Atmospheric Data Assimilation at NASA/GMAO

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With thanks to many at NASA/GMAO and NOAA/NCEP/EMC

JCSDA Summer Colloquium on Data Assimilation Stevenson WA, 15 June 2009

Outline

- Collaboration with NCEP/EMC
- Current (3D-Var) System and example applications
- 4D-Var development
- MERRA reanalysis
- OSSE development
- Plans

GMAO Assimilation Systems

Atmosphere

GEOS-5 with GSI 3D-, 4D-Var, 6-hr assimilation window

Meteorological analyses (u, v, T, q) for weather prediction, climate analysis

□ Chemistry constituents: O_3 coupled to meteorology; CO, CO₂ under development

Aerosols: transport, with source distributions from satellite

Ocean

□ MOM4 with MvOI, EnKF, 5-day assimilation window

Retrospective ocean analyses (u, v, T, S) for seasonal fcsts

Assimilation in AOGCM coupled to atmospheric analysis

Ocean color analyses: ocean time series, removing crosssatellite biases

Land Surface

Catchment LSM with EnKF, 3-hr assimilation window

□ Soil moisture, surface temperature and snow

GMAO Atmospheric Data Assimilation Mission

- (i) **Maximize data usage, especially satellite data**, in modeling systems used for numerical weather prediction, short-term climate prediction, chemistry assessments
- (ii) **Provide guidance on data development**, using assimilation-based tools to evaluate existing observations and identify new instruments that have the greatest potential for adding useful information to the observing network
- (iii) **Produce research-quality assimilated datasets**, not limited to NWP, including trace gases, aerosol and climate products, with the aim of maximizing the return on NASA's investment in Earth observations

...the GMAO is <u>not</u> an operational NWP center, although we function like one in many respects

GMAO Atmospheric Data Assimilation Strategy

A joint development effort with NCEP/EMC:

- Brings the strength and maturity of operational systems to benefit NASA's research agenda
- Facilitates NASA's contribution to JCSDA goal of accelerating (improving) the use of satellite data in operations
 - NCEP's Gridpoint Statistical Interpolation (GSI) analysis scheme is the core infrastructure
 - Code reuse across organizations
 - Quasi-regular code merges*
 - Weekly meetings at the working level

* Human resource limitations, major upgrades (e.g., 4D-Var) can delay regular mergers

NCEP/EMC-GMAO Code Management for Atmospheric Data Assimilation



GMAO-NCEP Collaboration: Current Status

- GMAO and NCEP are developing 4D-Var systems using the same GSI code base
 - Major merge of 4D-Var code recently completed
 - Consistent use of <u>ESMF</u> to couple model-analysis
- Coordinated development foci for mutual benefit
 - NCEP: operational/RT data (GPS, MetOp, ...), anisotropic background errors, balance, efficiency
 - GMAO: 4D-Var extension, observing system science tools, research data (MLS, AIRS, wind lidar)
- System diversity with some differences in approach
 - Forecast models differ for NASA research, NOAA operational priorities
 - AIRS channel selection, sampling
 - Data handling in 4D-Var

Earth System Modeling Framework – ESMF

Integrate components from a variety of sources Facilitate shared codes, external developer contributions, interagency coordination End-goal is a flexible Integrated Earth System Model and Analysis System



ESMF component graph for GEOS-5 AGCM



- Boxes are user-written ESMF components
- Every component has a standard ESMF interface Init(), Run(),
 Finalize(). These drive the components.
- Data in and out of components are packaged in **ESMF_state** types
- New components can be added to the hierarchical system
- Coupling tools include parallel regridding and redistribution methods

Max Suarez, Atanas Tryanov

GEOS-5 Atmospheric Data Assimilation System (ADAS)

3D-Var system for current reanalysis (MERRA) and NRT forward processing

Atmospheric Model/AGCM

- □ Finite-volume dynamic core
- Bacmeister moist physics
- Physics integrated via ESMF
- Catchment land surface model
- Prescribed aerosols
- Interactive ozone

Atmospheric Analysis System

- Gridpoint Statistical Interpolation (GSI)
- Direct assimilation of satellite radiances
- □ JCSDA Radiative Transfer Model (CRTM)
- Variational bias correction for radiances
- Separate line-by-line adjoint GSI for observation impact studies



3D-Var

Compare the model forecast to observations **assuming all information is valid at the analysis time**.....an approximation to 4D-Var

GEOS-5 Incremental Analysis Update (IAU)



* IAU framework allows "replay" capability from <u>any</u> existing analysis

Data Types Assimilated in GEOS-5 (NRT)

From NCEP streams, except GMAO AIRS

Conventional

- Raob (u,v,T,q,p_s)
- Dropsonde (u,v,T)
- Pibal (u.v)
- Aircraft (u,v,T)
- Marine Sfc (u,v,T,q,p_s) SSM/I (F-13)
- Land Sfc (p_s)
- (u,v) Profiler
- NEXRAD (u,v)

Satellite Radiance

- AMSU-A (N-15,18, Aqua, MetOp)
- AMSU-B (N-15,16,17,18)
 - HIRS/3,4 (N-17, MetOp)
- AIRS (Aqua)

 - Sounder (GOES-11,12)
 - AMSR-E (Aqua)
 - IASI (MetOp)
 - MHS (MetOp)

Satellite Non-Radiance

- (GMS, GOES, MODIS, METEOSAT Vis, IR, WV) • AMVs
- (QuikSCAT) Scat Wind
- Wind Speed (SSM/I)
- Rain Rate (SSM/I, TRMM/TMI)
- (N-17 SBUV/2 Level and total column) Ozone
- GPS RO (COSMIC)

 $\sim 1.5/2.4$ million obs assimilated/6 hrs

Operational Main Observing Systems Assimilated in GEOS-5 Research (NASA) 6-hr window centered at 00 UTC 11 Nov 2007 **Operational+Research** ATOVS 349,719 AIRS 617,088 Buoys 12,126 Aircraft 129,657 Sin MAG SatWinds 66,894 Ozone 8,320 Profilers 15,982 Radiosondes 92,612 SSM/I 45,786 SYNOP/Ship 37,615 Scatterometer 72,008 TMI 2,865

Monitoring of Satellite Radiamces

Screen-shot of GMAO radiance monitoring package



On-Line Observing System Monitoring

Screen-shot of GMAO DOLMS monitoring package



GEOS-5 DAS Products in Support of NASA Missions and Related Research

Instrument Teams

MLS, TES, HIRDLS on Aqua
 MODIS Land on Terra, Aqua
 CERES on Terra, Aqua, TRMM
 CALIPSO
 MLS Real-Time

Field Campaigns ✓ INTEX-NA ✓ NAMMA ✓ TC4 ✓ ARCTAS ✓ TIGERZ ✓ GLOPAC ProjectsScience Support✓ Power✓ GMI-CTM✓ Flash Flux✓ Harvard GEOS Chem✓ SRB✓ Universities...✓ GEWEX/CEOP✓ Universities...✓ YOTC✓ Flood/Landslide potential✓ GLDAS✓ JAXA/SMILES

GEOS-5 Production Streams

Production	Stream	Frequency	Distribution	Status as of 7/9/2009
Forward Processing	DAS	00z, 06z, 12z and 18z every day	Sent to GSFC MDISC for instrument teams On NCCS data portal for field campaigns	Running NRT
	Fcst	5-day fcsts at 00z and 12z every day	On NCCS data portal for field campaigns	Running NRT
YOTC Support	DAS	00z, 06z, 12z and 18z every day	On NCCS data portal, & field campaign use	Running NRT
(V5.3.0 at ¼°)	Fcst	5-day fcst at 00z every day	On NCCS data portal, & field campaign use	Running NRT
	Stream 1 (79–88)			Running 12/1988
MERRA	Stream 2 (89–97)	Continuous at 10 dd/d	GSFC MDISC	Running 7/1997
Re-analysis	Stream 3 (98-present)			Running 10/2005
	Scout 79 (79-present)	Continuous at 30 dd/d	Internal use	Running 05/2009
G5-NCEP	Fcst	5-day fcst at 00z every day	Internal use	Running NRT
CERES	V5.3.0 Reprocessing (97–12)		To be sent via GSFC MDISC	Under validation
Support	V5.2.0 Forward	Continuous from September 2007, then ~2 wks behind RT	Sent via GSFC MDISC	Running 05/2009

Assimilation of AURA/MLS Ozone in GEOS-5

Data not (yet) available in real time

SBUV daytime only – no data near South Pole due to high solar zenith angle

MLS orbital limit ±82°



Zonal mean ozone 9/30/2004 00UTC

SBUV assimilation - Ozone partial pressure (mPa) 9/30/2004 00UTC



GEOS-5/GOCART Forecasts for Field Campaigns

- Global 5-day chemical forecasts customized for each campaign
 - O₃, Aerosols, CO, CO₂,...
 - Tagged tracers
- Driven by real-time biomass emissions from MODIS
- During-mission
 - Web visualization, data delivery
 - In-field forecasting support
 - Comparison to aircraft data
- Post-mission:
 - Gridded datasets available online for post mission analysis
 - In depth evaluation, model tuning

Goddard Chemistry Aerosol Radiation and Transport model



ARCTAS support

GEOS-5/GOCART analyses and forecasts used for DC8 flight planning June 2008

500 hPa Temperature and height



12Z Jun 29



24-hr forecast



Arctic Research of the Composition of the Troposphere from Aircraft and Satellites



Da Silva & Colarco

YOTC: Year of Tropical Convection 1 August 2008 – 31 July 2011 WCRP and WWRP-THORPEX

A Year of **coordinated observing, modeling and forecasting of organized tropical convection** and its influences on predictability (an 'IOP' every day concept). This is intended to exploit the vast amounts of existing and emerging observations and computational resources becoming available in conjunction with the development of new/high-resolution modeling frameworks, in order to better characterize, diagnose, model and forecast multi-scale convective/dynamic interactions and processes, including the two-way interaction between tropical and extra-tropical weather and climate circulations.

Analyses and forecasts from ECMWF, GMAO and NCEP

- GEOS-5.3.0 interactive aerosols
- Analyses and 5-day forecasts from 0Z analysis, January 2009 onwards
- 1/4° × 1/3°
- Collections of 3D fields at 3-hourly intervals
- 2D fields, hourly
- Collections follow MERRA collections
- http://gmao.gsfc.nasa.gov/projects/yotc
- Data distributed from NCCS data portal



Limited use of satellite radiances due to clouds

- Direct use of cloudy data is currently hindered by complexity and computational expense of IR cloudy radiative transfer calculation
- Currently, only clear-sky radiances are used in most data assimilation systems
 - ✤ GEOS-5: <1% of AIRS considered (thinning), < 0.1% used (after QC)</p>
- Roughly 13% of considered AIRS FOVs are clear, and another 20-30% can be cloud-cleared successfully



Development of 4D-Var

The model contains information about the evolution of the atmosphere. It is information that should be used.

Proper account of the time dimension is required to get the full benefit of asynoptic satellite measurements

Accurate simulation of observations is necessary to extract tendency information from the data

...and...

Proven track record of improvement over 3D-Var; gains by Europeans and Japanese attributable at least in part to 4D-Var

Temporal Distribution of Observations in the Assimilation Window

6-hr window centered at 00 UTC 11 Nov 2007



Global Forecast Performance Relative to (UK) Met Office

Based on Met Office Multi-parameter Skill Metric



A. Lorenc, MetOffice

GEOS-5/6 Prototype 4D-Var ADAS

Atmospheric Model/AGCM

- □ Finite-volume dynamic core
- Bacmeister moist physics
- Physics integrated via ESMF
- Catchment land surface model
- Prescribed aerosols
- Interactive ozone
- Observation operator interface to GSI via ESMF



Atmospheric Analysis System

- □ 4D-Var GSI, weak constraint capable
- □ TLM/ADM of FV core + simple physics
- □ Multiple preconditioners (B^{1/2}, Lanczos)
- Multiple minimizations (CG, QN, Lanczos)
- Direct assimilation of satellite radiances
- □ JCSDA Radiative Transfer Model (CRTM)
- □ Variational bias correction for radiances

Embedded adjoint GSI for observation impact (maintenance free)

<u>4D-Var</u>

Compute the (starting point of the) **forecast trajectory** that best fits all available observations in space and time

Summary of 4D-Var Status I

Prototype NASA GEOS DAS 4DVAR now available

Encouraging preliminary results with prototype 6-hr 4DVAR

- mixed results in observation-minus-forecast residuals
- neutral to positive impact on forecast skills
- neutral to positive impact on monthly means

Preliminary results with 12-hr 4DVAR similarly encouraging

□ Various adjoint-based diagnostic tools in GEOS DAS

- Forecast sensitivity
- Singular vectors
- Observation impact

R. Todling (GMAO) and Y. Trémolet (ECMWF)



Note that comparison periods differ, but early results are qualitatively similar



Early Results Comparing 3D-Var with 6h 4D-Var

Adjoint Tools for Observation Impact Studies

The adjoint of a data assimilation system allows accurate and efficient estimation of the impacts of <u>all</u> assimilated observations simultaneously on analyses and short-range forecasts



Computation of the adjoint of GSI (K^T) for 3D-Var and 4D-Var

Features recently added to GSI as part of 4D-Var development allow the adjoint to be computed in two ways for both 3D-Var and 4D-Var

Method 1: Use GSI minimization (CG or quasi-Newton) to solve modified linear system (input sensitivity vector instead of departures)

- Adjoint costs the same as the analysis
- Minimal extra storage requirements (outer loops)
- Adjoint valid only at convergence

Method 2: Use transposed Lanczos vectors (Lanczos minimization)

- Adjoint is essentially free...big savings in 4D-Var
- Need to store Lanczos vectors
- Adjoint valid regardless of convergence...good diagnostic tool

Observation impact during the minimization using the Lanczos method



Partial impact of observations during the inner-loop iterations of 4D-Var (solid) and 3D-Var (dashed) Summary of 4D-Var Status II

There is much left to do to bring prototype 4D-Var to operational readiness

Replace TLM/ADM with cubed-sphere dynamical core
 Increase resolution
 Implement multi-incremental inner loop
 Tune digital filter initialization
 Re-tune background errors (B)
 Modify observer for efficiency

□Test, test, test...



Finite Volume Cubed Sphere for GEOS-5/-6 & ModelE

S.J. Lin, W. Putman, M. Suarez, G. Schmidt, T. Clune and collaborators

- ✓ Cubed-Sphere dynamical core
- ✓ Non-hydrostatic capability
- ✓ Coupled to GEOS-5 physics
- ✓ Adjoint for 4D-Var GEOS ADAS
- ✓ Performance targets:

2009: 1/4 & 1/8° model with 1/2° 4DVar 60 tracers with GMI chemistry
2013: 1/8 & 1/16° non-hydro model with 1/4° 4DVar, Chemistry at 1/4°



- ✓ Interagency collaboration on infrastructure challenges: running on 10's of thousands of processors, I/O bottlenecks, etc.
 - GEOS-5cs ported to NAS/Pleiades and ORNL/Jaguar
 - Joint endeavor with NOAA/GFDL, DOE/ORNL/LLNL, NSF/NCAR
 - GEOS-5 WRF interactions to formulate GEOS-6 physics

GEOS-5 Cubed-Sphere: C720 (~1/8°) 24-h Forecast Precipitation initialized from ½° DAS in December 2008



Run at DOE/ORNL on Cray XT5 Jaguar using 5,400 cores



What are the applications we seek to address? ...and what is the level of confidence in our analyses?



- Observing system monitoring
- Observation Impacts
- Better information for calculation of radiative transfer
- Better information for data use (and retrieval)
- Benefits from multivariate analysis
 - One observed variable has information about another observed variable
- Model physics evaluation / improvement
- Estimates of unobserved quantities
- Unified data sets (ocean, land, atmosphere, ice)
- Estimates of budget terms / transport
- Trends



IFSS

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Adapted from Rood 2006, EGU Vienna

MERRA Modern Era Retrospective-analysis for Research and Applications

 Atmospheric synthesis of historical satellite and conventional data records from 1979–present

• Place EOS satellite observations in a climate data context

 Focus on improved estimates of the hydrological cycle on a broad range of weather and climate time scales

System version GEOS-5.2.0 (3D-Var/IAU) Production began 7 May 2008





The Changing Observing System...6hr snapshots through time GEOS-5 Data Assimilation System











Data Assimilation in the Era of Hyper-Spectral Satellites

Observation volume for NASA's Modern Era Retrospective-analysis for Research and Applications (MERRA): January 1977 to present GEOS-5 daily input volume of obs (MB)

Assimilation of AIRS begins

300 hPa Eddy Height vs ECMWF OPS

GEOS-5

ECMWF OPS

GEOS5 - ECMWF



...for large-scale dynamic fields, at least, current analyses are the real world

MERRA Validation

Precipitation (mm/day) July 2004

January 2004

GEOS-5

GEOS-5





0.5 3 5.5 8 10.5 13 15.5 18 20.5 23 25.5

GPCP verification



GPCP verification

28



MERRA Validation

2004 Tropical Precipitation



MERRA's depiction of the 1979 President's Day Snow Storm

17:30 UTC 19 FEB 1979

GOES Infrared Imagery

MERRA Clouds, Wind and SLP



Many fewer observations early in the record, but 'weather' can be reasonably well depicted

Floral Street, Washington DC 20 February 1979



Washington DC: 18.7" Baltimore MD: 20" Upper Marlboro MD: 22"

Time series of global mean precipitation from re-analyses and observations



Representation of the hydrological cycle in a data assimilation system is extremely sensitive to how highly "tuned" models respond to a constantly changing global observing system

mm / day

MERRA Production

Ocean-only Evaporation & Precipitation



Note that CMAP and OAFLUX have elements of reanalyses in their algorithms Development of an Observing System Simulation Experiment (OSSE) Capability at NASA/GMAO

A framework for numerical experimentation in which observables are simulated from fields generated by an earth system model, including a parameterized description of the observational error characteristics

OSSE Concepts

Simulations are derived from a 'nature run' in support of a given experimental goal (*e.g., test impact of a proposed sensor*)

Calibration is the process of tuning the experimental framework to reproduce some key behavior (e.g., ensure that simulated existing observations behave statistically as in a real DAS)

...see talk by Ron Errico this afternoon

Data Assimilation of Real Data



Observation System Simulation Experiment

New ECMWF Nature Run

- 13-month "forecast" starting 10 May 2005
- Analyzed SST as lower boundary condition
- Operational model from 2006
- □ T511L91 reduced linear Gaussian grid (~35 km)
- □ 3-hourly output

Immediate Goal: Generate a prototype baseline set of simulated observations that, for <u>various relevant statistics</u>, produces values similar to real DAS.

Account for:

- 1. Resources are somewhat limited
- 2. The nature run may be unrealistic in some important ways
- 3. Some issues are not very important compared to others
- 4. Some important issues may still have many unknown aspects



Locations of Brightness Temperature accepted by QC for NOAA-17 HIRS-3 Channel 7 1 Jan 2006 00 UTC +/- 3hrs

OSSE Data



Assimilation of Simulated vs. Real Observations NOAA-17 HIRS/3 Brightness Temps Jan 2006

OSSE



Real



OSSE Calibration Using Adjoint Tools

OSSE

Real



Bars show the reduction in 24-h global forecast error due to assimilation of **simulated** and **actual** observations for January 2006 in terms of an energy-based measure that combines winds, temperature and sfc pressure.

Assimilation of Simulated Doppler Wind Lidar Measurements in Preparation for ADM-Aeolus and 3D-Winds



- Infra-structure developed to simulate LOS measurements from ECMWF Nature Run
- 1st step: ADM-like orbit, no addition of error, crude account of cloud structure
- GSI updated to assimilate these Level-2 measurements
- Test case underestimates LOS wind error



Near-Term Plans and Work In Progress

- Implement 4D-Var operational-test system at 0.5° resolution with adjoint tools for routine monitoring of observation impacts
- Begin development of the adjoint of moist physics processes for 4D-Var and related applications
- Begin development of cloud-, rain- and aerosol-affected radiances in collaboration with JCSDA partners
- Contribute to bringing MLS to real-time, with radiance assimilation for temperature
- Continue experimentation to increase/improve AIRS usage (especially H₂O, O₃ channels)

Near-Term Plans and Work In Progress

Prepare GSI for ADM-Aeolus and OMPS (lead JCSDA effort)

OSSE Infrastructure

- Complete simulation of existing observations (IASI, GPSRO) and prepare for ADM-Aeolus, NPP (OMPS, CrIS, VIIRS), 3Dwinds
- Account for aerosol effects in meteorological OSSEs, including aerosol absorption (3DWinds)
- Extend capability for non-NWP instruments (initially ACE)...

Longer-Term Plans

- Develop weak constraint 4D-Var to account for model errors (implementation allows for incremental increases in complexity)
- Increase flow-dependent aspects of background error specification, working toward a hybrid 4D-Var with ensemblebased background errors (collaboration with NOAA/NCEP, NOAA/ESRL...see also NCEP Advanced Data Assimilation Plan, April 2009)
- Increase resolution of multi-incremental minimization ('innerloop') in conjunction with increases in forecast model resolution
- Implement moist physics adjoints (convective and large-scale precipitation) in production 4D-Var system
- Extend assimilation window to 12 hrs