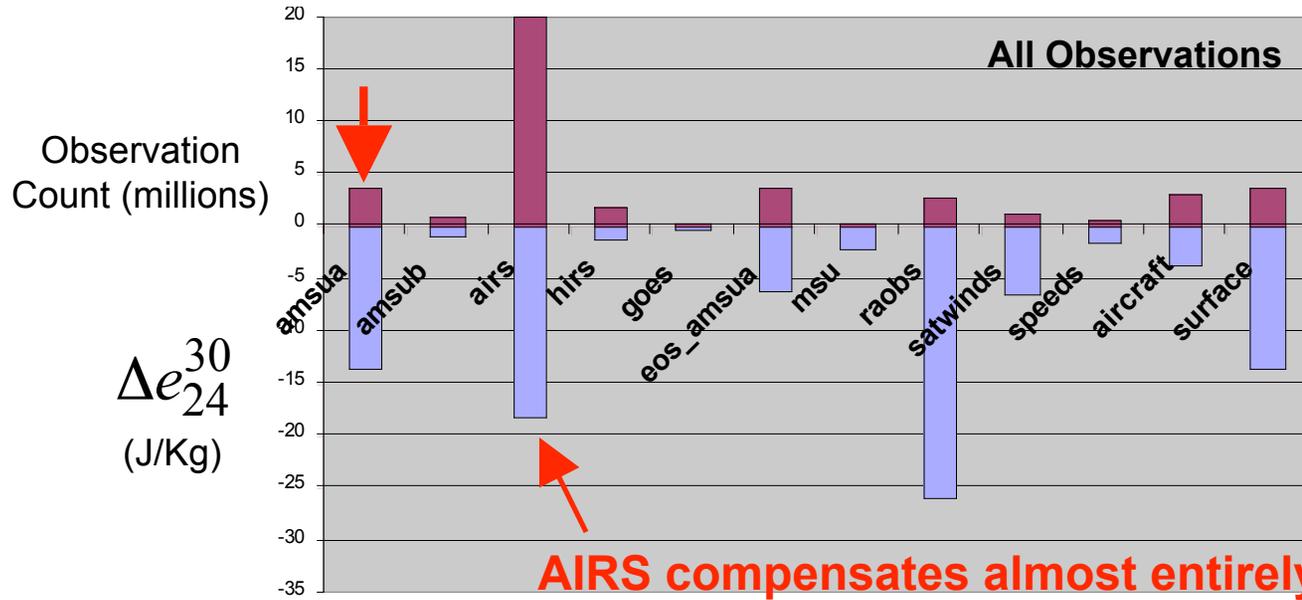
AMSUA compensates almost entirely for AQUA data

GEOS5 Observation Impact: July 2005 00z

Totals for AMSUA data denial experiments



Control All Data

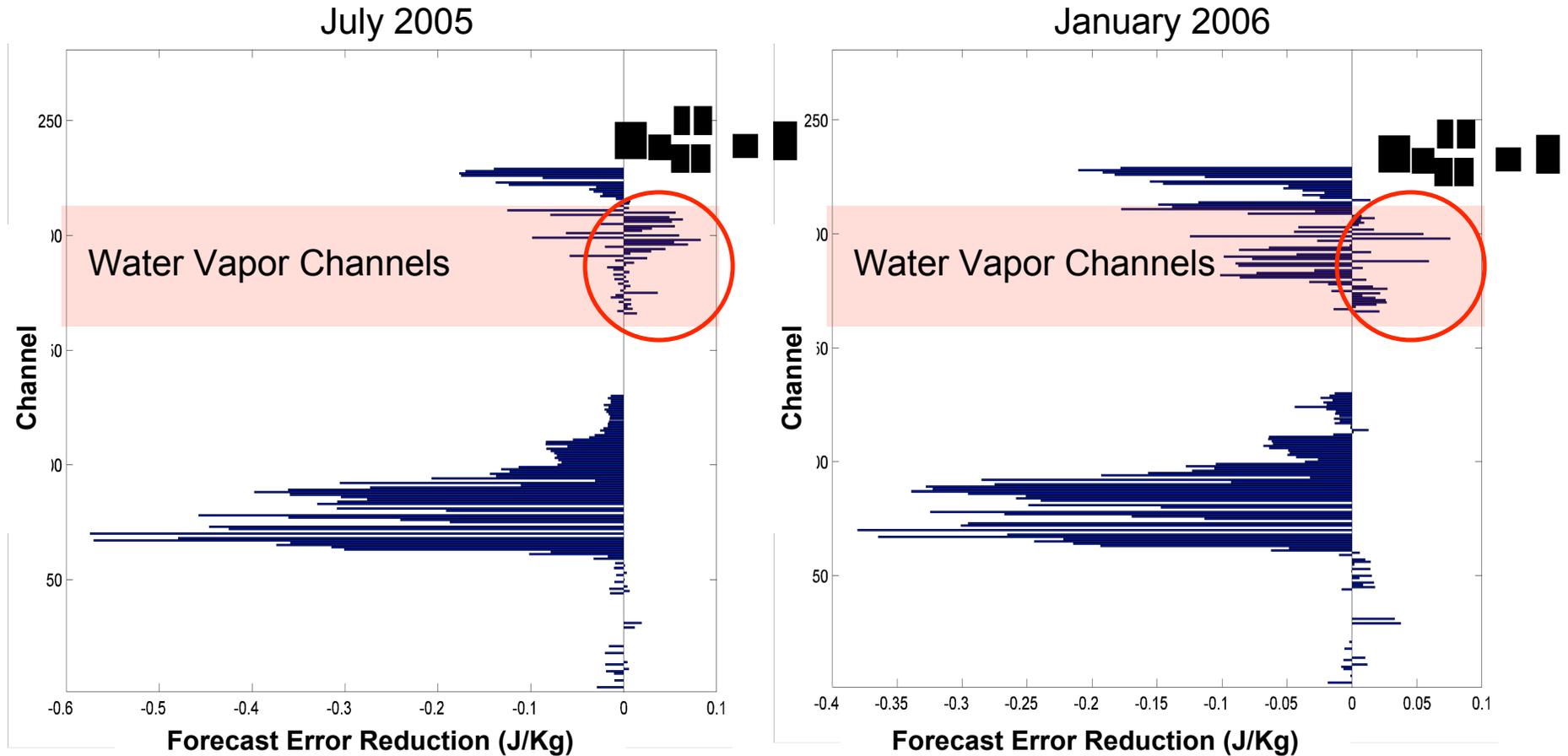


Without NOAA16 AMSU-A

AIRES compensates almost entirely for AMSUA data

GEOS5 Observation Impact:

Totals for AIRS Channels



A significant fraction of AIRS water vapor channels currently degrade the 24-h forecast in GEOS-5...investigation under way.

Conclusions

- Data assimilation system adjoint provides an accurate and efficient tool for estimating observation impact on analyses and forecasts
 - ✓ computed with respect to all observations simultaneously
 - ✓ permits arbitrary aggregation of results by data type, channel, location, etc.
- Applications to data quality assessment and selection, system performance, specification of future observing requirements,...
- Complement and extend, but not necessarily replace, traditional OSEs as tools for assessing observation impact
- Comparisons of impacts in different forecast systems should help clarify deficiencies in data quality vs. assimilation methodology, and provide valuable feedback to data producers.

Some references:

- Langland, R.H. and N. Baker, 2004: Estimation of observation impact using the NRL atmospheric variational data assimilation adjoint system. *Tellus*, **56**, 189-201.
- Errico, R.M., 2007: Interpretations of an adjoint-derived observational impact measure. *Tellus*, **59**, 273-276.
- Zhu Y. and R. Gelaro, 2007: Observation sensitivity calculations using the adjoint of the Gridpoint Statistical Interpolation (GSI) analysis system. *Mon. Wea. Rev.* (in press). (preprint available at http://gmao.gsfc.nasa.gov/research/assimilation/GSIadj_paper.pdf)

Ozone Assimilation

Ivanka Stajner

Ozone in GEOS-5 DAS

Data:

- **SBUV** and **OMI** ozone
- **TOVS** and **AIRS** radiances
- **MLS** retrieved stratospheric ozone profiles

Model:

- Transport in GCM
- Parameterized chemistry (production and loss rates)

GSI-model interface uses Incremental Analysis Update

Prognostic ozone used in:

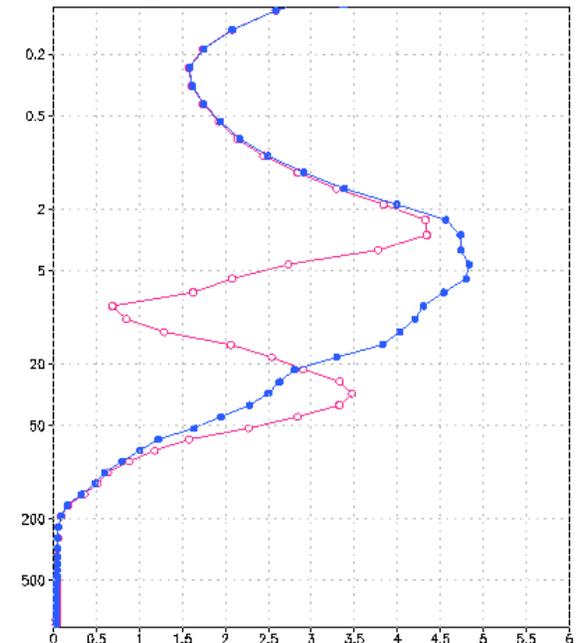
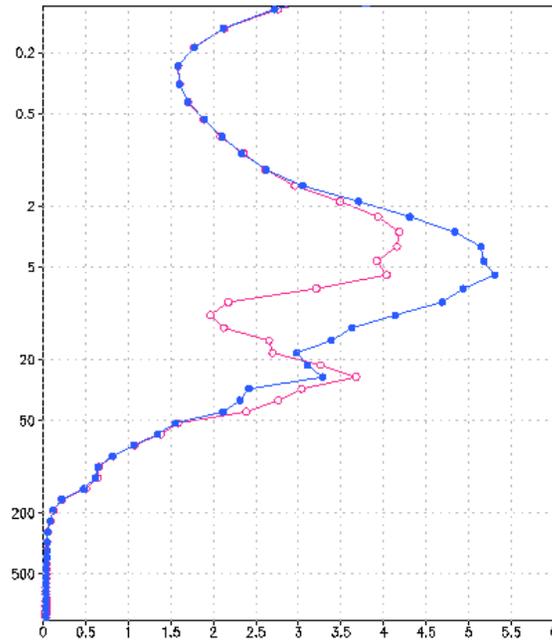
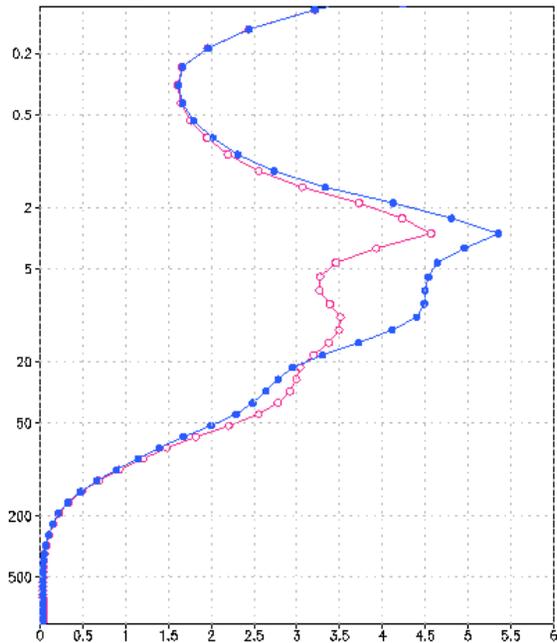
- Radiative heating computations in GCM
- Assimilation of IR radiances

AIRS and polar ozone

- In standard configuration AIRS ozone channels (around 9.6 μm) are not used.
- Other AIRS channels are sensitive to ozone.
- AIRS has an adverse impact on GEOS-5 ozone during polar night
 - No SBUV or OMI data present
 - GSI increments from AIRS systematically reduce ozone
 - Increments arise from AIRS water vapor channels
 - Increments coincide with polar stratospheric clouds
- Problem larger in the Antarctic, but also seen in the Arctic.

Impact of AIRS in polar night

20040828_18z SP 03, red:u190, blu:u' 20040903_18z SP 03, red:u190, blu:u191 20040909_18z SP 03, red:u190, blu:u191



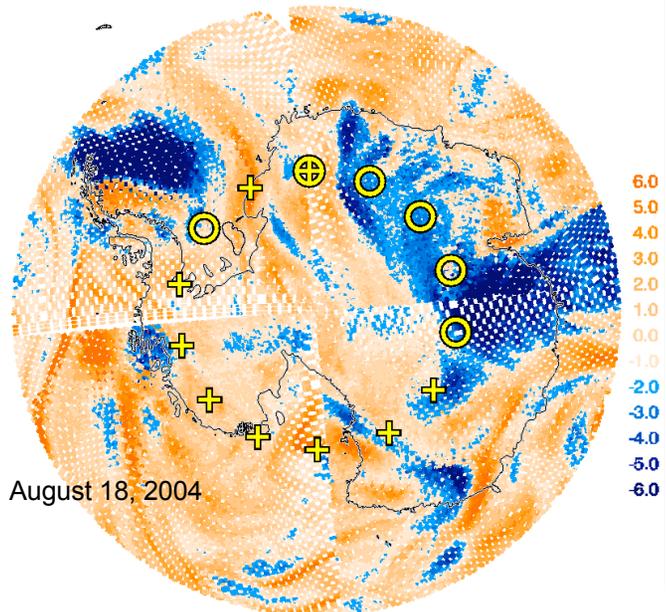
GEOS-5 crashed in
GCM on Sept. 10

Runs start on August 27, 2004

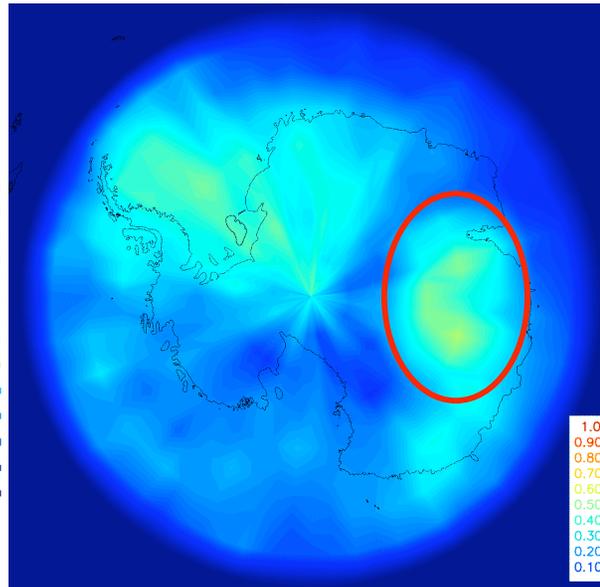
- Ozone profiles at South Pole
- 152 AIRS channels used: not ozone channels 1003-1285
- Red – other AIRS channels impact ozone
- Blue – impact of AIRS on ozone turned off

Ice Polar Stratospheric Clouds (PSCs) Detected from Assimilation of AIRS Data

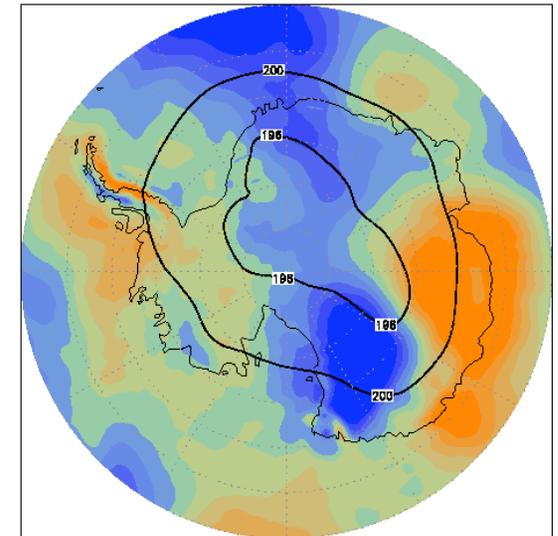
Ivanka Stajner



AIRS observations-minus-**GEOS-5** forecast (O-Fs) for $6.79\mu\text{m}$ “moisture” channel. The forecast is computed using the CRTM assuming that clouds are not present. O-Fs lower than -2K (**blue**) typically coincide with locations where POAM III detected ice PSCs (⊙).



High frequency of AIRS O-Fs lower than -2K indicates frequent ice PSCs in an unusual region during August 2004.



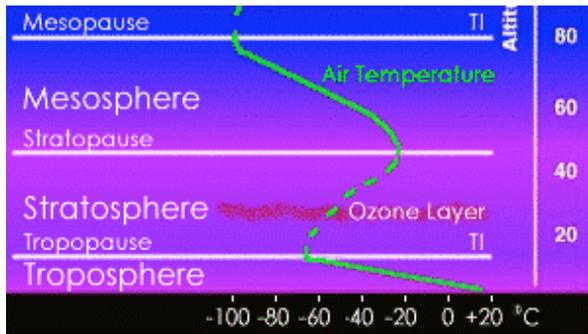
This is a cold region (temperature contours) with frequent upwelling (**orange**) during August 2004 at 200 hPa over Antarctica.

I. Stajner, C. Benson, H.-C. Liu, S. Pawson, N. Brubaker, L.-P. Chang, L. P. Riishojgaard and R. Todling (GMAO). *Geophysical Research Letters* (in press).

Contact: ivanka@gmao.gsfc.nasa.gov

Ozone: status and plans

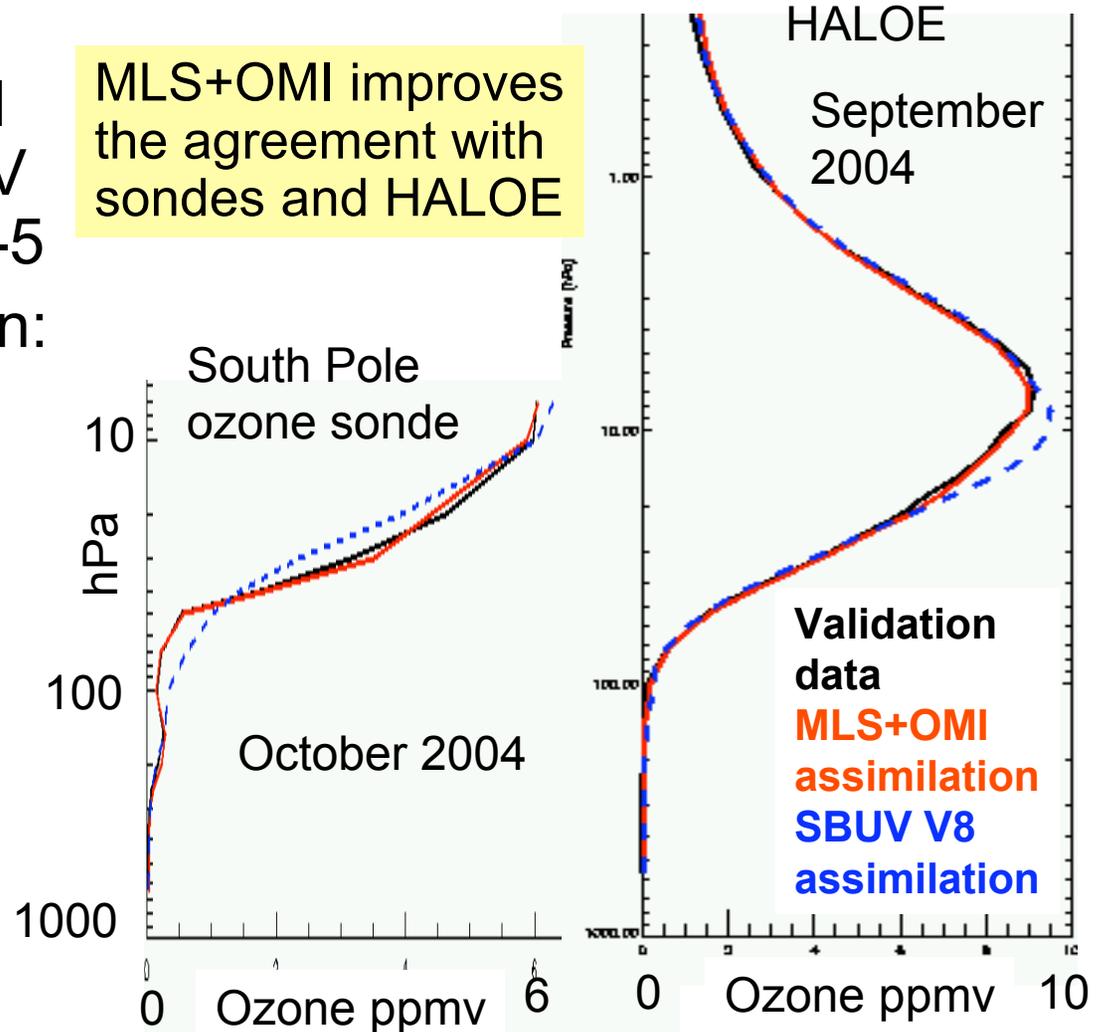
- AIRS ozone assimilation in GEOS-5 highlights the complex interactions between the model, data and analysis methodology
- GEOS-5 development
 - Modify quality control for AIRS moisture channels to eliminate PSC-contaminated data
 - Include AIRS ozone channels with appropriate quality control
- AIRS moisture channels are being exploited to generate maps of thick PSCs...lead to eventual improvement in detection of PSCs...



Atmospheric structure and radiative transfer

- Assimilation of MLS+OMI was compared with SBUV V8 assimilation in GEOS-5
- Assimilated ozone used in:
 - assimilation of IR data
 - radiation computations
- A modest impact on the forecast skill at 500 hPa (a couple of hours)
- ~0.5 K impact on the brightness temperatures for channels near 9.6 μm

MLS+OMI improves the agreement with sondes and HALOE

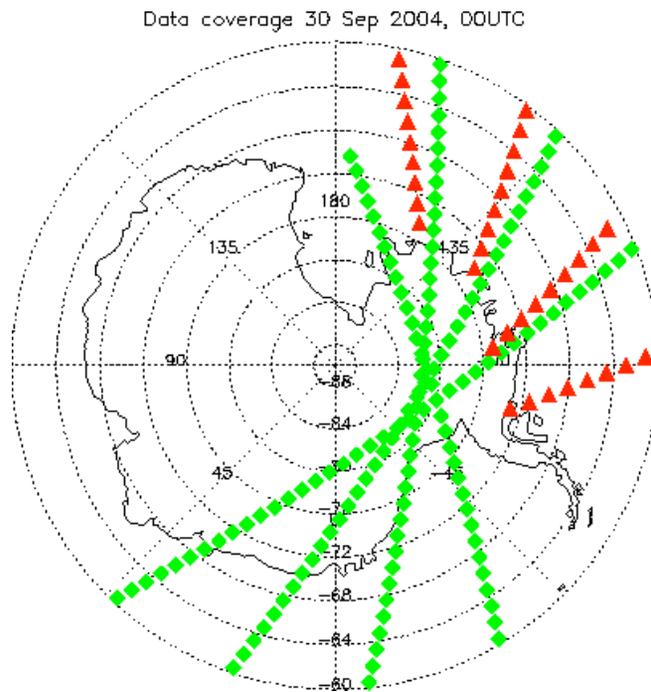


Assimilating AURA/MLS ozone

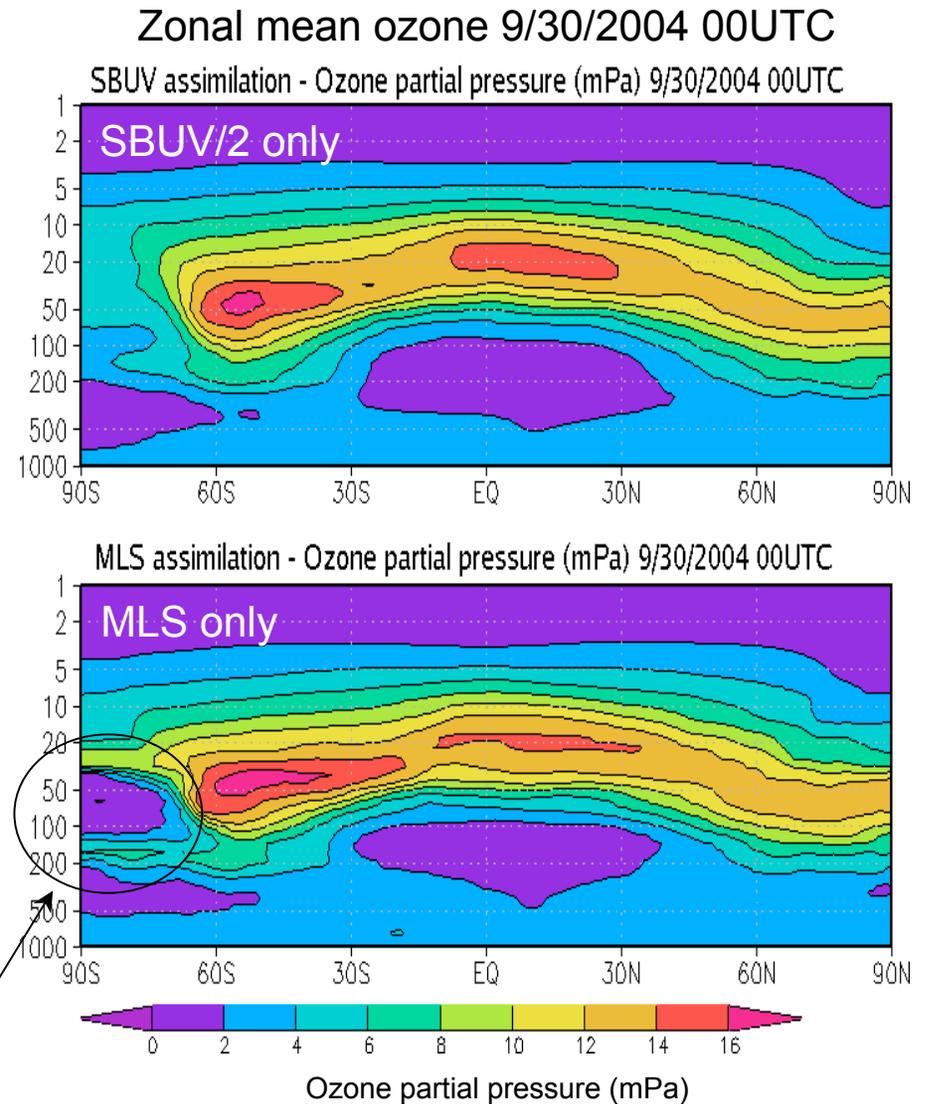
Meta Sienkiewicz and Ivanka Stajner

SBUV daytime only – no data near South Pole due to high solar zenith angle

MLS orbital limit $\pm 82^\circ$

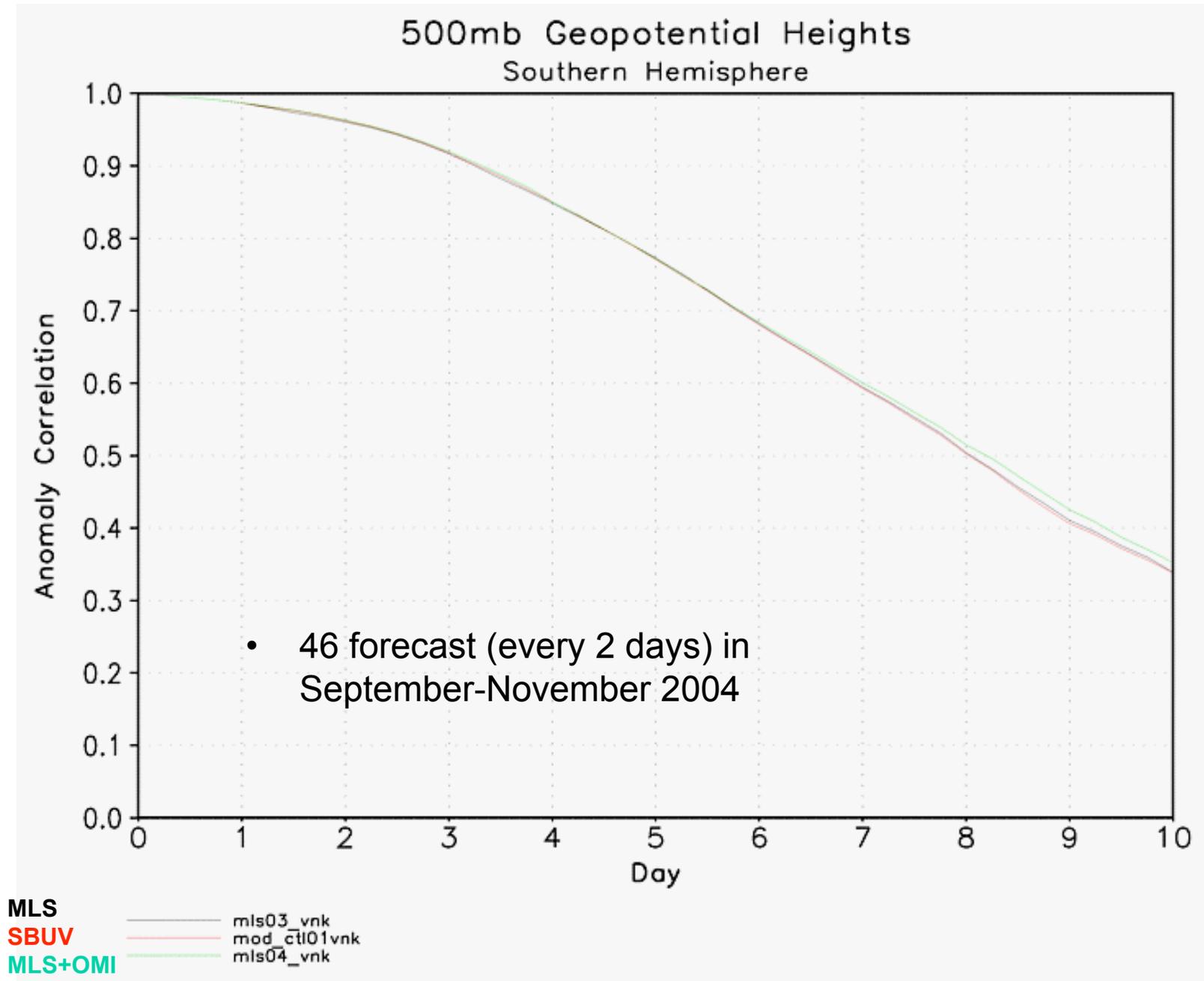


- ▲ NOAA 16 SBUV
- ◆ MLS

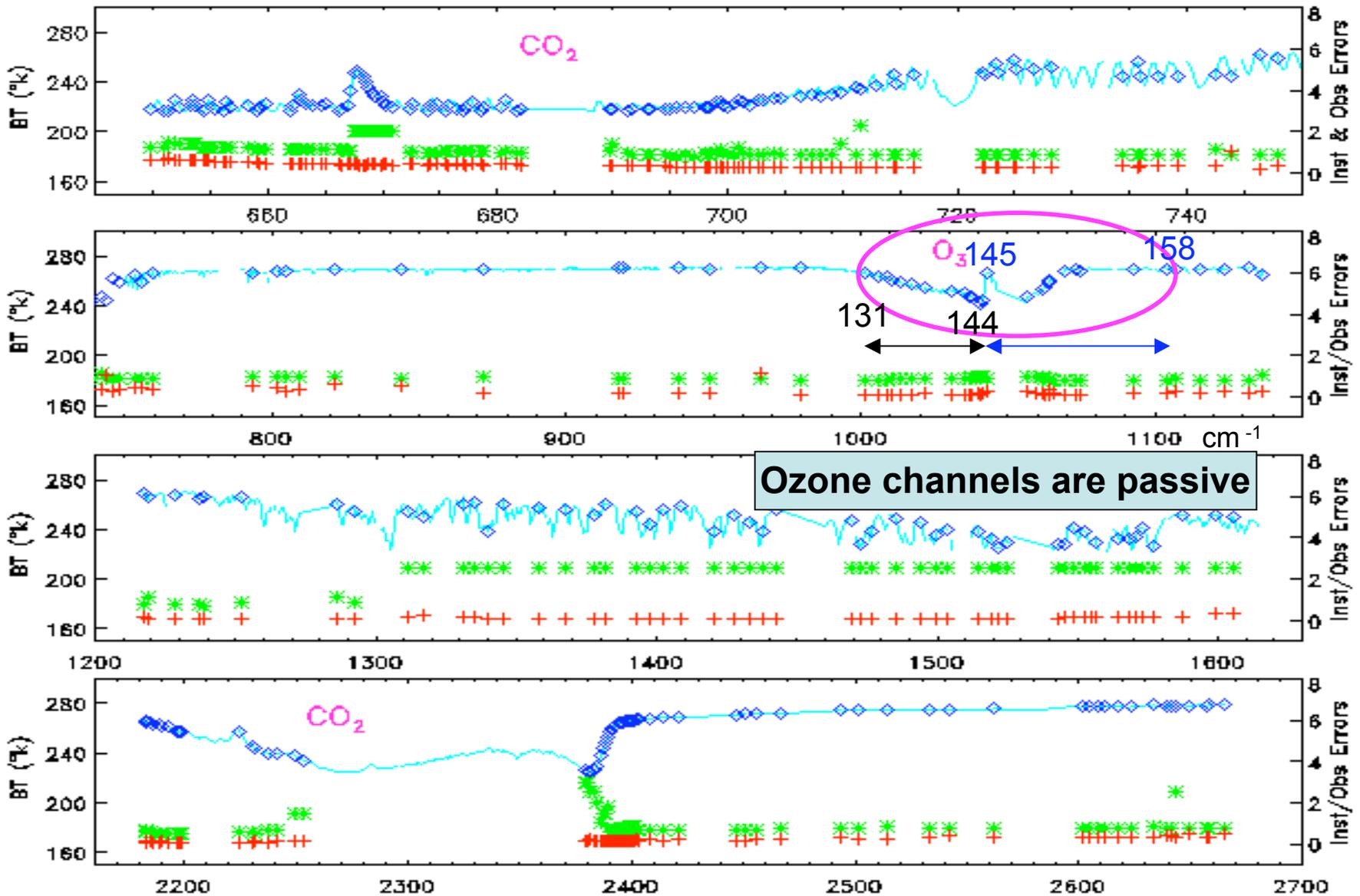


Ozone hole develops in MLS assimilation

Forecast skill



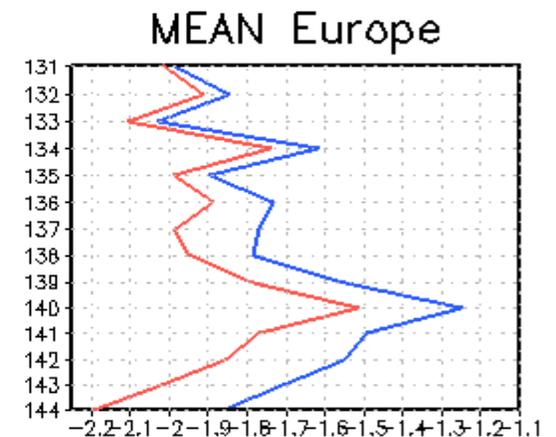
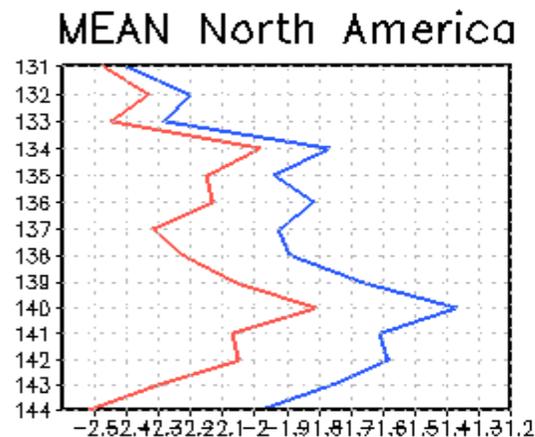
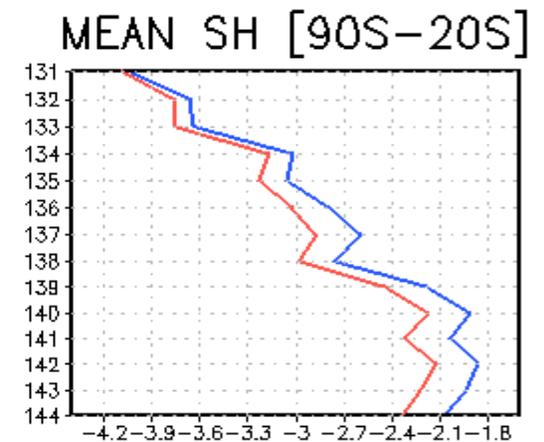
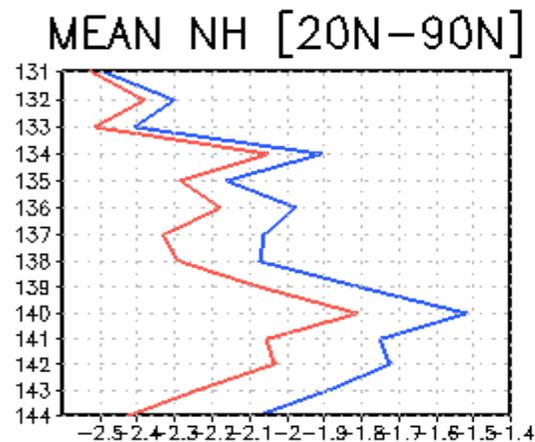
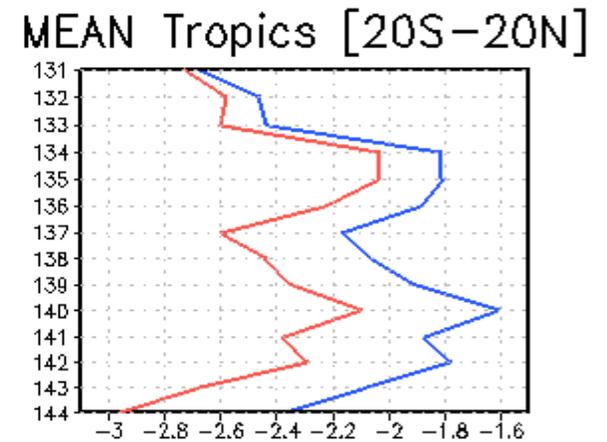
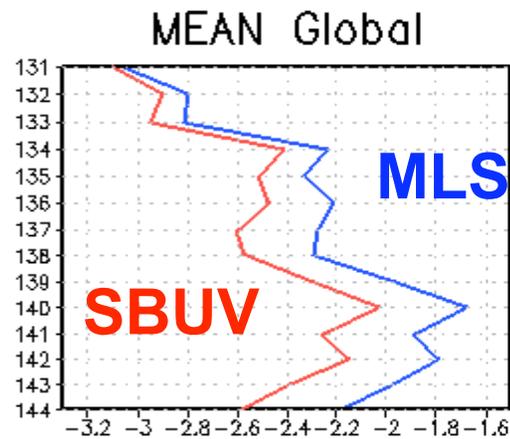
AIRS channel errors and selection



◇ 281 channels + instrument errors * observation errors

AIRS O-A mean

- AIRS observation-minus-analysis (O-A) residuals for September 2004
- Mean for ozone channels 131-144 (1001.4 - 1041.1 cm^{-1})
- Smaller bias with MLS, especially in channels more sensitive to ozone (e.g. 144)



MERRA

<http://gmao.gsfc.nasa.gov/merra/>

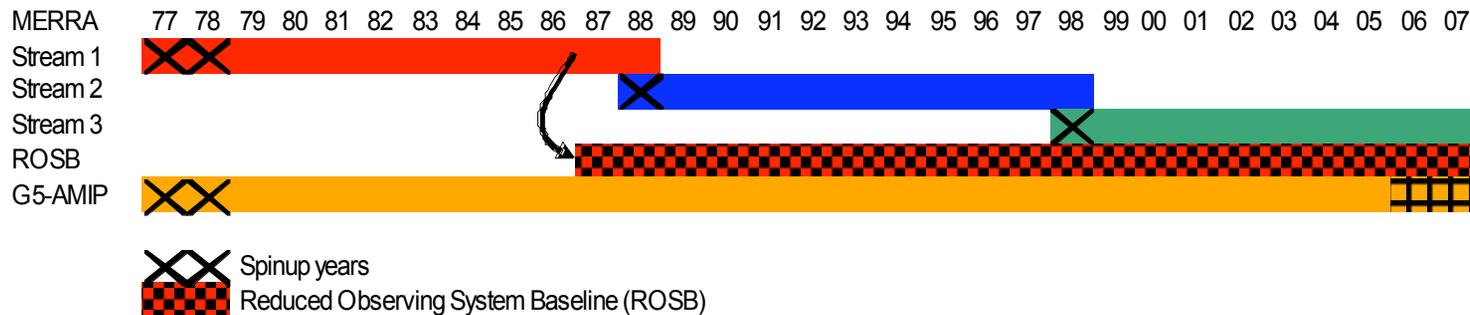
MERRA System

1/2° × 2/3° × 72L to .01 mb
1979-present
GSI Analysis with IAU
Parallel AMIP run

EMPHASIS ON WATER CYCLE
▪ Global Precipitation,
Evaporation, Land Hydrology,
Cloud parameters and TPW

GLOBAL HEAT AND WATER BUDGETS
FOR ALL PROCESSES

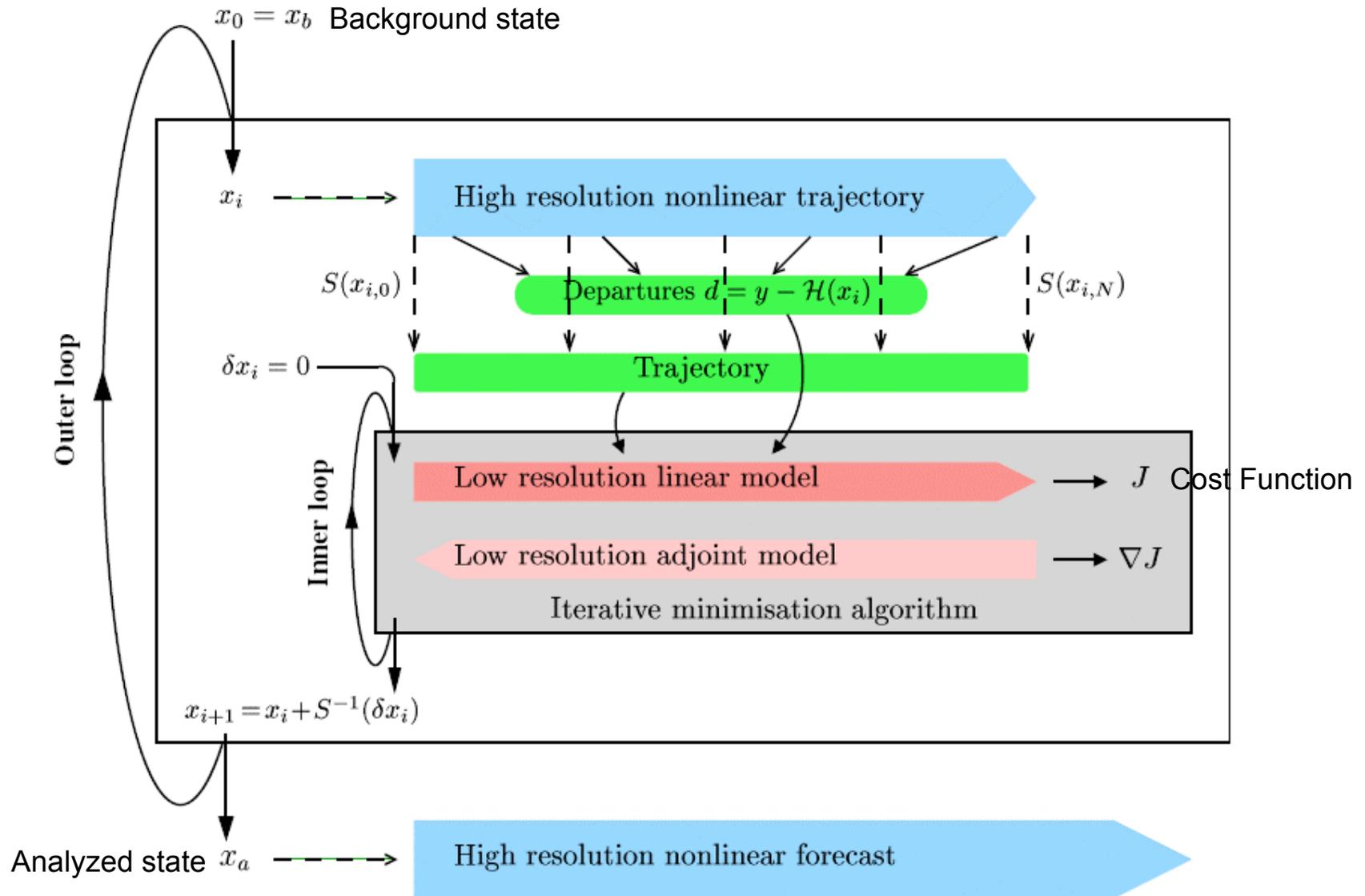
DIURNAL CYCLE FROM HOURLY 2-D
FIELDS



4dVAR with GEOS-5

Yannick Tremolet and Ricardo Todling

The next System - 4D-VAR

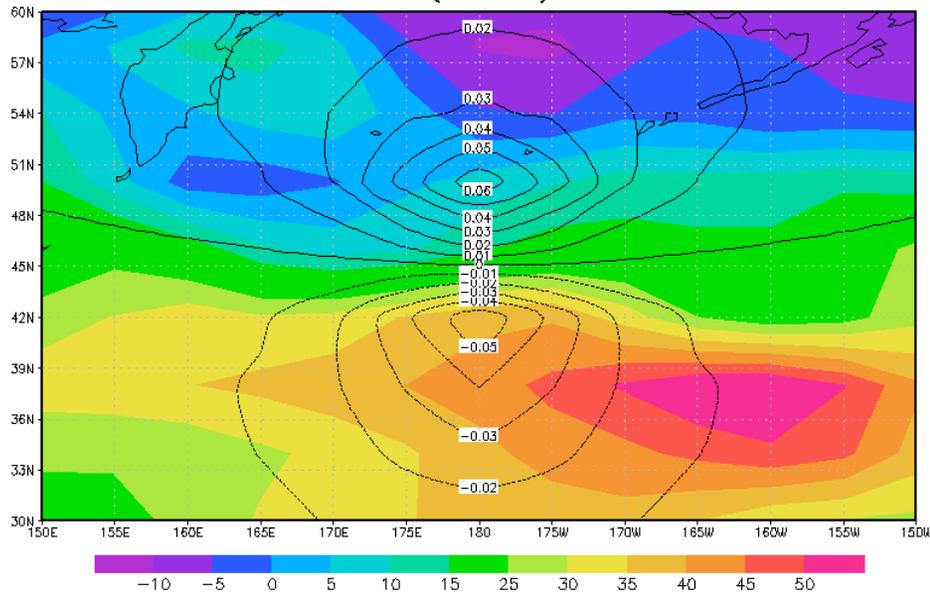


From ECMWF

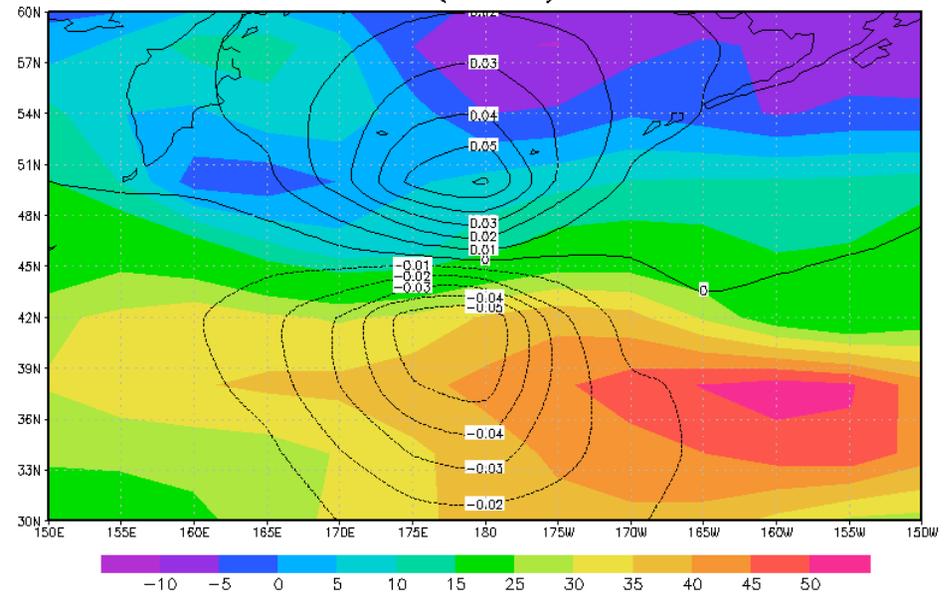
Progress in 4D-VAR Development (Tremolet & Todling)

- 1. Trajectory Model: GEOS-5 with full physics**
- 2. Model Adjoint: FV core with simple physics**
- 3. Extension of GSI components for 4D-VAR**
 - Observation windowing flexibility
 - Observation handling (higher temporal-resolution bins)
 - Computation of time-dependent departures (OmF's)
 - Preliminary version of model-analysis interface
 - Options for minimization algorithm
- 4. Fine \Leftrightarrow Coarse mappings: ESMF**

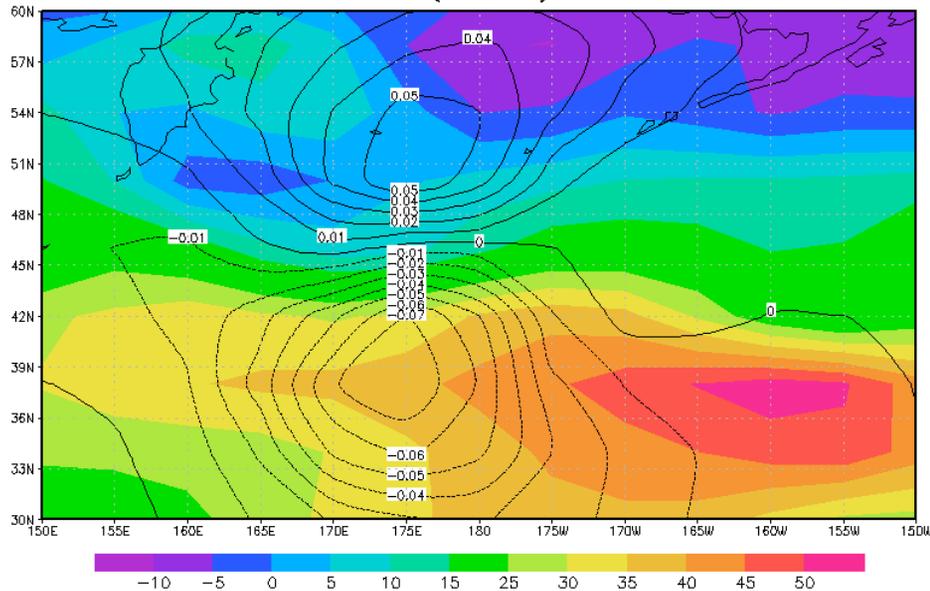
500 hPa Guess(shaded) vs Inc Uwind



500 hPa Guess(shaded) vs Inc Uwind

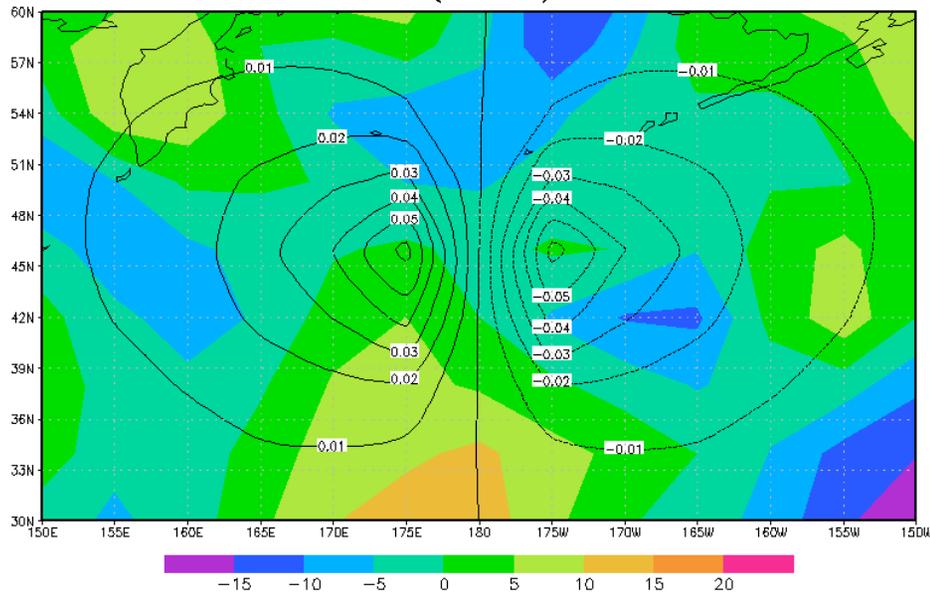


500 hPa Guess(shaded) vs Inc Uwind

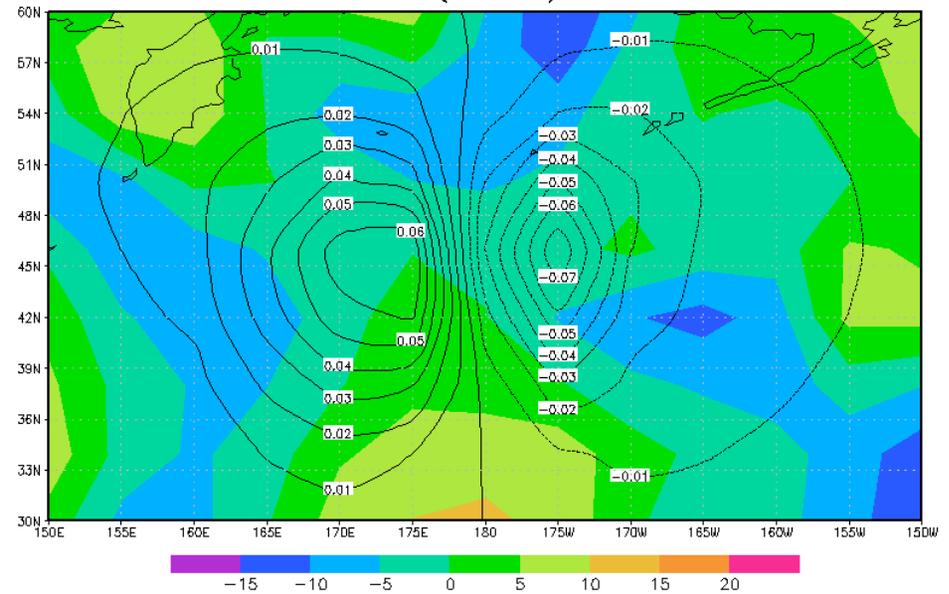


- 500 hPa Uwnd Increment (cint=.01 m/s) at start-time for 1 K Tob (1 K ob error) at 45N 180E
- @ t-2.9, t=0, t+2.9 hrs respectively.

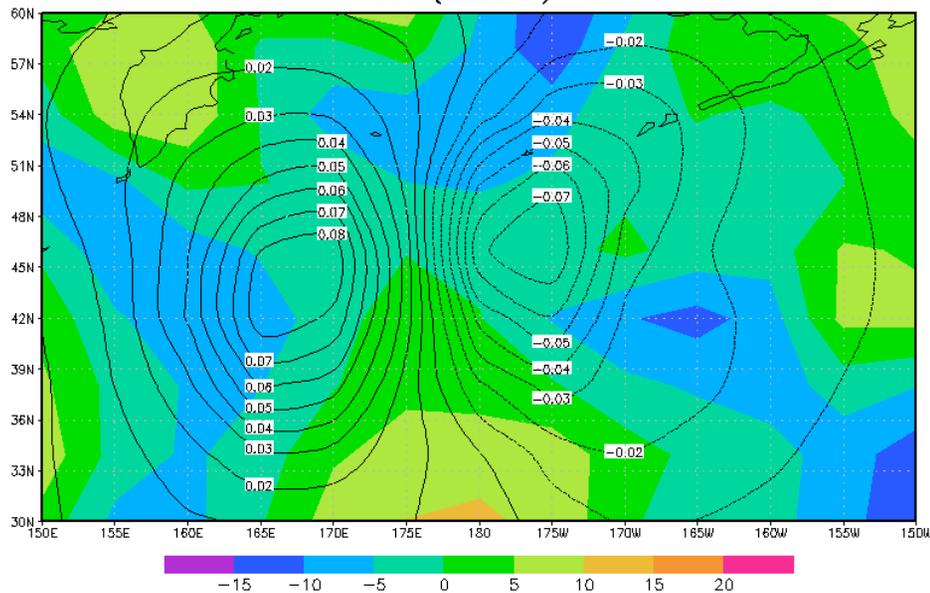
500 hPa Guess(shaded) vs Inc Vwind



500 hPa Guess(shaded) vs Inc Vwind



500 hPa Guess(shaded) vs Inc Vwind

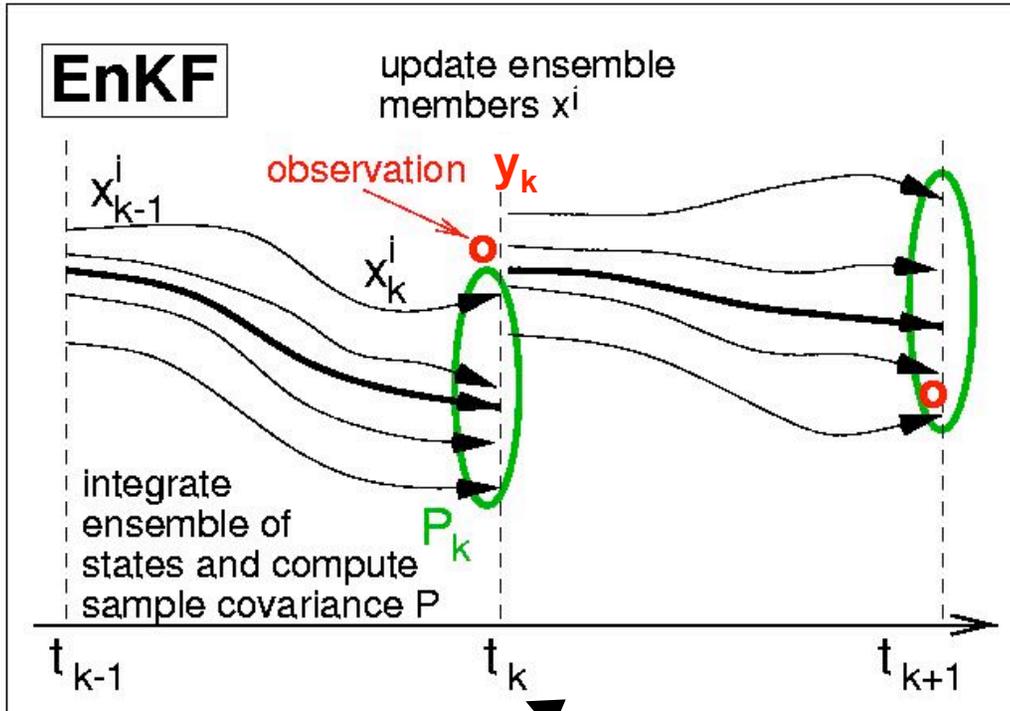


- 500 hPa Vwnd Increment (cint=.01 m/s) at start-time for 1 K Tob (1 K ob error) at 45N 180E
- @ t-2.9, t=0, t+2.9 hrs respectively.

Land Surface Data Assimilation

Rolf Reichle and Randy Koster

Soil moisture assimilation



x_k^i state vector (eg soil moisture)
 P_k state error covariance
 R_k observation error covariance

Propagation t_{k-1} to t_k :

$$x_k^{i-} = f(x_{k-1}^{i+}) + w_k^i$$

w = model error

Update at t_k :

$$x_k^{i+} = x_k^{i-} + K_k(y_k^i - x_k^{i-})$$

for each ensemble member $i=1 \dots N$

$$K_k = P_k (P_k + R_k)^{-1}$$

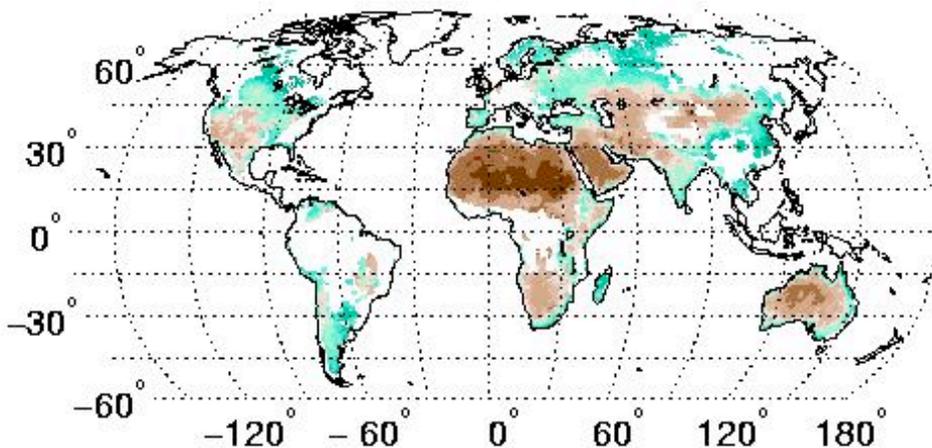
with P_k computed from ensemble spread

Data sources

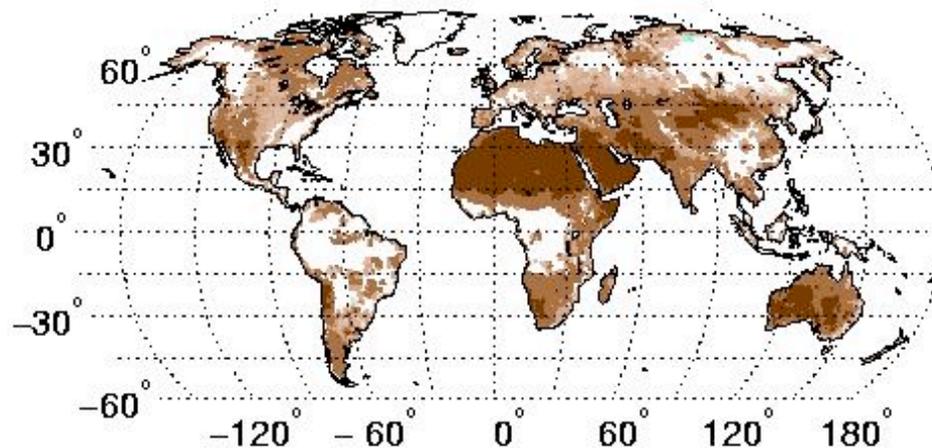
		“SMMR period” 1979-87 (~8.5 years)	“AMSR-E period” 2002-05 (~3.5 years)
Soil moisture retrievals	<i>Sensor</i>	SMMR (Nimbus 7)	AMSR-E (Aqua)
	<i>Frequency</i>	C-Band (6.6 GHz)	X-Band (10.7 GHz)
	<i>Sampling depth</i>	~1.25 cm	~1 cm
	<i>Horiz. Resolution</i>	~150 km	~40 km
	<i>Equator crossing</i>	12 am/pm	1:30 am/pm
	Algorithm	Owe et al., 2001	Njoku et al. (http://nsidc.org)
Land surface model		NASA Catchment (~0.5°)	(same w/ minor updates)
Meteorol. forcing data (obs.-based)	<i>Author</i>	Berg et al., 2005	GLDAS
	<i>Baseline</i>	Re-analysis (ERA-15)	NASA GEOS NWP analysis
	Observations	Monthly	Daily/pentad
	Precipitation	GPCP satellite/gauge	CMAP (5-day)
	Radiation	SRB (1983-87 only)	AGRMET daily
	<i>Air temp./humid.</i>	CRU	(None)
	<i>Horiz. resolution</i>	~2 deg	~2 deg
In situ data		GSMDB	USDA SCAN

Satellite vs. satellite bias (time avg. soil moisture)

SMMR (1979-87)



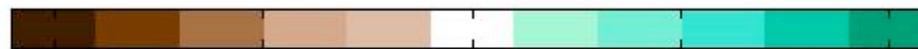
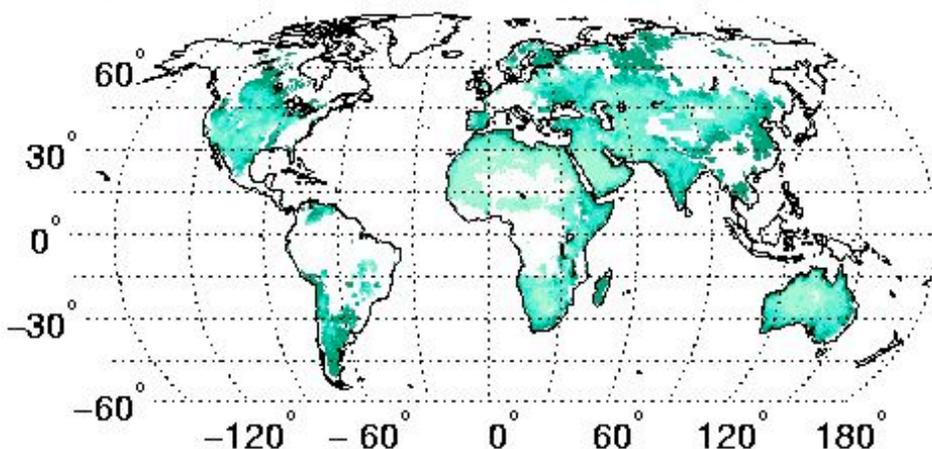
AMSR-E (2002-05)



0.05 0.1 0.15 0.2 0.25 0.3 0.35 0.4

Soil moisture [m^3/m^3]

SMMR minus AMSR-E



-0.2

-0.1

0

0.1

0.2

Soil moisture [m^3/m^3]

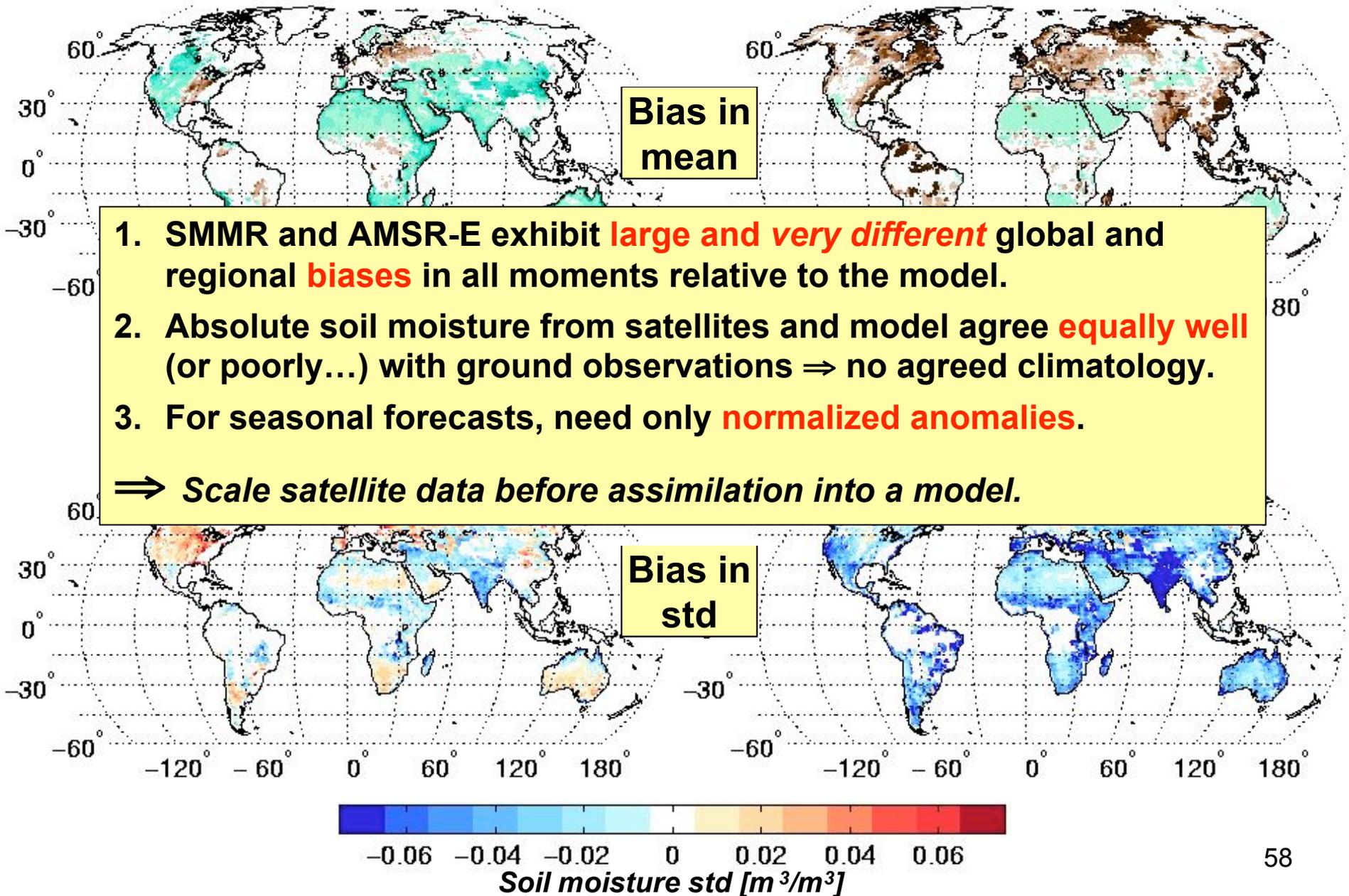
SMMR retrievals **much** wetter than AMSR-E retrievals.

Magnitude of differences comparable to dynamic range.

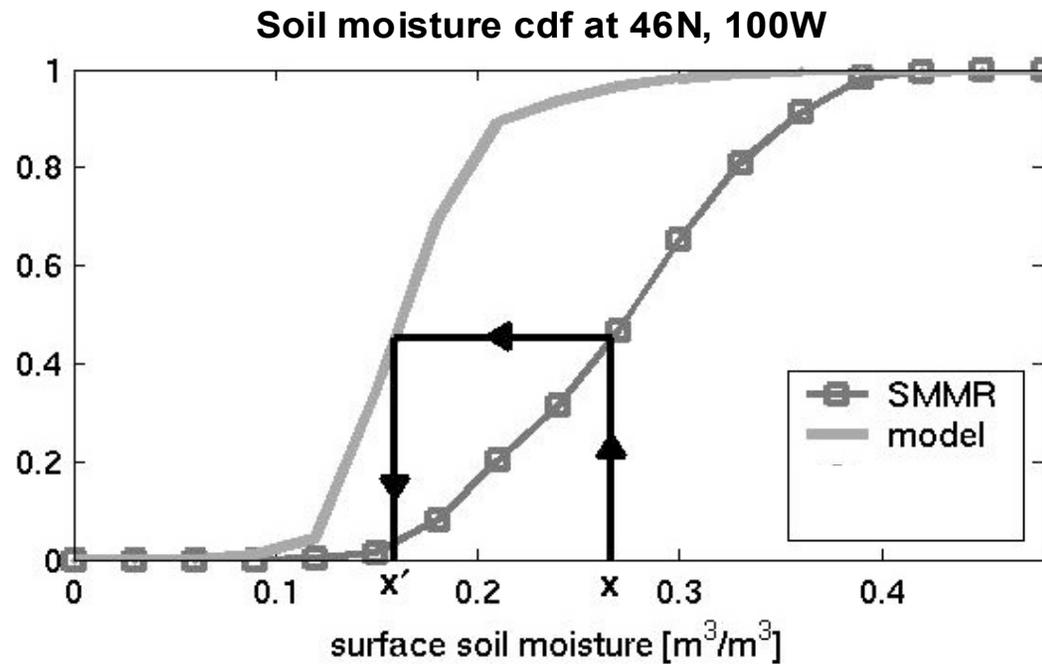
Satellite vs. model bias

SMMR minus model (1979 -87)

AMSR-E minus model (2002 -05)



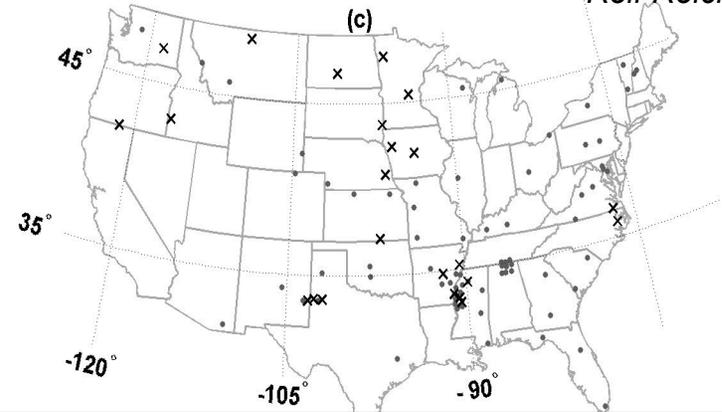
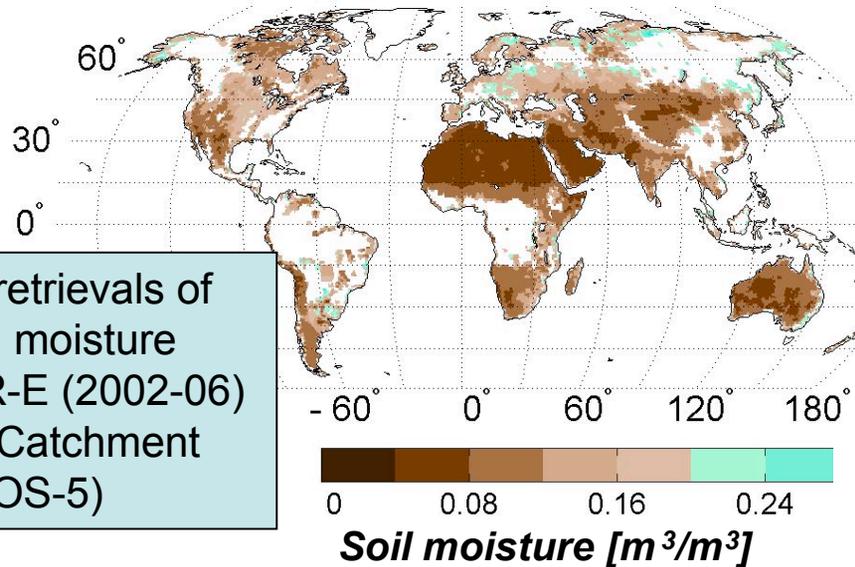
Soil moisture scaling for data assimilation



Assimilate percentiles.

Global assimilation of AMSR-E soil moisture retrievals

Rolf Reichle

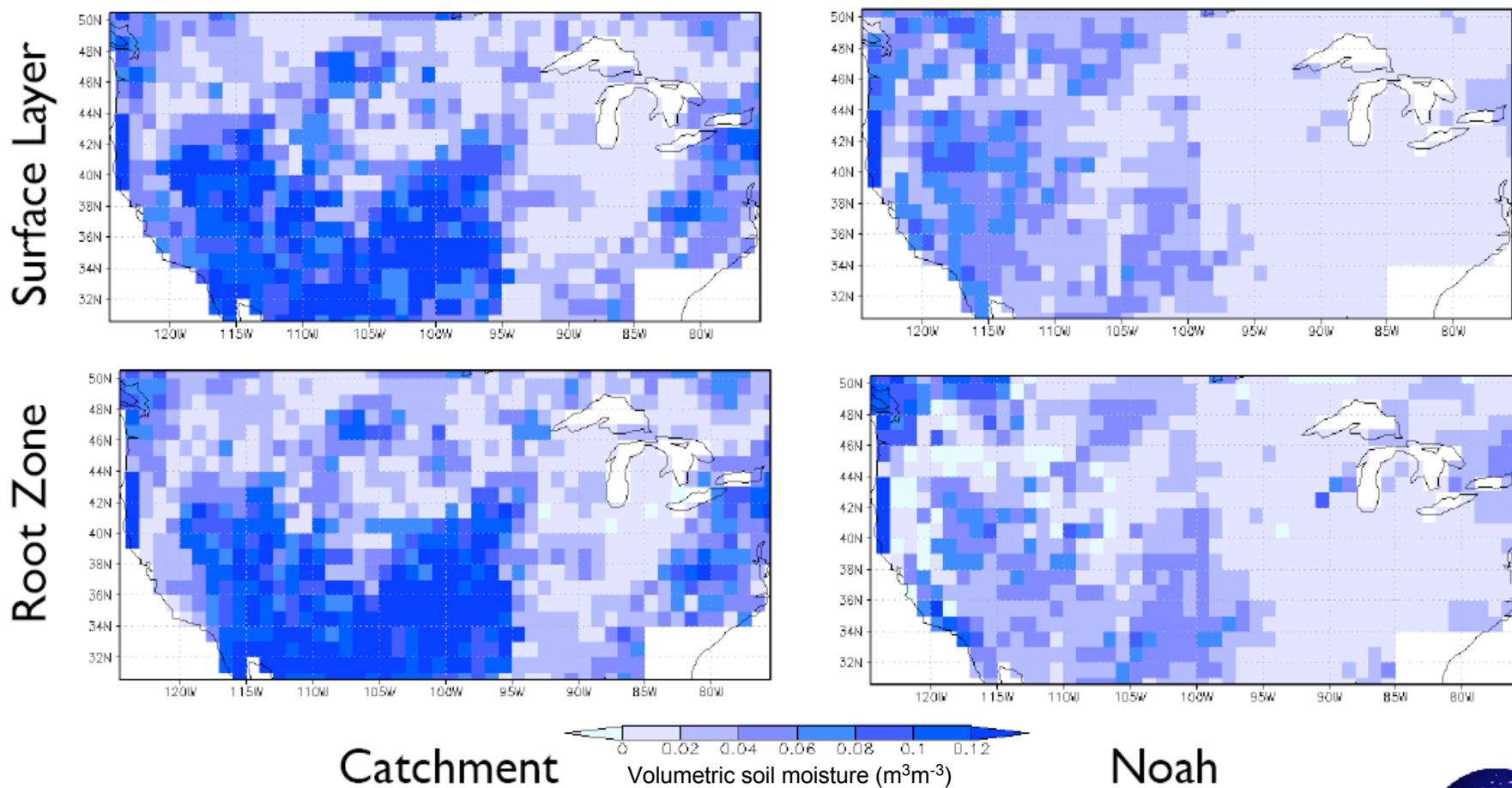


Reichle et al. <i>JGR</i> , 2007		Anomaly time series correlation coeff. with in situ data [-] (with 95% confidence interval)			Confidence levels: Improvement of assimilation over	
		N	Satellite	Model	Assim.	Satellite
Surface soil moisture	23	.38±.02	.43±.02	.50±.02	>99.99%	>99.99%
Root zone soil moisture	22	n/a	.40±.02	.46±.02	n/a	>99.99%

Assimilation product agrees better with ground data than satellite or model alone.

Modest increase may be close to maximum possible with *imperfect* in situ data.

Improvement Metric (RMSE(OpenLoop) - RMSE(EnKF)) for soil moisture OSSEs



Kumar, Reichle, et al. (2007), *Adv. Water Resources*, submitted.



Some references:

- Reichle, R.H., W. Crow, and C.L. Keppenne, 2007: An adaptive ensemble Kalman filter for soil moisture data assimilation, *Water Resources Res.* (submitted)
- Reichle, R. H., and R. D. Koster, 2002: Land data assimilation with the ensemble Kalman filter: Assessing model error parameters using innovations, in *Developments in Water Science—Computational Methods in Water Resources*, Vol. 47, 1387–1394, Elsevier, New York, NY.
- Reichle, R. H., and R. D. Koster, 2003: Assessing the impact of horizontal error correlations in background fields on soil moisture estimation, *J. Hydrometeorol.*, 4 (6), 1229-1242.
- Reichle, R. H., and R. D. Koster, 2004: Bias reduction in short records of satellite soil moisture, *Geophys. Res. Lett.*, 31, doi:10.1029/2004GL020938.
- Reichle, R. H., D. McLaughlin, and D. Entekhabi, 2002: Hydrologic data assimilation with the Ensemble Kalman filter, *Mon. Weather Rev.* 130(1), 103-114.
- Reichle, R. H., J. P. Walker, R. D. Koster, and P. R. Houser, 2002: Extended versus Ensemble Kalman filtering for land data assimilation, *J. Hydrometeorol.* 3(6), 728-740.
- Reichle, R. H., R. D. Koster, P. Liu, S. P. P. Mahanama, E. G. Njoku, and M. Owe, 2007: Comparison and assimilation of global soil moisture retrievals from the Advanced Microwave Scanning Radiometer for the Earth Observing System (AMSR-E) and the Scanning Multichannel Microwave Radiometer (SMMR), *J. Geophys. Res.*, 112, D09108, doi:10.1029/2006JD008033.

GMAO - Near-term Plans

■ Atmosphere:-

- Development of 4Dvar
- Contribute to OSSE capability
- AIRS (QC) - CrIS
- Ozone - GOME-2 - OMPS
- Real-time MLS
- MODIS Winds - VIIRS
- CO, CO₂ (OCO)

■ Land Surface:-

- EnKF: Surface Temperature and Snow

■ Ocean:-

- MOM4: retrospective analysis for seasonal forecast
- Surface Salinity
- Ocean color: removing instrument biases