

# 4D-LETKF Compared with SODA on a Global Ocean Model

**Stephen G. Penny**

Eugenia Kalnay

Brian Hunt

Takemasa Miyoshi

Jim Carton

Kayo Ide

Gennady Chepurin



## Introduction

- Testing a new oceanic LETKF data assimilation system for potential operational implementation at NCEP
- SODA (an Optimal Interpolation variant) is the control
- Two versions of LETKF have been implemented:
  - LETKF-IAU, using the incremental updates of Bloom, as used by SODA
  - LETKF-RIP, using the Running-in-Place procedure of Kalnay and Yang

## Methodology

### Assimilation Methods

SODA	LETKF-IAU	LETKF-RIP
OI Scheme	Ensemble Kalman Filter	
10-day analysis cycle window	30-day analysis cycle window	5-day analysis cycle window
Incremental Analysis Updates	Update Initial Conditions	
90-day window of observations	Observations within analysis cycle	
Observations reused 9x	Observations used once	Observations used twice
NCEP reanalysis winds	20-member ensemble with perturbed winds	40-member ensemble with perturbed winds

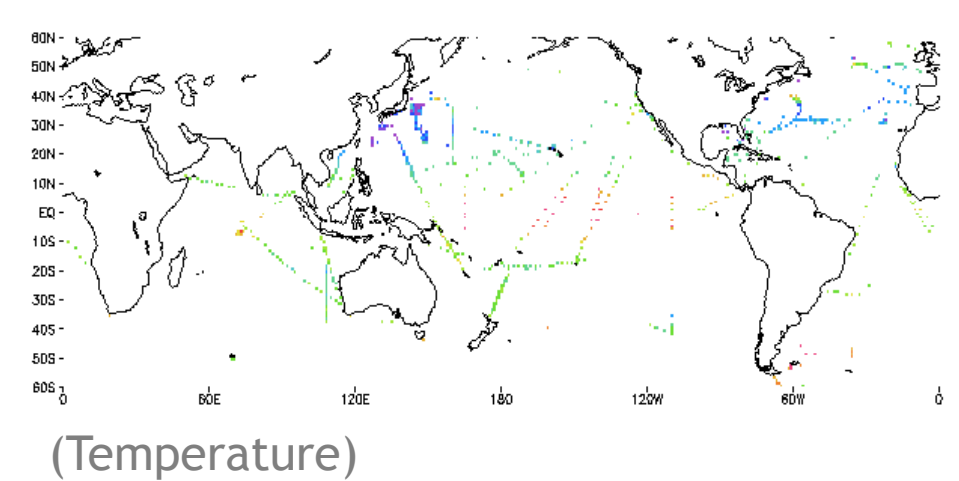
### Observation Coverage

Data includes:

- Mechanical bathythermographs (MBTs)
- expendable bathythermographs (XBTs)
- conductivity-temperature-depth devices (CTDs)
- measurements from thermistors, reversing thermometers and salinity from CTD and station
- Argo data is also used starting at its inception in 1999.
- Additional data includes moored thermistor chains, stations, and ship intake temperatures.

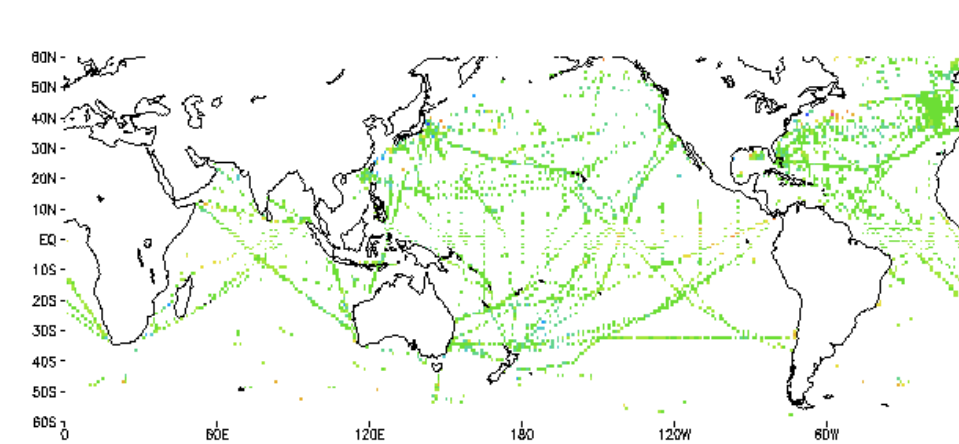
For the purpose of this study, only in situ profile data was used.

Example locations of vertical profiles for a 5-day window in 1997



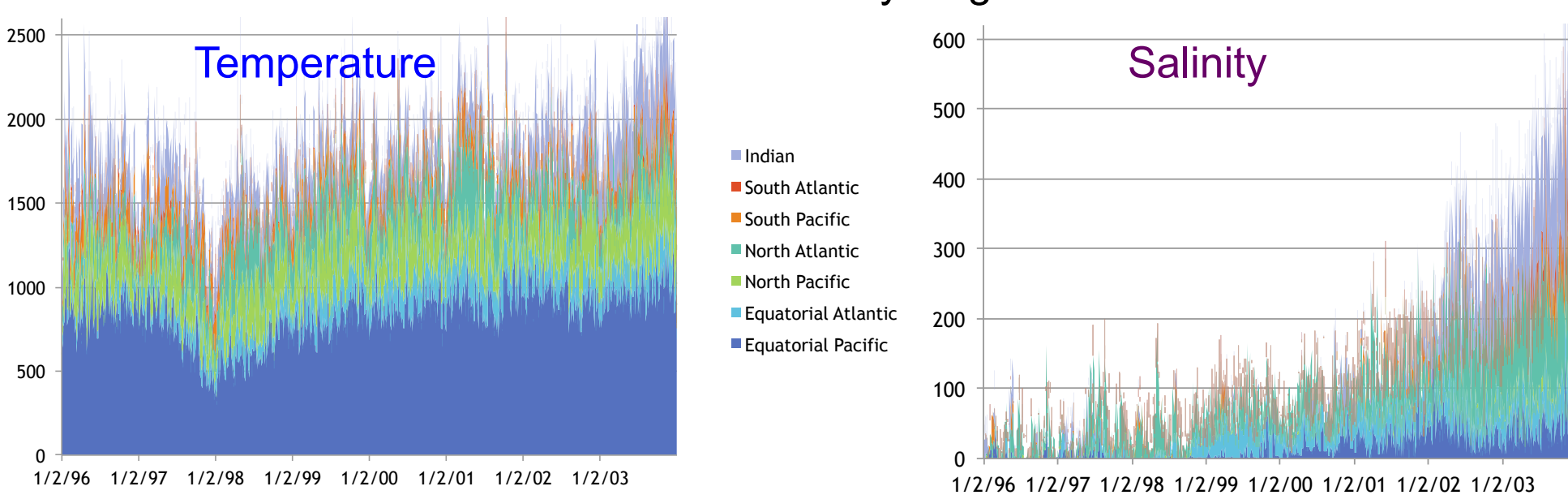
Extremely sparse data coverage on temporal scales on the order of days

Example locations of vertical profiles over 55-day window in 1997



Sparse, but improved, data coverage on temporal scales on the order of months

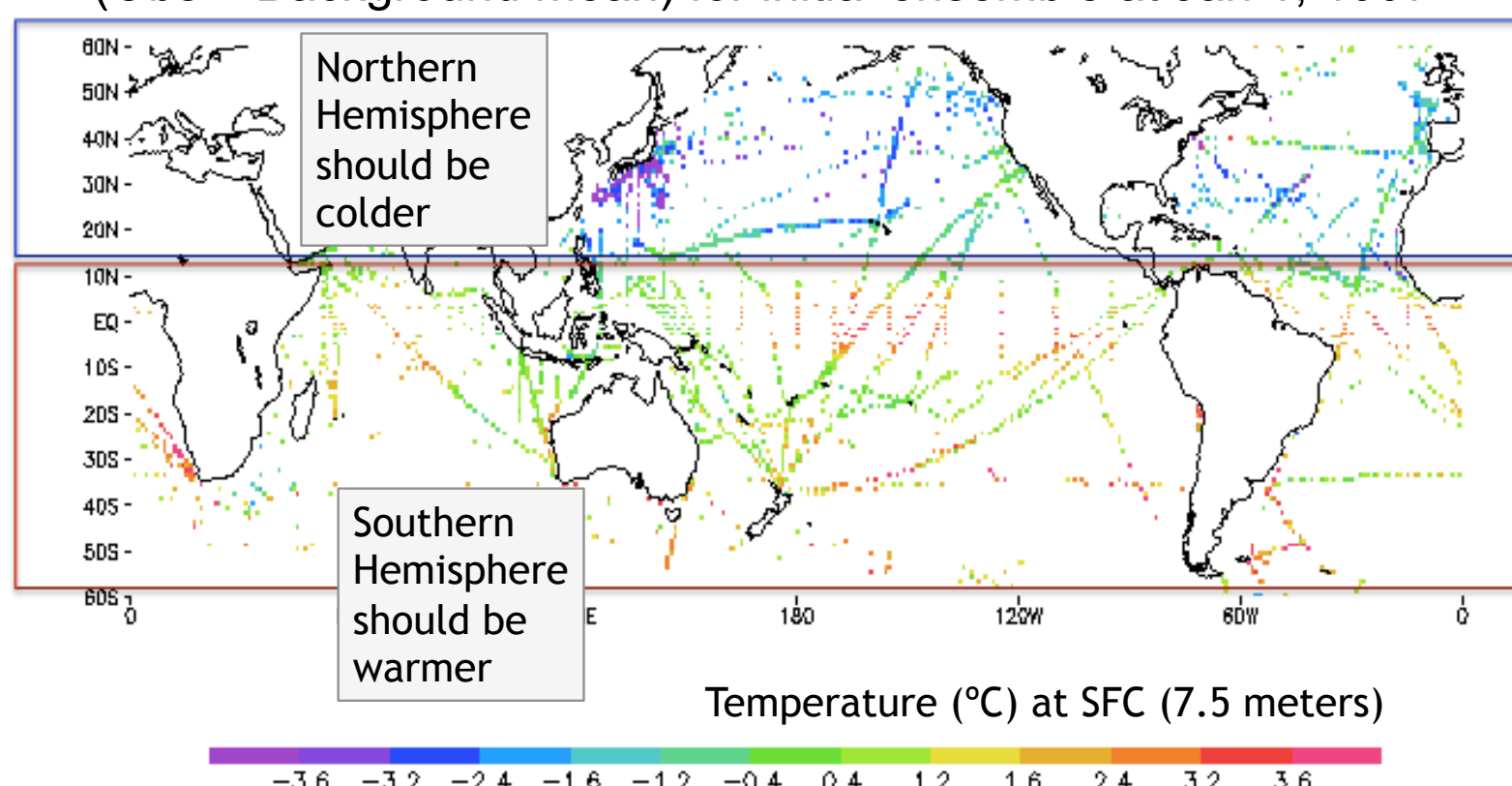
Observation Count by Region



## Model

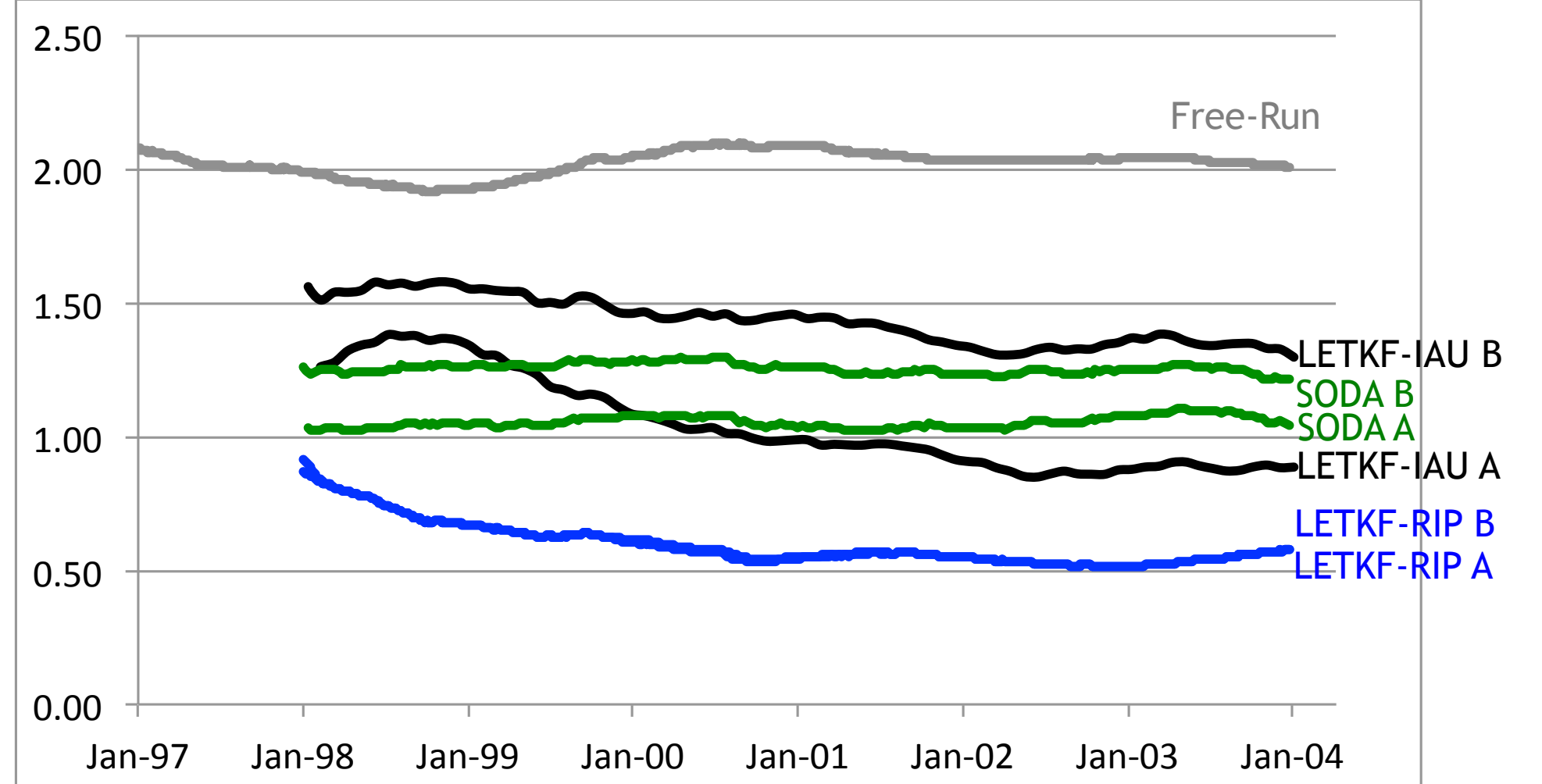
- GFDL's Modular Ocean Model (MOM2)
- Experiment spanning Jan 1997 to Jan 2004
- Monthly surface wind stress and sea surface temperature (SST) data used from the NCEP reanalysis. Climatology used for sea surface salinity.
- There is presence of hemispheric bias, strongest near the surface, as illustrated below.

(Obs - Background mean) for initial ensemble at Jan 1, 1997



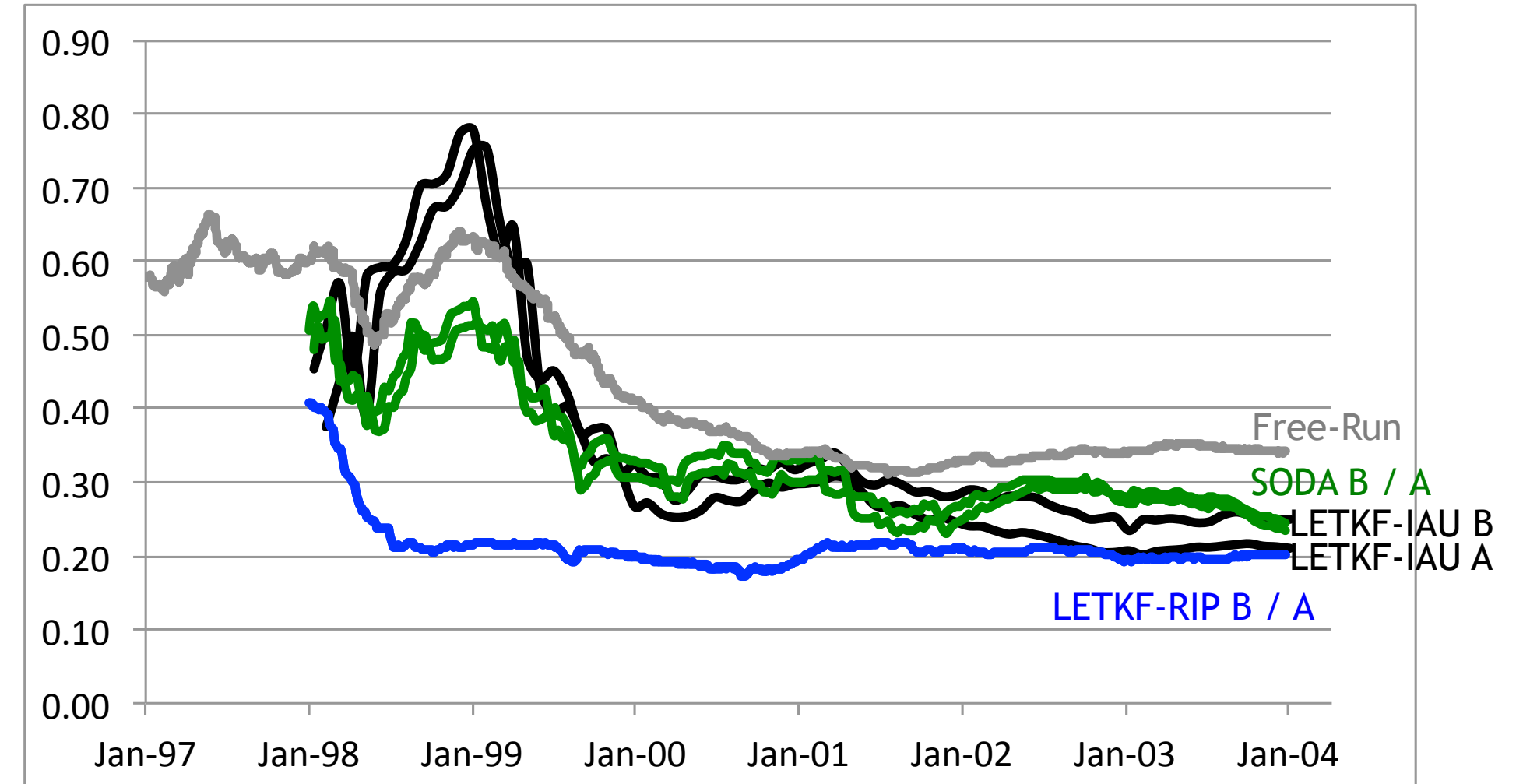
## Results

RMSD (°C) (All vertical levels)



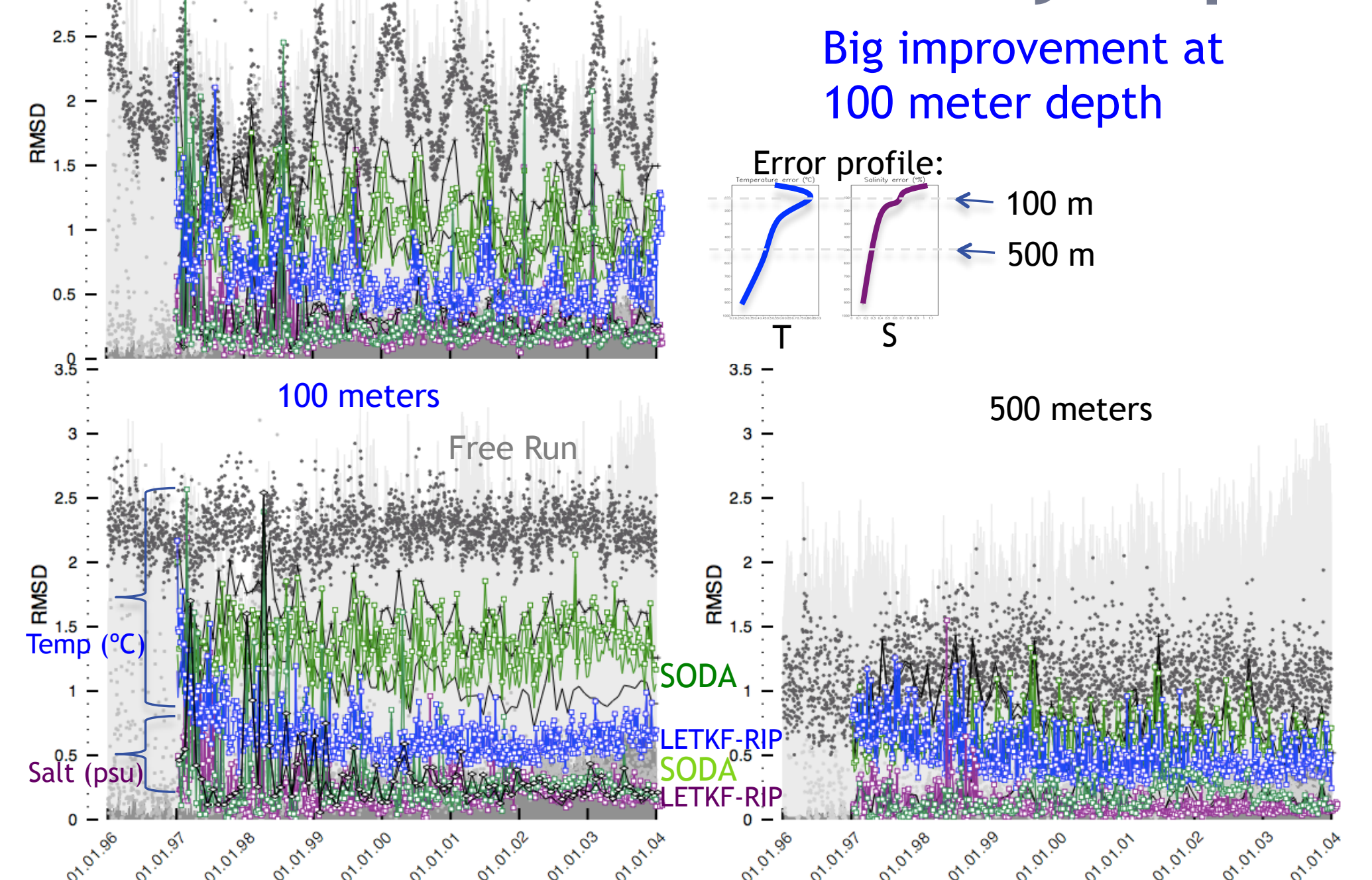
\* 12-month moving average Temperature RMSD (°C)

RMSD (psu) (All vertical levels)

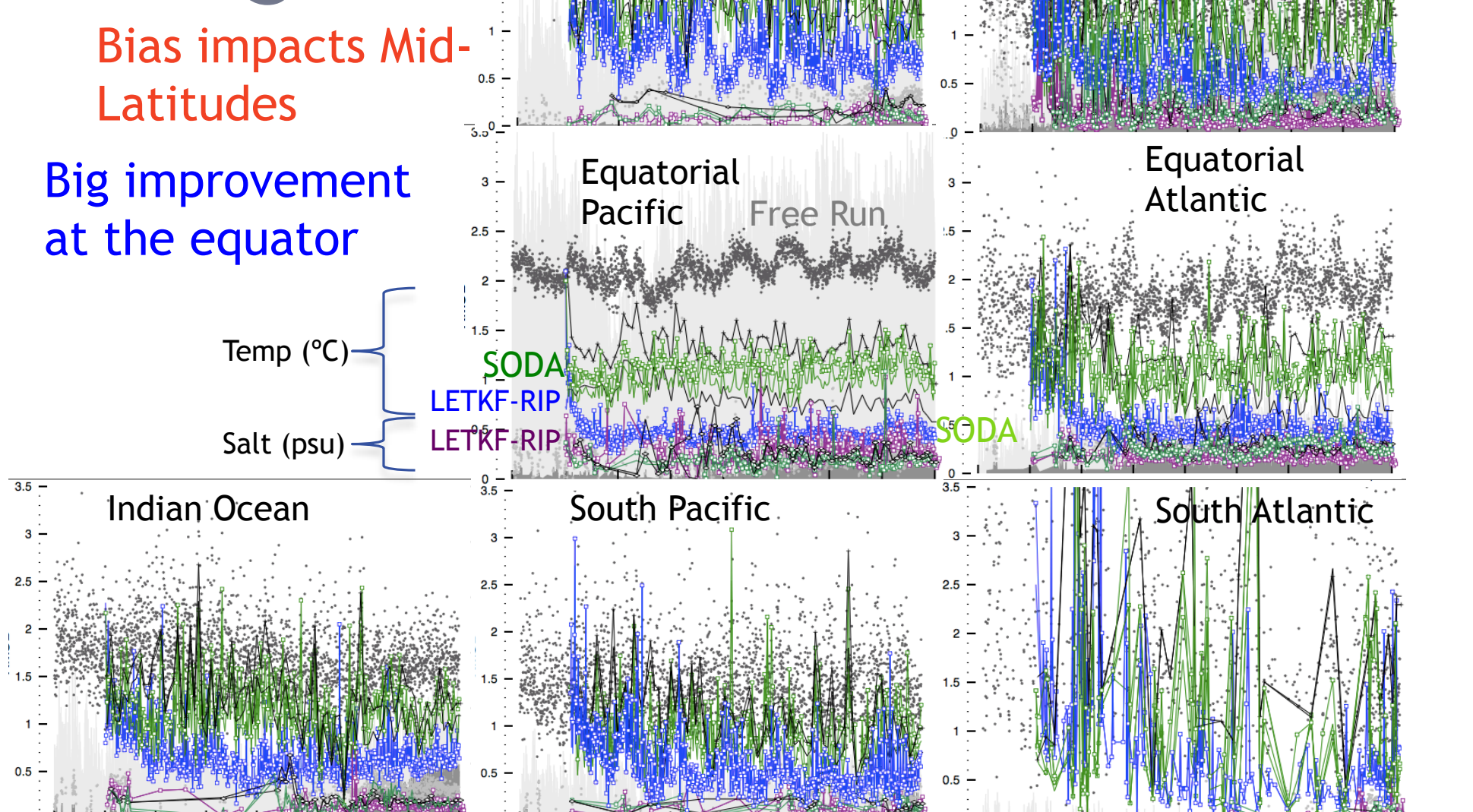


\* 12-month moving average Salinity RMSD (psu)

### \* RMSD by Depth



### \* RMSD by Region



## Conclusions

- LETKF-RIP outperforms SODA in the metric measuring RMS error between observations and forecast (O-F), and observations and analysis (O-A).
- LETKF-IAU performs on par with SODA after sufficient spin-up even though it is limited to using observations only once.
- LETKF performance is best in the Equatorial regions where there is more dynamic instability, more consistent observational coverage, and lowest model bias
- LETKF performs best in the vertical level with greatest variability (e.g. the thermocline depth)

## References

[CCC00a] Carton, J.A., Chepurin, G., CAO, X., Giese, B. A Simple Ocean Data Assimilation Analysis of the Global Upper Ocean 1950-95 Part I: Methodology. Journal of Physical Oceanography, Volume 30, p. 294-309, 2000.  
 [CCC00b] Carton, J.A., Chepurin, G., CAO, X., Giese, B. A Simple Ocean Data Assimilation Analysis of the Global Upper Ocean 1950-95 Part II: Results. Journal of Physical Oceanography, Volume 30, p. 311-326, 2000.  
 [HKS06] Hunt, B.R., Kostelich, E.J., Szunyogh, I. Efficient Data Assimilation for Spatiotemporal Chaos: A Local Ensemble Transform Kalman Filter. arXiv:physics/0511236 v1 28 Nov 2005. Dated May 24, 2006.  
 [KY08] Kalnay, E., Yang, S.C., Accelerating the spin-up of Ensemble Kalman Filtering. [http://www.atmos.umd.edu/~ekalnay/AcceleratingSpinupEnkf\_QJRRMS.pdf]